

September 10, 2015 DRAFT - City of Tucson

2015 Consolidated Annual Performance and Evaluation Report (CAPER)

Annual Accomplishments for July 1, 2014 through June 30, 2015

And

Summary of 5-year Accomplishments for July 1, 2010 through June 30, 2015

To submit comments on this DRAFT email ann.vargas@tucsonaz.gov

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Submitted to the U.S. Department of Housing and Urban Development (HUD)



City of Tucson ACCEPTING PUBLIC COMMENTS:

The City of Tucson is accepting comments on the draft FY 2015 Consolidated Annual Performance and Evaluation Report (CAPER). The report provides information on City expenditures from July 1, 2010 through June 30, 2015 for the following U.S. Department of Housing and Urban Development (HUD) entitlement grants: Community Development Block Grant (CDBG); HOME Investment Partnership (HOME); Emergency Solutions Grant (ESG); and Housing Opportunities for Persons with Aids (HOPWA).

This DRAFT report was made available for comment beginning on Thursday, September 10, 2015 on the City's web site: <http://www.tucsonaz.gov/hcd>.

Comments will be accepted through September 25, 2015 at 5:00 PM and may be sent by email to ann.vargas@tucsonaz.gov faxed to (520) 791-5407, or delivered to:

Housing and Community Development Department, Attn: Ann Vargas

310 Commerce Park Loop, Tucson, AZ 85745

Ciudad de Tucson esta Aceptando Comentarios:

El gobierno de la Ciudad de Tucson esta aceptando comentarios al borrador del Reporte Anual de Rendimiento y Evaluación del plan de Acción del año 2015. Este reporte proporciona información acerca de los gastos realizados durante el periodo del 1ro de Julio del 2014 hasta el 30 de Junio del 2015. Esta información es acerca de los fondos proporcionados por el Departamento de Vivienda y Desarrollo Urbano de los Estados Unidos en los siguientes programas: Asistencia Financiera para Desarrollo Comunitario (CDBG por sus siglas in ingles); Asociación para la Inversión en Vivienda (HOME siglas en ingles); Asistencia Financiera para Albergues de Emergencia (ESG siglas en ingles); Asistencia Financiera para Desarrollo Comunitario (CDBG por sus siglas in ingles); y Oportunidades de Vivienda para personas con SIDA (HOPWA, siglas in ingles).

Este borrador del reporte anual estará disponible a partir del jueves 10 de Septiembre del 2015 en la página de Internet de la Ciudad de Tucson. Los comentarios serán aceptados hasta el día 25 de Septiembre del 2015 a las 5:00 p.m. Los comentarios serán recibidos vía fax al (520) 791-5407, entregados en persona o por correo dirigidos a:

Housing and Community Development Department,

Attn: Ann Vargas

310 Commerce Park Loop

Tucson, AZ 85745

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City of Tucson - Program Year 5 of 5 CAPER - September 2015

HUD instructions for preparing this report are shown in "bold" before each section. This Consolidated Annual Performance and Evaluation Report (CAPER) includes Narrative Responses to CAPER questions that entitlement program grantees must respond to each year in order to be compliant with the U.S. Department of Housing and Urban Development (HUD) Consolidated Planning Regulations. The Executive Summary narratives are optional. The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

Executive Summary

Introduction- Federal Planning and Reporting Requirements

The City of Tucson is required to develop a 5-year Consolidated Plan to receive annual allocations of Federal entitlement grants from the U.S. Department of Housing and Urban Development (HUD). The Consolidated Plan is designed to help the City assess affordable housing and community development needs and market conditions, and to make data-driven, place-based investment decisions. This Consolidated Planning requirement was enacted by the Cranston-Gonzalez National Affordable Housing Act of 1990 and combines the planning and federal application processes for the following HUD entitlement grants:

Community Development Block Grant (CDBG) Program- 24 CFR Part 570*Code of Federal Regulations (CFR)

CDBG eligible activities include housing, public services, public facilities, neighborhood improvements, economic development and program administration. Over a 1, 2, or 3-year period, as selected by the grantee, not less than 70 percent of CDBG funds must be used for activities that benefit low- and moderate-income persons. In addition, each activity must meet one of the following national objectives for the program: benefit low- and moderate-income persons, prevention or elimination of slums or blight, or address community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community for which other funding is not available. ~hud.gov~

HOME Investment Partnership Program (HOME) - 24 CFR Part 92* Code of Federal Regulations (CFR)

The HOME Investment Partnerships Program (HOME) provides formula grants to States and localities that communities use - often in partnership with local nonprofit groups - to fund a wide range of activities including building, buying, and/or rehabilitating affordable housing for rent or homeownership or providing direct rental assistance to low-income people. HOME is the largest Federal block grant to state and local governments designed exclusively to create affordable housing for low-income households. ~hud.gov~

In 1992 the City of Tucson and Pima County formed a HOME consortium and with this designation are required to coordinate on consolidated planning and reporting activities. The City of Tucson Housing and Community Development Department (HCDD) is the lead agency for the development of the Consolidated Plan and with respect to the implementation of the annual HOME Investment Partnership Program (HOME). The City of Tucson and Pima County Consolidated Plan is carried out through Annual Action Plans, which provide a concise summary

of the actions, activities, and the specific federal and non-federal resources that will be used each year to address the priority needs and specific goals identified by the Consolidated Plan.

Emergency Solutions Grant (ESG) - 24 CFR Part 576* Code of Federal Regulations (CFR)

The ESG program provides funding to:

1. *Engage homeless individuals and families living on the street;*
2. *Improve the number and quality of emergency shelters for homeless individuals and families;*
3. *Help operate these shelters;*
4. *Provide essential services to shelter residents;*
5. *Rapidly re-house homeless individuals and families; and*
6. *Prevent families and individuals from becoming homeless.*

ESG funds may be used for five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities (up to 7.5% of a recipient's allocation can be used for administrative activities). ~hud.gov~

Housing for Persons with Aids (HOPWA)- 24 CFR Part 574* Code of Federal Regulations (CFR)

The Housing Opportunities for Persons with AIDS (HOPWA) program, managed by HUD's Office of HIV/AIDS Housing, was established to provide housing assistance and related supportive services for low-income persons living with HIV/AIDS and their families.

HOPWA funds may be used for a wide range of housing, social services, program planning, and development costs. These include, but are not limited to, the acquisition; rehabilitation; or new construction of housing units; costs for facility operations; rental assistance; and short-term payments to prevent homelessness. An essential component in providing housing assistance for this targeted special needs population is the coordination and delivery of support services. Consequently, HOPWA funds also may be used for services including (but not limited to) assessment and case management, substance abuse treatment, mental health treatment, nutritional services, job training and placement assistance, and assistance with daily living.~hud.gov~

Consolidated Annual Performance and Evaluation Report (CAPER) Requirements

As a recipient of federal funds through the U.S. Department of Housing and Urban Development (HUD), the City of Tucson is required to prepare an annual performance report detailing activities that were undertaken during each fiscal year, beginning on July 1 and ending on June 30. The City's accomplishments and progress toward Consolidated Plan goals are listed in the Consolidated Annual Performance and Evaluation Report (CAPER).

This Consolidated Annual Performance and Evaluation Report (CAPER) document details the City of Tucson's accomplishments relative to established goals and priorities in the 2010- 2015 five-year Consolidated Plan and the City of Tucson FY 2015 Annual Action Plan, including Pima County's HOME projects and expenditures of program income and carry forward from previous years. The balance of the County's accomplishments will be submitted under separate cover.

This document closes out the planning 5-year planning cycle for the 2010 City of Tucson and Pima County Consolidated Plan. In future years the Consolidated Plan, the Annual Action Plans and the end-of-year Consolidated Annual performance and Evaluation Reports (CAPER) will be produced in the HUD eCon Planning Suite automated system, <https://www.hudexchange.info/consolidated-plan/econ-planning-suite/>.

To review the new City of Tucson and Pima County 2015- 2020 5-year Consolidated Plan for the period covering July 1, 2015 through June 30, 2020 go to:

https://www.tucsonaz.gov/files/hcd/City_of_Tucson_and_Pima_County_FFY2015-2019_5-year_HUDConsolidated_Plan.pdf

City of Tucson

Funding Summary and Accomplishments for the 5-Year Consolidated Plan

Reporting Period - July 1, 2010 through June 30, 2015

The overall goal of the Planning and Community Development (PCD) programs identified in the Consolidated Plan is to develop viable communities by providing decent housing and a suitable living environment and expanding economic opportunities for low- and moderate-income persons. During the 2010 – 2015 planning period, the City of Tucson Housing and Community Development Department and its partners funded activities and implemented strategies that had a significant impact on achieving these goals. These include:

Home ownership opportunities for low-income families have increased by 722;

1,462 new rental units are available to low- and moderate-income individuals and existing affordable units are being preserved;

Housing rehabilitation goals have been achieved and exceeded with assistance to 2,556 low-income home owners;

36,974 persons experiencing homelessness received assistance through a wide variety of programs designed to provide shelter, case management and counseling, and promote self-sufficiency;

Critically needed services are available community-wide, programs served 148,627 individuals; and

Public facility improvements were made that to improve access to services as well as to revitalize neighborhoods.

Specific projects and financial commitments for the entire 5-year planning period (2010 – 2015) are *summarized* in this document and listed by project category in the Consolidated Annual Performance and Evaluation Report (CAPER) for each fiscal year; these documents are posted on <https://www.tucsonaz.gov/hcd/reports>.

Timeliness of Expenditure Requirements

Over the five year period, the City of Tucson met all expenditure requirements for the following two grant entitlements as documented in the referenced report for each program:

HOME - Monthly Deadline Compliance Status Reports

HOPWA PR02 Report - 2 year obligation with a 3 year deadline to expended obligated funds. The ESG - PR91 ESG Financial Summary for HUD shows that \$2,628 for Pima County HMIS and \$.10 for CODAC for a total of \$2,628.10 were subject to recapture in the current program year.

Due to receipt of \$1.3 million of program income in March 2011, the City’s timeliness ratio was 1.52 on April 30, 2011 and the timeliness ratio standard of 1.50 was not met. During the next year, projects were fast tracked and the timeliness ratio has been met in each subsequent year (2012 - 2015). The City reallocated the funding from the Business Development Finance Corporation (BDFC) revolving loan fund to parks projects, Americans with Disabilities Act (ADA) improvements, bus shelters, pedestrian street crossings and historic preservation projects. During the 5-Year period from July 1, 2010 through June 30, 2015 the City of Tucson Housing and Community Development Department (HCDD) was affected by significant fluctuations in the economy and changes in federal program policies and procedures; the impact of the housing crisis was the most difficult challenge. In January 2011 ABC News reported, “Arizona had the second highest foreclosure rate for the second year in a row with one in 17 housing units, or 5.73 percent, receiving at least one foreclosure filing. The state had a total of 155,878 foreclosures, a decrease of 4 percent from 2009.” The fall-out of the housing market crash caused an increase in homelessness and an increase in the need for emergency and support services. All of this occurred as the City of Tucson entitlement funding was significantly reduced as shown in the following charts. The City met these challenges by pursuing stimulus funds, shifting the focus from home ownership to rental and emergency shelter and by forming new partnerships in the community to address emergencies and deliver basic goods and services to vulnerable residents.

City of Tucson - HUD Entitlement Funding – July 1, 2010 through June 15, 2015					
HUD Program year Allocation to the City of Tucson	Community Development Block Grant Program (CDBG)	City/County Consortium HOME Investment Partnerships Program (HOME)	Emergency Solutions Grant Program (ESG)	Housing Opportunities for People with AIDS (HOPWA)	TOTAL HUD Entitlement Grant Funding
PY 2010	\$6,713,269	\$4,422,522	\$271,483	\$453,391	\$11,860,665
PY 2011	\$5,597,524	\$3,912,311	\$424,973	\$453,761 0%	\$10,388,569
PY 2012	\$5,104,483	\$2,494,633	\$484,731	\$459,084	\$8,542,931
PY 2013	\$5,231,416	\$2,507,550	\$372,387	\$433,227	\$8,544,580
PY 2014	\$5,113,873	\$2,625,360	\$424,994	\$453,077	\$8,617,304
Overall Reduction or Increase in Funding Allocation From 2010 to 2015	-24% -\$1,599,396	-40% -\$1,797,162	+57% +\$153,511	0% -\$314	-27% -\$3,243,361

5-Year Funding Analysis: Between Program Year (PY) 1 and PY 3 the City of Tucson experienced significant cuts in federal entitlement funding. The City received \$3.2 million less in 2015 as compared to 2010 allocation; a 27% reduction. The HOME Program was the hardest hit and was down by \$1.8 million over the 5-year period. The CDBG program was down 24% by Year 5; a \$1.6 million reduction. ESG went up by \$153,511 (57%) over the 5 year period and the HOPWA program funding remained level for the 5

years. The largest cut to Tucson’s federal entitlement funding for the combined four program grants allocated by the U.S. Department of Housing and Urban Development (HUD) occurred in 2012. The City’s leverage was equal to 110% of the entitlement grant funding.

<i>City of Tucson Resources Leveraged with HUD Entitlement Funds</i>	<i>Program Year - 1 FY 2011</i>	<i>Program Year - 2 FY 2012</i>	<i>Program Year - 3 FY 2013</i>	<i>Program Year - 4 FY 2014</i>	<i>Program Year - 5 FY 2015</i>	<i>TOTAL Leverage</i>
FEDERAL FUNDS						
Continuum of Care City Programs for the Homeless	\$2,075,886.69	\$2,238,625.42	\$2,380,006.04	\$2,365,969.23	\$2,179,561.24	\$11,240,048.62
Highway User Revenue Fees (HURF) – Back to Basics	\$868,210	\$317,727	Back to Basics Closed			\$1,185,937
Lead-Based Paint and Lead Hazard Reduction Grant Programs (expenditures)	\$48,403	\$1,187	\$573,898	\$773,327	\$916,056	\$2,312,871.00
Older Americans Act Funds (expended)	\$91,397	\$77,163	\$76,661	\$73,031	\$73,721	\$391,973.00
STATE FUNDS						
First Things First – Economic Stabilization of Families and Infant & Toddler Expansion Grants (expended)	\$2,438,086.12	\$3,210,766.80	\$0	\$0	\$0	\$5,648,852.92
LOCAL FUNDS						
City General Fund – Human Services (expenditures)	\$1,447,766.65*	\$1,464,910	\$1,453,447	\$1,450,863	\$1,467,201	
City General Fund _ Back to Basics	\$0	\$0	\$0	\$0	\$0	\$0.00
City General Funds ONLY – HOME Match	\$396,750	\$129,986.86	\$100,000	\$331,424.14	\$321,007	\$1,279,168.00
Pima County HOME Match CASH Reporting ONLY	\$125,338	\$211,259	\$41,885	\$373,000	\$3,037,231	\$3,788,713.00
Forgone Taxes; Impact Fee Exemptions/Fee Waivers **	\$189,135.26	\$148,285.01	\$101,693.27	\$108,428.56	\$0	\$547,542.10
Pima County Affordable Housing General Obligation Bonds (G.O. Bond)	\$2,549,148	\$32,648	\$0	\$0	0	\$2,581,796.00
PROGRAM INCOME						
CDBG Program Income without Revolving Loan PI.	\$1,398,045.20	\$27,549.78	\$68,525.63	\$138,694.08	\$205,222.84	\$1,838,037.53
CDBG – Revolving Loan PI (PR26)	\$65,161.22	\$122.72	\$78,581.82	\$8,897.28	\$39,227.42	\$191,990.46
City of Tucson HOME Program Income	187,900.82	623,045.52	661,425.53	\$433,025.50	\$979,758.08	\$2,885,155.45
Neighborhood Stabilization Program (NSP1) PI	\$567,712.68	\$1,042,647.53	\$1,219,274.42	\$267,969.93	\$260,405.70	\$3,358,010.26
Neighborhood Stabilization Program (NSP3) PI	\$0	\$0	\$64,284.55	\$345,167.21	\$128,947.07	\$538,398.83
NEIGHBORHOOD STABLIZATION EXPENDITURES						
NSP 1 – Expenditures	\$640,067.98	\$1,155,681.93	\$530,191.62	\$1,255,229.54	\$609,692.35	\$4,190,863.42
NSP 3 - Expenditures	\$0.00	\$401,317.16	\$1,340,211.67	\$450,048.87	\$329,175.38	\$2,520,753.08
NON-PROFIT & PRIVATE FUNDS						
Donations, Labor and Materials*	\$568,300	\$558,775	\$595,861	\$788,454.34	\$0	\$2,511,390.34
Non-Match Cash/Land	\$0	\$0	\$0	\$15,002	\$0	\$15,002.00
TOTAL Value of Resources Leveraged:	\$12,209,542	\$11,641,698	\$9,285,947	\$9,178,532	\$10,547,206	\$52,862,925.00

*Donations, Labor and Material, pending FY2015 information
 **Forgone Taxes etc., pending FY2015 information.

City of Tucson 2010 – 2015 CAPER – TOTAL FUNDS			
Fiscal Year	Entitlement	Leverage	Total
FY 2011	\$11,860,665	\$12,209,542	\$24,070,207
FY 2012	\$10,388,569	\$11,641,698	\$22,030,267
FY 2013	\$8,542,931	\$9,285,947	\$17,828,878
FY 2014	\$8,544,580	\$9,178,532	\$17,723,112
FY 2015	\$8,617,304	\$10,547,206	\$19,164,510
TOTAL Funds:	\$47,954,049	\$52,862,925	\$100,816,974

FY 2010 – 2015 CAPER Tables City of Tucson Accomplishments										
City of Tucson Consolidated Plan Goal/Activity	5-Year Goals	Annual Goals (Planned)	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	5-year Accomplishments	National Objective	Outcome
Assistance to Homeowners (Rehabilitation)	2,375 households	475 households	375	474	514	504	689	2556 +181	Decent Housing	Sustainability
New Homeownership Opportunities	825 households	165 units	216	319	35	93	59	722 -103	Decent Housing	Availability/Accessibility
Rental Development or Preservation	920 households	184 households	214	478	640	130	0	1462 +542	Decent Housing	Affordability
Assistance to Homeless Persons	25,000 persons assisted	5,000 persons assisted	10,251	9,440	7,348	3,603	6,332	36,974 +11,974	Suitable Living Environment	Availability/Accessibility
Assistance to the Elderly or Persons with Special Needs	31,025 persons assisted	6,205 persons assisted	6,351	6,549	8,070	1,461	1014	23,445 -7,580	Suitable Living Environment	Sustainability
Community Development Neighborhood Revitalization/ Public Facilities	110 facilities	22 facilities	5	4	14	2	7	32 Facilities -78	Suitable Living Environment	Availability/Accessibility
Neighborhood Revitalization/Spot Blight	20 facilities	4 projects	7	7	4	1	2	21 Facilities +1	Suitable Living Environment	Availability/Accessibility
Public and Human Services (CDBG, ESG, and General Funds)	189,500 persons assisted	37,900 persons assisted	37,072	38,930	33,631	27,851	11,143	148,627 -40,873	Suitable Living Environment	Sustainability

Consolidated Plan Development/Annual Action Plans

While the City of Tucson serves as the lead agency for development of the Consolidated Plan, a number of other public and private agencies participated in its development. The Institutional Structure portion of the Plan fully describes the various entities involved in the development of the Consolidated Plan and the Annual Action Plan.

In 2010 the City and County held fifteen stakeholder and public forums to solicit input into current conditions, identify obstacles to implementing programs and developing projects, and define goals and strategies for the 5-year Plan. The forum participants included representatives from 45 agencies and departments that focus on supportive housing efforts, services for special populations, economic development and employment services, planning and capital improvements. Members of the public were invited to attend and participate in forum discussions. Over 110 forum participants are listed in the plan. The 2010-2015 Consolidated Plan contains a summary report of the meetings, including the names and affiliations of the 110 participants.

Through ongoing funding mechanisms and policies in the FY 2015 update to the 5-year Consolidated Plan, the City of Tucson and Pima County facilitate coordination between service providers and housing providers.

In program year 4, the Consolidated and Annual Plan became linked to the City's updated 10-year General Plan Goals and Polices, "Plan Tucson".

Plan Tucson, the new City of Tucson General & Sustainability Plan, was ratified by voters at the November 5, 2013 General Election and the results declared on November 13, 2013, Resolution #22160. Arizona State Law (A.R.S. 9-461.05 E.6.) the City was required to develop "*A housing element consisting of standards and programs for the eliminations of substandard dwelling conditions, for the improvement of housing quality, variety and affordability and for provision of adequate sites for housing. This element shall contain an identification and analysis of existing and forecasted housing needs of all segments of the community regardless of race, color, creed or economic level.*" This Housing Element is on the City's web site at: https://www.tucsonaz.gov/files/integrated-planning/Chapter3-The_Social_Environment_11-13-13.pdf

Annual Plan Amendments

The City of Tucson processed two Annual Plan amendments in the 5-year period:

FY 2013 On January 8, 2013 the Mayor and Council for the City of Tucson approved a Substantial Plan Amendment to the FY 2013 Annual Action Plan to amend the boundaries for the Neighborhood Stabilization Program (NSP3) project area. Prior to the Mayor and Council public meeting and subsequent action, and in compliance with federal requirements, a public notice was posted and public comments were solicited. This amendment was added as Addendum #1 to the FY 2013 Annual Plan.

FY 2014 The City amended the Annual Plan following Mayor and Council's request for loan guarantee assistance under HUD's Section 108 Loan Program to promote and foster both economic and community development efforts, which was approved by HUD on March 7, 2012, a \$20,000,000 loan pool was established to capitalize the Tucson Community Development Loan Fund. This fund will be utilized to fund individual projects in a target range of \$2,000,000 to \$10,000,000 that will have positive economic and community development benefits, including permanent job creation.

Program Year 5 – 1-year Summary & Analysis

**City of Tucson
Funding Summary and Accomplishments for the Annual Plan
Reporting Period - July 1, 2014 through June 30, 2015**

For the period of July 1, 2014, through June 30, 2015 (fiscal year 2015), the City of Tucson was awarded the following HUD Entitlement Grant funds:

Community Development Block Grant (CDBG)	\$5,113,873
*Home Investment Partnership Program (HOME) (*in a consortium with Pima County)	\$2,625,360
Emergency Solutions Grant Program (ESG)	\$424,994
Housing Opportunities for Persons with AIDS (HOPWA)	\$453,077

Total FY 2015 City of Tucson Entitlement Grant Funds: \$8,617,304

From July 1, 2014, through June 30, 2015 (fiscal year 2015, Tucson spent entitlement funding as follows (this amount includes carry forward from prior year allocations):

Community Development Block Grant (CDBG)	\$6,331,963.57
*Home Investment Partnership Program (HOME) (*in a consortium with Pima County)	\$ 3,905,367.18
Emergency Solutions Grant Program (ESG)	\$ 424,013.24
Housing Opportunities for Persons with AIDS (HOPWA)	\$391,809.64

During FY 2015, the City received the following **program income** from activities that were initiated in previous program years:

CDBG -	\$244,450.26 (PR-26)
HOME -	\$ 979,758.08 (Tina)
*NSP 1 -	\$ 260,405.70
*NSP 3 -	\$ 128,947.07
TOTAL:	\$1,613,561.11

*The City of Tucson and Pima County received competitive grant funds under the federal Neighborhood Stabilization Program (NSP 1 and NSP 3) for the acquisition, repair and re-sale of foreclosed properties to low-income buyers and non-profit organizations. To date the City has expended \$10,626,158.61 for NSP 1 and \$2,520,753.08 for NSP 3 for these activities. This CAPER includes a summary of NSP activities on page 74.

Total FY 2015 expenditures of City of Tucson General Funds for Public Services was \$1,467,201 out of the \$1,464,910 total commitment.

Program Year 5 CAPER General Questions response:

1. Assessment of One-Year Goals and Objectives

The City of Tucson established annual and five-year goals and priorities for low-income populations in the 2010-2015 Consolidated Plan. Detailed tables listing the City's projects by activity and funding follow this summary of accomplishments, objectives and outcomes. The following tables summarize the City of Tucson accomplishments toward meeting the goals established in the Consolidated Plan for activity funded in the 2015 fiscal year, July 1, 2014 through June 30, 2015:

Activity	Funds Expended*	Annual Goals (Planned)	FY 2015 Output (Accomplishment)	Objective	Outcome
Assistance to Homeowners (Rehabilitation)	\$2,698,002	475 households	689	Decent Housing	Sustainability
New Homeownership Opportunities	\$563,730	165 units	59	Decent Housing	Availability/ Accessibility
Rental Development or Preservation	\$2,803,286	184 households	Projects Underway	Decent Housing	Affordability
Assistance to Homeless persons	\$1,348,432	5,000 persons assisted	6,332	Suitable Living Environment	Availability/ Accessibility
Assistance to the Elderly or Persons with Special Needs	\$562,997	6,205 persons assisted	1,014	Suitable Living Environment	Sustainability
Community Development Neighborhood Revitalization/ Public Facilities	\$1,459,475	22 facilities	7 Facilities	Suitable Living Environment	Availability/ Accessibility
Neighborhood Revitalization/Spot Blight	\$10,322	4 facilities	2 Facilities	Suitable Living Environment	Availability/ Accessibility
Public Services (inc. CDBG, ESG, and General Funds)	CDBG- \$773,815.16 ESG- \$424,013,24 GF - \$1,474,080.08	37,900 persons assisted	CDBG -4,185 ESG - 1357 GF- 5601 people and 68 Households and 4320 Bed Nights TOTAL – 11,143	Suitable Living Environment	Sustainability

*Funds expended FY 2015 may include carry forward and program match.

Housing - Housing quality and affordability affects households and neighborhoods, and is a major contributor to what makes a City function well. The City exceeded its stated goal of 475 by providing housing rehabilitation assistance to 689 households, in the category of sustaining decent housing in the community. The City did not meet its projected output of 165 new units, and provided homeownership opportunities to 59 households with the objective of providing decent housing and the outcome of availability. The City did not meet its stated goal for rental development or preservation of 184 units because the projects funded under the category of producing and preserving rental housing are multi-year projects underway.

Homeless- According to the most recent street count of homeless persons in Tucson held in January 2015, on any given night there are approximately 1462 households and 1863 persons that are homeless. The number of homeless families with children continues to increase and is one of the fastest growing subpopulations of homeless persons. The City and its partner agencies continue to strive to meet the goals of the Consolidated Plan and decrease the number of homeless individuals and families. City staff works closely with the Tucson Pima Collaboration to end Homelessness in planning and coordinating services and direction for the local Continuum

of Care. In FY 2015, 6,332 homeless individuals were assisted with case management, shelter, employment, food and other crisis assistance.

Elderly/Special Needs – The likelihood of having a disability increases with age. In light of the aging of the Baby Boomers and increasing life expectancies, the City can expect an increase in the number of people with disabilities. Over this last year, City programs have helped 1014 persons with special needs, many of whom are elderly, with programs such as home repair, retrofitting for handicapped accessibility, nutrition, reverse mortgage counseling and loans, and housing for persons living with serious mental illness and HIV/AIDS. This was accomplished under the objective of a suitable living environment and outcome of sustainability. HOPWA data is recorded separately in the HOPWA CAPER section of this document, beginning on page 79.

Public Facilities – The goal of developing 22 facilities annually was not met. A total of 7 facilities were completed in this fiscal year, with 12 projects still underway, and further projects to be negotiated. The City constructed or rehabilitated these facilities in partnership with other City Departments and local non-profit agencies under the objective of suitable living environment and the primary outcome of availability. Delays were experienced with partner City Departments due to staffing levels. CDBG ‘Green & Healthy Facilities’ projects were slower than anticipated due to the development of a new request for proposals process. Two projects were completed in FY 2015 and four are underway including the City’s El Portal Common Unity Program for Homeless Teen Parents at the Stone Pointe Transitional Housing Property. The City will continue to partner with Pima County to implement this important initiative.

One Historic Preservation/spot blight project at the Rodeo Parade Museum and Oury Park Recreation Center were completed. Five (5) projects are underway and are managed in partnership with the City’s Office of Integrated Planning/Historic Preservation Office.

Neighborhood Revitalization – The historic preservation projects to mitigate spot blight, did not meet its annual goal and only completed two projects/facilities with five additional projects underway. This was accomplished under the objective of suitable living environment and the primary outcome of availability. Historic Preservation/spot blight projects will continue as a means of revitalizing/stabilizing neighborhoods.

Public/Human Services - Public services address the needs of families and individuals that have difficulty maintaining a basic level of security. The City has set an annual goal of assisting 37,900 persons during a given year. During the last year, the City of Tucson served a total of 11,143 persons with services designed to meet their unique needs and to improve the quality of their lives under the objective of suitable living environment and the primary outcome of sustainability. Services are designed to be more comprehensive resulting in fewer persons served. In the area of special needs, some of the most vulnerable populations in our community, the City did not meet the goal of assisting 6,205 persons by assisting only 1014 special needs persons.

Below are the FY 2015 CAPER Project Tables for the City of Tucson:

FY 2015 CAPER Project Tables - ASSISTANCE TO HOME BUYERS – Tucson and Pima County							
Agency	Project and IDIS #	Funding Source	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY 2015	UNITS	COMMENTS
Old Pueblo Community Services	Community Renewal Project #4046	HOME	\$1,223,432	\$1,066,947	\$36,000	2	2 homes were rehabilitated and sold during FY15
Old Pueblo Community Services	Daystar Estates (formerly known as Sky View Terrace) #4411	HOME	\$96,500	\$0	\$83,454	-0-	Under construction
Old Pueblo Community Services	Community Renewal Phase 2 #4455	HOME	\$666,500	\$0	\$140,298	-0-	Acquisition and rehab underway
Total New Constructions			\$1,986,432	\$1,066,947	\$259,752		
Habitat for Humanity	Scattered Sites (Colgate and Plummer) DPA #4424	HOME	\$60,000	\$0	\$30,000	2	2 homes were rehabilitated and sold during FY15
Habitat for Humanity	Marana-Amado Partnership #4294	HOME	\$81,000	\$27,000	\$54,000	2	Pima County completed project
Habitat for Humanity	Marana-Amado Partnership #4480	HOME	\$27,000	\$0	\$0	-0-	Pima County project underway
City Of Tucson/HCD	HAP Down Payment Assistance	HOME	\$109,174	\$0	\$109,174	30	
Pima County	HAP Down Payment Assistance	HOME	\$110,804	\$0	\$110,804	23	
Total Down Payment Assistance:			\$387,978	\$27,000	\$303,978		
TOTAL FY 2015:		HOME	\$2,374,410	\$1,093,947	\$563,730	59	

FY 2015 CAPER PROJECT TABLES – CDBG ASSISTANCE TO HOMEOWNERS (Environmental reviews for Housing REHABILITATION PROJECTS)							
Agency	Project and IDIS #	Funding Source and Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY 2015	UNITS	# Accomplished
City of Tucson HRC Environmental Compliance	Environmental IDIS# Pending	FY 2015 CDBG PY2014	\$160,000	\$0	\$0		Home Assessments Completed
City of Tucson HRC Environmental	Environmental IDIS#4387	FY 2014 CDBG PY2013	\$180,000	\$88,617	\$56,932	363	Home Assessments completed
TOTAL FY 2015 Reviews:			\$340,000.00	\$88,617	\$56,932	363	

FY 2015 CAPER PROJECT TABLES – CDBG ASSISTANCE TO HOMEOWNERS (Housing REHABILITATION PROJECTS)							
Agency	Project and IDIS #	Funding Source and Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY 2015	Units	Accomplished
City of Tucson/HCD	Emergency Home Repair IDIS#4457	FY 2015 CDBG PY2014	\$506,269	\$0	\$299,334	53	Homes rehabbed
City of Tucson/HCD	Emergency Home Repair IDIS#4358	CDBG PY2013	\$526,484	\$249,927	\$276,557	86	Homes rehabbed
City of Tucson/HCD	City Neighborhood Initiatives IDIS#4479	FY 2015 CDBG PY2014	\$417,000	\$0	\$183,986	53	Homes rehabbed
City of Tucson/HCD	City Neighborhood Initiatives IDIS#4376	CDBG PY2013	\$578,391	\$248,654	\$329,736	50	50 Homes rehabbed
City of Tucson/HCD/PCOA	Elderly Home Repair Program IDIS #4453	FY 2015 CDBG PY2014	\$92,948	\$0	\$89,935	14	Homes rehabbed
City of Tucson/HCD/PCOA	Elderly Home Repair Program IDIS#4334	CDBG PY2013	\$87,777	\$62,771	\$25,005	22	Homes rehabbed
City of Tucson of Tucson/HCD	VANS Demolition IDIS#4451	CDBG PY2013	\$300,000	\$0	\$138,399	15	Home demos
City of Tucson of Tucson/HCD	VANS Demolition IDIS#4321	CDBG PY2012	\$300,000	\$286,606	\$13,393	24	Home demos
City of Tucson/HCD	Lead Hazard Control Program Match) IDIS #4471	FY 2015 CDBG PY2014	\$203,701	\$0	\$148,375	28	Homes rehabbed
City of Tucson/HCD	Lead Hazard Control Match IDIS#4345	CDBG PY2013	\$83,000	\$45,056	\$37,943	149	Homes rehabbed
TOTAL FY 2015 CDBG Rehab -			\$3,095,570	\$893,014	\$1,542,663	494	

COT:							
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The Mayor and Council directed CDBG-funded housing rehabilitation organizations to develop a coordinated strategy for providing home repair to low-income households community-wide. The City of Tucson and eight (8) partner organizations with long-standing programs formed a collaboration in 2000 and began working on several goals: 1) incorporation of home maintenance, education and preventative care in housing rehabilitation programs; 2) streamlining of the application process for eligible households to apply and receive housing rehabilitation assistance; 3) determining cost-effective and efficient ways to purchase supplies and make repairs when combining resources; and 4) applying for a portion of the annual CDBG allocation to fund a coordinated strategy. The City and its Housing Rehab Collaborative partners are evaluating this multi-agency approach and will be implementing program efficiencies to maximize funding and provide comprehensive services. Total households assisted for FY 2015 is 689; 494 for the City and 195 for partner agencies.

FY 2015 CAPER Project Tables –REHABILITATION ASSISTANCE TO HOME OWNERS - Partner Agencies				
Agency	Project Title and IDIS #	Funding Source	Funds Expended (may include carry forward)	Owner Housing Units Rehabbed
Chicanos Por La Causa - HRC	Roof Replacement & Home Maintenance / Special Needs Repair IDIS#4400	FY 2015 CDBG - \$129,989	\$123,277	15
Chicanos Por La Causa - HRC	Roof Replacement & Home Maintenance / Special Needs Repair IDIS#4286	FY 2014 CDBG - \$129,989	\$26,748	5
Community Home Repair Projects of Arizona (CHRAPA) - HRC	City Minor Emergency and Special needs Repair IDIS #4303	FY 2015 CDBG - \$171,389	\$171,352	59
DIRECT Center for Independent Living - HRC	Home Access Program IDIS #4395	FY 2015 CDBG - \$137,189	\$137,189	31
DIRECT Center for Independent Living - HRC	Home Access Program IDIS #4287	FY 2014 CDBG - \$137,189	\$7,373	2
Habitat for Humanity – HRC	Preserve a Home IDIS#4335	FY 2013 CDBG \$75,000	\$75,000	21
Old Pueblo Community Services - HRC	Sustainable Rehabilitation IDIS#4413	FY 2015 CDBG \$102,989	\$96,086	6
Old Pueblo Community Services - HRC	Sustainable Rehabilitation IDIS#4288	FY 2014 CDBG \$102,989	\$100,330	6
Tucson Metropolitan Ministries Family Services - HRC	Midtown homeowner Housing Rehab Program IDIS#4402	FY 2015 CDBG- \$107,489	\$107,489	12
Tucson Metropolitan Ministries Family Services - HRC	Midtown homeowner Housing Rehab Program IDIS#4289	FY 2014 CDBG- \$101,230.16	\$6,258	1
Tucson Urban League - HRC	City Emergency Home Repair IDIS#4409	FY 2015 CDBG- \$195,689	\$185,515	30
Tucson Urban League - HRC	City Emergency Home Repair IDIS#4290	FY 2014 CDBG- \$195,689	\$6,167	2
Tucson Urban League – HRC	South Park Rehabilitation IDIS#4410	FY 2015 CDBG – \$70,200	\$47,184	4
Tucson Urban League – HRC	South Park Rehabilitation IDIS#4291	FY 2014 CDBG –	\$8,439.88	1

		\$70,200		
TOTAL FY 2015 Rehab - Agencies:			\$1,098,407	195

The City and its partners completed 363 environmental reviews and 689 owner-occupied rehabilitation projects.

FY 2015 CAPER Project Tables - ASSISTANCE TO RENTERS – Tucson and Pima County						
Agency	Project and IDIS #	Funding Source	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY 2015	UNITS/ COMMENTS
Compass Affordable Housing, Inc. Pima County & City	Alvord Court Apartments #4293	HOME	\$1,099,822	\$194,957	\$650,840.82	-0- New Construction underway, should be completed during FY16
Catalunya Apt., LLC (Thomas Development)	Catalunya Apt.(fka Mayfair Manor Apt) #4383	HOME	\$750,000	\$0	\$750,000	-0- Acquisition only; construction completed – tenants to occupy in FY16
Miraflores Apt., LLC (Thomas Development)	Miraflores Apt (fka Shadow Pines Apt) #4384	HOME	\$750,000	\$0	\$750,000	-0- Acquisition only; construction completed – tenants to occupy in FY16
Amity Foundation – Pima County	Dragonfly Village #4295	HOME	\$500,000	\$180,940	\$319,059.64	-0- Pima County project
La Frontera CHDO -R	Rally Point Apartments, LP #4485	HOME	\$560,880	\$0	\$333,386.08	New Construction underway, should be completed during FY16
TOTAL FY 2015:		HOME	\$3,660,702	\$375,897	\$2,803,286.54	No New Units Completed in FY 2015



FY 2015 CAPER Project Tables –PUBLIC FACILITES – Tucson

Agency	Project Title and IDIS #	Funding Source	Funds Expended in FY 2015	Completed Projects	Status
City of Tucson /HCD/TDOT	Section 108 Loan Repayment	FY 2015 CDBG-\$120,000	0	0	Pending Reallocation
City of Tucson	CDBG Target Area Estevan Park	FY 2015 CDBG-\$381,310	0	0	In Contract Development
City of Tucson TDOT	TA-PF-HAWK Old Nogales Highway & Olive IDIS#4493	FY 2015 CDBG-\$213,125	\$2,586	0	Project Underway
City of Tucson TDOT	TA-PF-HAWK Old Nogales Highway & Thoroughbred IDIS#4494	FY 2015 CDBG-\$146,875	\$864	0	Project Underway
Habitat for Humanity –	CDBG Target Area Preserve-A-Home	FY 2015 CDBG-\$75,000	0	0	Cancelled
City of Tucson/HCD El Portal Common Unity Apartments	Safe, Healthy & Green Facilities Year 2 of 5 IDIS# Pending	FY 2015 CDBG-\$50,000	0	0	Contract in Development
Esperanza En Escalante	Safe, Green & Healthy Facilities HVAC Upgrades Phase 2 IDIS#4495	FY 2015 CDBG-\$30,240	0	0	Project Underway
Esperanza En Escalante	Safe, Green & Healthy Facilities HVAC Upgrades Phase 1 IDIS#4396	FY 2014 CDBG-\$23,814	\$23,814	1	COMPLETED
Our Family	Safe, Green & Healthy Facilities – HVAC Energy Efficiency IDIS#4386	FY 2014 CDBG-\$48,880	\$48,880	1	COMPLETED
Primavera Foundation	Safe, Green & Healthy Facilities Primavera Men’s Shelter Upgrades Phase 2	FY 2015 CDBG-\$50,000	0	0	Project Underway

	IDIS#4510				
Primavera Foundation	Safe, Green & Healthy Facilities Primavera Men's Shelter Upgrades # 4363	FY 2014 CDBG-\$50,000	\$50,000	1	COMPLETED
Tucson Metropolitan Ministries (TMM)	Safe, Green & Healthy Facilities- Desert Winds Transitional Housing Upgrades IDIS#4496	FY 2015 CDBG-\$50,000	0	0	Project Underway
City of Tucson Parks & Recreation	Mission Manor Park Upgrades IDIS# 4367	FY 2014 CDBG \$532,618.91	\$453,710	0	Project Underway
City of Tucson Parks & Recreation	Reid Park Comfort Station ADA Rehabilitation IDIS# 4077	FY 2014 CDBG-\$340,452.90	\$340,452	1	COMPLETED
City of Tucson Parks & Recreation	Kennedy Park ADA Upgrades IDIS# 4292	FY 2014 CDBG-\$200,000	\$122,716	1	COMPLETED
City of Tucson Parks & Recreation	Iron Horse Park Basketball IDIS# 4366	FY 2013 CDBG-\$27,877.45	\$21,411	1	COMPLETED
City of Tucson Parks & Recreation	Seminole Park Walking IDIS# 4259	FY 2013 CDBG-\$74,503.64	\$10,160	1	COMPLETED
City of Tucson Parks & Recreation	Fr. Elias Esquer Park Upgrades IDIS#4393	FY 2014 CDBG-\$168,000	\$164,108	0	Project Underway
City of Tucson Parks & Recreation	Pueblo Gardens Park Upgrades IDIS#4394	FY 2014 CDBG-\$252,000	\$240,588	0	Project Underway
FY 2015 TOTAL CDBG Public Facilities :			\$1,459,475	7	COMPLETED

FY 2015 CAPER Project Tables – HISTORIC PROPERTIES REHABILITATION- Tucson Spot Blight and Low/Mod Area Benefit - Tucson						
Agency	Project Title and IDIS #	Funding Source	Total Funds Committed	Funds Expended In FY 2015	Completed Projects	Status
City of Tucson HCD/ Office of Historic Preservation	Oury Park Rec Center IDIS# 4277	FY 2013 CDBG	\$143,135	\$2322	1	COMPLETED
City of Tucson HCD/ Office of Historic Preservation	Rodeo Parade Museum Repairs (SB) IDIS# 4274	FY 2013 CDBG	\$56,902	0	1	COMPLETED
City of Tucson HCD/ Office of Historic Preservation	Sam Hughes Water Tower Restoration IDIS#4412	FY 2013 CDBG	\$100,000	\$8,000	0	Project Underway
City of Tucson HCD/ Office of Historic Preservation	El Con Water Tower Restoration IDIS#4482	FY 2013 CDBG	\$100,000	0	0	Project Underway

City of Tucson HCD/ Office of Historic Preservation	Adkins Ft. Lowell ADA Parking Lot IDIS# Pending	FY 2013 CDBG	\$65,000	0	0	Project Underway
City of Tucson HCD/ Office of Historic Preservation	C.O. Brown House façade Repairs IDIS# Pending	FY 2013 CDBG	\$100,000	0	0	Project Underway
City of Tucson HCD/ Office of Historic Preservation	Neon Sign Restoration Project IDIS# Pending	FY 2013 CDBG	\$125,000	0	0	Project Underway
Total CDBG Historic Properties:			\$690,037	\$10,322	2	Completed Projects

*Total Funds Committed includes all funding years. Funds Expended shows PR 2014 (FY 2015) expenditures only

FY 2015 CAPER PROJECT TABLES – CDBG Human/Public Services							
Agency	Project and IDIS #	Human Services Plan Target Group	Funding Source and Year	Funds Committed	Funds Expended in Prior Years	Funds Expended	Units of Service or People
City of Tucson Public Housing	Family Self Sufficiency Project IDIS#4452	Adults	FY 2015 CDBG PY2014	\$19,169	\$0	\$19,157	64
Community Food Bank	Temporary Emergency Food Assistance Program IDIS#4426	Homeless Crisis Assistance	FY 2015 CDBG PY2014	\$191,330	\$0	\$191,330	2,268
Emerge! Center Against Domestic Abuse	Crisis Assistance for Domestic Abuse Survivors IDIS#4430	Homeless Crisis Assistance	FY 2015 CDBG PY2014	\$191,250	\$0	\$191,250	454
Our Family, New Beginnings	Emergency Shelter Services IDIS#4425	Homeless Crisis Assistance	FY 2015 CDBG PY2014	\$60,000	\$0	\$59,999	174
Pima Council on Aging	Elderly Independent (with CHRPA) IDIS#4427	Adult Seniors	FY 2015 CDBG PY2014	\$30,500	\$0	\$30,500	107
Primavera Foundation Inc.	Relief and Referral Men’s Shelter IDIS#4452	Homeless Crisis Assistance	FY 2015 CDBG PY2014	\$102,580	\$0	\$102,580	299
Primavera Foundation Inc.	Women’s Services IDIS#4431	Homeless Crisis Assistance	FY 2015 CDBG PY2014	\$50,000	\$0	\$50,000	54
Southern Arizona Children’s Advocacy Center	Investigative/Intervention Services for Child Abuse Victims IDIS#4428	Youth Crisis Assistance	FY 2015 CDBG PY2014	\$80,000	\$0	\$79,798	232

United Way	EITC Tax Assistance IDIS#4456	Adults	FY 2015 CDBG PY2014	\$9,000	\$0	\$9,000	306
Youth on Their Own	Special Needs Program IDIS#4429	Youth Homeless Crisis Assistance	FY 2015 CDBG PY2014	\$40,000	\$0	\$40,000	209
TOTAL FY 2015 CDBG Public Services:						\$773,614	4,185

FY 2015 CAPER Project Tables – EMERGENCY SOLUTIONS GRANT (ESG) Program – Tucson

Agency	Project Title and IDIS #	Target Group	Funds Committed	Funds Expended in FY 2015	People Assisted
Chicanos Por La Causa	Emergency Assistance to Prevent Homelessness IDIS#4434	Homeless Prevention	FY 2015 ESG/PREV PY2014 \$45,000	\$31,077.39	59
Chicanos Por La Causa	Emergency Assistance to Prevent Homelessness IDIS#4219	Homeless Prevention	ESG/PREV PY2012 CF \$60,000	\$14,909.07	16
CODAC Behavioral Services of Pima County Inc.	Project Recovery IDIS#4310	Homeless Rapid Rehousing	ESG/RRH PY2013 CF \$27,156	\$10,187.95	18
Emerge! Center Against Domestic Abuse	Rapid Rehousing for Survivors of Domestic Violence IDIS#4435	Homeless Rapid Rehousing	FY 2015 ESG/RRH PY2014 \$120,398.85	\$85,000	61
Emerge! Center Against Domestic Abuse	Crisis Assistance for Survivors of Domestic Abuse IDIS#4432	Homeless Emergency Shelter Services	FY 2015 ESG/ESS PY2014 \$30,000	\$30,000	18
Esperanza En Escalante	Shelter Plus Care Homeless Prevention IDIS#4309	Homeless Prevention	ESG/PREV PY2013 Carry Forward \$38,000	\$0	9
La Frontera	RAAP Street Outreach IDIS#4220	Homeless Outreach	ESG/SO PY2012 CF \$40,000	\$8,312.84	120
Old Pueblo Community Services	Street Outreach for Homeless IDIS #4311	Homeless Outreach	ESG/SO PY2013 CF \$30,000	\$14,385.50	121
Old Pueblo Community Services	Rapid Rehousing IDIS#4240	Homeless Rapid	ESG/RRH PY2011 CF \$2,623.51	\$2,623.51	16

		Rehousing	\$38,529		
Our Family Services	New Beginnings Homeless Prevention IDIS#4434	Homeless Prevention	FY 2015 ESG/PREV PY2014 \$9,601.60	\$9,601.60	26
Our Family Services	New Beginnings Rapid Rehousing IDIS#4435	Homeless Rapid Rehousing	FY 2015 ESG/RRH PY2014 \$40,000	\$39,964.73	17
Our Family Services	New Beginnings Emergency Shelter Services IDIS#4432	Homeless Emergency Shelter Services	FY 2015 ESG/ESS PY2014 \$30,000	\$20,405.41	174
Our Family Services	HYS Street Outreach IDIS#4433	Homeless Outreach	FY 2015 ESG/SO PY2014 \$30,000	\$29,980.68	81
Our Family Services	New Beginnings Homeless Prevention IDIS #4309	Homeless Prevention	ESG/PREV PY2013 CF \$96,969.40	\$44,067.22	83
Our Family Services	Rapid Rehousing IDIS#4310	Homeless Rapid Rehousing	ESG/RRH PY2013 CF \$22,227	\$8,732.88	41
Pima County	Homeless Management Information System (HMIS) IDIS#4436	Homeless Planning & Reporting	FY 2015 ESGHMIS PY2014 \$5,000	\$0	0
Pima County	HMIS IDIS#4313	Homeless Planning & Reporting	ESGHMIS PY2013 CF \$5,000	\$2,372	0
The Primavera Foundation	Family Emergency Shelter IDIS#4433	Homeless Outreach	FY 2015 ESG/SO \$28,861	\$7,347.47	169
Primavera Foundation Greyhound Shelter	Greyhound Emergency Family Shelter IDIS#4432	Homeless Emergency Shelter Services	FY 2015 ESG/ESS \$54,458	\$28,825.22	328
City of Tucson	Administration #4420	Admin	FY 2015 ESG/ADMIN PY2014 \$31,874.55	\$1,519.82	NA
City of Tucson	Administration #4303	Admin	ESG/ADMIN PY2013 CF \$27,929	\$27,929.00	NA
City of Tucson	Administration #4223	Admin	ESG/ADMIN PY2012 CF \$36,354.83	\$6,770.95	NA
TOTAL FY 2015 ESG:			\$847,209.23	\$424,013.24	1357

ESG Funds committed include carry forward from FY2014. All figures are rounded;

Carry Forward to FY2016: \$157,255

Expenditures by Category:

Prevention	\$99,654 and 24 %
Rapid Re-Housing	\$163,475 and 38%
Outreach	\$30,134 and 8%
Shelter	\$79260 and 18%
HMIS	\$2372 and less than 1%

FY 2015 CAPER Project Tables - CDBG and General Fund ASSISTANCE to HOMELESS					
Agency	Project Title	Funding Category	Funds Committed	Funds Expended	People Assisted
Community Food Bank	Temporary Emergency Food Assistance Program IDIS#4426	Homeless Crisis Assistance	CDBG \$191,330.04	\$191,330.04	2,268
Emerge! Center Against Domestic Abuse	Crisis Assistance for Domestic Abuse Survivors IDIS#4430	Homeless Crisis Assistance	CDBG \$191,250	\$191,250	454
Our Family, New Beginnings	Emergency Shelter Services IDIS#4425	Homeless Crisis Assistance	CDBG \$60,000	\$59,999.55	174
Primavera Foundation Inc.	Relief and Referral Men's Shelter IDIS#4452	Homeless Crisis Assistance	CDBG \$102,580	\$102,580	299
Primavera Foundation Inc.	Women's Services IDIS#4431	Homeless Crisis Assistance	CDBG \$50,000	\$50,000	54
Youth on Their Own	Special Needs Program IDIS#4429	Youth Homeless Crisis Assistance	CDBG \$40,000	\$40,000	209
Central City Assembly	Crisis Assistance – Temporary Emergency Shelter	Homeless	General Fund \$20,000	\$19,931.96	4320 bed nights
CODAC Behavioral Health Services	51 HOMES Veterans Services	Homeless	General Fund \$7,300	\$7,300	16
Esperanza En Escalante	Homeless Preference	Homeless	General Fund \$14,980	\$14,980	10

Old Pueblo	Homeless Preference	Homeless	General Fund \$77,205	\$77,205	18
Our Family	Transitional Housing	Homeless Teen Parents	CDBG \$48,880	\$48,880	13 units
The Primavera Foundation	Primavera Works Job Training & Ethical Day Labor	Homeless	General Fund \$75,000	\$75,000	47
The Primavera Foundation	Homeless Preference	Homeless	General Fund \$45,964	\$45,964	69
TOTAL CDBG and GF:				\$924,419	4,975 people; 13 units and 4320 bed nights
TOTAL ESG:			\$847,209.23	\$424,013.24	1357
TOTAL FY 2015 Assistance to Homeless:				\$1,348,432	6,332 people; 13 units and 4320 bed

FY 2015 CAPER Project Tables - Investment in Programs for Persons with Special Needs					
Agency	Project Title	Target Group	Funding Source	Funds Committed	People Assisted
Catholic Community Services (CSS) dba COPD	Resources and Supports for Persons Deaf, Deafblind and Hard of Hearing	Disabled Youth and Adults	GENERAL FUND	\$45,000	121
Interfaith Community Services	Caregiving Health Advocacy Program	Senior Adults	GENERAL FUND	\$40,000	220
Miracle Square	Independent Living for Older Adults and persons with Disabilities	Senior Adults	GENERAL FUND	\$30,000	29
Mobile Meals of Tucson	Meal Delivery	Senior Adults	GENERAL FUND	\$30,000	43
Our Family Services	Senior Companion Program	Senior Adults	GENERAL FUND	\$30,000	80
Pima Council on Aging (PCOA)	Family Caregiver Support Program	Senior Adults	GENERAL FUND	\$30,000	95
Pima Council on Aging (PCOA)	Home Repair, Adaptation and Maintenance for the Elderly	Senior Adults	GENERAL FUND	\$30,000	48
Pima Council on Aging (PCOA)	Homecare Support for the Elderly	Senior Adults	GENERAL FUND	\$30,000	27
Pima Council on Aging (PCOA)	Nutrition Program for the Elderly	Senior Adults	GENERAL FUND	\$30,000	55
Tucson Urban League	Seniors Meals Program	Senior Adults	GENERAL FUND	\$33,000	249
TOTAL:				\$328,000	967
FY 2015 Annual Action Plan Project Tables - Housing Programs for persons with Special Needs					
Agency	Project Title	Target Group	Funding Source	Funds Committed	Households Assisted
Direct Center for Independence	Home Access	Disabled	CDBG	\$144,562.75	33

City of Tucson /PCOA	Elderly Home Repair	Senior Adults	CDBG	\$89,935.17	14
Total Housing:				\$234,497.92	47
Total Expenditures for Special Needs:				\$562,497.92	1,014



FY 2015 CAPER Project Tables Project Tables General Funds Invested for Public/Human Services						
Agency	Project Title	Target Group	Funding Source	Funds Committed	Funds Expended	Units
Arts for All	Youth/Childhood Development and Support	Youth	GENERAL FUND	\$40,000	\$40,000	98
Big Brothers, Big Sisters of Tucson	One-to-One Adult to Child Mentoring	Youth	GENERAL FUND	\$40,000	\$40,000	40
Casa de los Ninos	Parenting Education Program	Youth	GENERAL FUND	\$30,000	\$30,000	863
Catholic Community Services (CSS) dba COPD	Resources and Supports for Persons Deaf, Hard of Hearing or Deaf or Blind	Disabled	GENERAL FUND	\$45,000	\$45,000	121
Catholic Community Services (CSS) Pio Decimo	Early Childhood and Youth Development	Youth	GENERAL FUND	\$45,000	\$45,000	280
Central City Assembly	Crisis Assistance – Temporary Emergency Shelter	Homeless	GENERAL FUND	\$20,000	\$19,931.96	4320 Bed Nights
Child and Family Resources, Inc.	Happy Hours After School Program & Summer Camp	Youth	GENERAL FUND	\$30,000	\$30,000	58
CODAC Behavioral Health Services	51 HOMES Veterans Services	Homeless	GENERAL FUND	\$7,300	\$7,300	16
Community Food Bank of	Back Pack Program	Youth	GENERAL FUND	\$40,000	\$40,000	266

Southern Arizona						
(The) Early Childhood Development Group	Early Childhood Educator Career Development	Adults	GENERAL FUND	\$44,446.20	\$44,446.20	29
(The) Early Childhood Development Group	Tuition Support for High Quality Child Care	Youth	GENERAL FUND	\$45,360	\$45,361	53
Emerge! Center Against Domestic Abuse	Case Management /Counseling for Survivors of Domestic Abuse	Adults Youth	GENERAL FUND	\$50,000	\$50,000	215
Esperanza En Escalante	Homeless Preference	Homeless	GENERAL FUND	\$14,980	\$14,980	10 Households
Interfaith Community Services	Case Management for Emergency Financial Assistance	Adults	GENERAL FUND	\$40,000	\$40,000	909
Interfaith Community Services	Caregiving Health Advocacy Program	Senior Adults	GENERAL FUND	\$40,000	\$40,000	220
Miracle Square	Independent Living for Older Adults and persons with Disabilities	Senior Adults	GENERAL FUND	\$30,000	\$30,000	29
Mobile Meals of Tucson	Meal Delivery	Senior Adults	GENERAL FUND	\$30,000	\$30,000	43
Old Pueblo Community Services	Homeless Preference	Homeless	GENERAL FUND	\$77,205	\$77,205	18 Households
Our Family Services	Teens in Transition Parenting/Life Education	Youth	GENERAL FUND	\$30,000	\$29,946.27	22
Our Family Services	Senior Companion Program	Senior Adults	GENERAL FUND	\$30,000	\$30,000	80
Parent Aid Child Abuse Prevention Center	Parent Partners In-Home Parent Support	Adults	GENERAL FUND	\$30,000	\$30,000	108
Pima College Adult Education	GED Now! Career Readiness	Adults	GENERAL FUND	\$65,273	\$65,273	103
Pima Council on Aging (PCOA)	Family Caregiver Support Program	Senior Adults	GENERAL FUND	\$30,000	\$30,000	95
Pima Council on Aging (PCOA)	Home Repair, Adaptation and Maintenance for the Elderly	Senior Adults	GENERAL FUND	\$30,000	\$30,000	48
Pima Council on Aging (PCOA)	Homecare Support for the Elderly	Senior Adults	GENERAL FUND	\$30,000	\$30,000	27
Pima Council on Aging (PCOA)	Nutrition Program for the Elderly	Senior Adults	GENERAL FUND	\$30,000	\$30,000	55
Pima Prevention Partnership	Pima County Teen Court	Youth	GENERAL FUND	\$35,000	\$34,999.65	332

So. AZ. AIDS Foundation (SAAF)	Case management services for people living with HIV/AIDS	Adults	GENERAL FUND	\$35,000	\$35,000	85
So. Arizona Legal Aid	Homeowner and Tenant Protection	Adults	GENERAL FUND	\$50,000	\$50,000	225
The Primavera Foundation	Primavera Works Job Training & Ethical Day Labor	Homeless	GENERAL FUND	\$75,000	\$75,000	47
The Primavera Foundation	Homeless Preference	Homeless	GENERAL FUND	\$45,964	\$45,964	69
The Child Language Center	Early Intervention for Young Children with Speech and Language Impairments	Youth	GENERAL FUND	\$40,000	\$40,000	81
Tucson Metropolitan Ministries	Transitional Housing Case Management	Adults	GENERAL FUND	\$40,000	\$39,700	44
Tucson Urban League	Project YES: Tutoring & Mentoring	Youth	GENERAL FUND	\$32,500	\$32,500	120
Tucson Urban League	Seniors Meals Program	Senior Adults	GENERAL FUND	\$33,000	\$33,000	249
The YMCA	Childcare Means Success for Kids & Families	Youth	GENERAL FUND	\$60,000	\$60,000	124
Youth on Their Own	Stipend Program	Youth	GENERAL FUND	\$59,500	\$59,500	516
TOTAL GNERAL FUNDS for Human Services:			GENERAL FUND	\$1,474,501.20	\$1,474,080.08	5601 Individuals 68 Households 4320 Bed Nights

FY 2015 Annual Action Plan Project Tables – PLANNING (Funded By CDBG Administration Set Aside)						
Agency	Project Title and IDIS #	Funding Source	Funds Committed	Funds Expended in FY 2015	Plans	Accomplished
City of Tucson/HCD	Program Administration IDIS#4418	CDBG	\$988,647.07	\$884,531.29	N/A	CDBG Program Administration
Community Partnership of Southern Arizona	Homeless Planning and Coordination IDIS#4437	CDBG	\$25,000	\$25,000	1	Completed Plan – Ongoing Coordination
Southwest Fair Housing Council	Don't Borrow Trouble IDIS#4447	CDBG	\$25,000	\$25,000	1	Anti-predatory Lending practices
Southwest Fair Housing	Fair Housing Education and Compliance	CDBG	\$25,000	\$25,000	1	On-going community assessment of impediments to fair

Council	IDIS#					housing choice
TOTAL Plans:				\$75,000	3	

FY 2015 Annual Action Plan Project Tables – ECONOMIC DEVELOPMENT						
Agency	Project Title and IDIS #	Funding Source	Funds Committed	Funds Expended in FY 2015	Persons Assisted	Accomplished
Microbusiness Advancement Center	MAC's Microenterprise Expansion Project IDIS# 4399	FY 2015 CDBG	\$75,000	\$75,000	28	COMPLETED
City of Tucson HCD/CMO office of Economic Initiatives	Downtown Hotel	FY 2014 Section 108	\$8,000,000	0	0	HUD project Approval Obtained, Level 1 Transaction Documentation needed to secure funding
TOTAL:			\$8,075,000	\$75,000	28	

2. Describe the manner in which the recipient would change its program as a result of its experiences.

Linking Public Services and Public Facilities

The City of Tucson continues its goal of providing stronger linkages between its Human (public) Services Planning process and its Community Development Block Grant Public Facilities solicitation process so that the consortium-wide goals are integrated. Utilizing this approach, a public facility that houses homeless persons under the auspices of a housing first model funded through public facilities dollars would be linked with funding of public services dollars to provide needed public services. The City and County have implemented a CDBG-funded Safe, Green and Healthy Program for 5 years to retrofit shelters and public services facilities for energy efficiency and long-term sustainability.

CDBG Target Area and Emphasis on Poverty and Economic Development

The City has also identified a CDBG strategy to invest in a target area where there are long-standing high concentrations of poverty. Applications for funding to support services and facilities in this targeted area receive additional points in the proposal evaluation process. This target area integrates several local revitalization initiatives and may become part of a 2015 Promise Zone application to the U.S. Department of Housing and Urban Development (HUD), should the City pursue a designation.

Integrated Planning

Planning staff within the Housing and Community Development Department (HCDD) prepared a City of Tucson General & Sustainability Plan adopted by the Mayor and Council at their July 9, 2013 session. The 10-year Plan was referred to the November 2013 ballot for voter ratification. The voter-approved Plan includes stronger links to Neighborhood Plans and community-wide sustainability outcomes so that implementation of the critical elements of those plans and related services can be supported by the City's federal entitlements where applicable. Staff continues to look for ways to integrate the various programs and projects implemented by the Housing and

Community Development Department, as well as looking for ways to better integrate community development programs and projects with those of other departments. The Department continues to replace isolated approaches to community development with comprehensive programs and initiatives so that staff can better analyze how the services they are responsible for can improve the lives of Tucson residents. <https://www.tucsonaz.gov/integrated-planning/plan-tucson>

Last year, HCDD prepared a new 5-year Consolidated Plan with Pima County. This 2015 – 2020 Consolidated Plan was entered into HUD’s eCon Planning Suite Program. Goals established in the update to the 5-year Plan were based on feedback received in fourteen community forums and an analysis of CAPER data for previous program years shown on pages 6 - 9 of this document.

https://www.tucsonaz.gov/files/hcd/City_of_Tucson_and_Pima_County_FFY2015-2019_5-year_HUDConsolidated_Plan.pdf

3. ***Actions to Affirmatively Further Fair Housing***
 - a. ***Provide a summary of impediments to fair housing choice.***
 - b. ***Identify actions taken to overcome effects of impediments identified.***
4. ***Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.***
5. ***Leveraging Resources***
 - a. ***Identify progress in obtaining “other” public and private resources to address needs.***
 - b. ***How Federal resources from HUD leveraged other public and private resources.***
 - c. ***How matching requirements were satisfied.***

Addressing Impediments to Fair Housing in the City of Tucson:

The City of Tucson and Pima County make up a consortium which receives entitlement funds and collaborates to conduct the Analysis of Impediments to Fair Housing (AI) process and submit the AI report to HUD. The Analysis of Impediments document utilized in this reporting period was completed in 2009 (known as the 2009 AI Plan), and can be found on the City’s web site at: www.tucsonaz.gov/hcd . The report was drafted by the Southwest Fair Housing Council (SWFHC) in collaboration with the Southwest Center for Economic Integrity (SCEI). This document was adopted in FY 2010. The Plan provides an analysis of current impediments to fair housing choice in Pima County, including the City of Tucson. This document includes an Action Plan to eliminate or reduce these impediments that will be implemented in years 2010 through 2015. An updated AI was completed with the FY 2015 – 2020 5-year Consolidated Plan.

As in previous years, in program year 5 the City allocated \$25,000 to the Southwest Fair Housing Council for fair housing activities and an additional \$25,000 in support of the “Don’t Borrow Trouble” program.

It is inevitable that some of the information contained in the CAPER report to Pima County and the CAPER report to the City of Tucson overlaps since the Analysis of Impediments is a multi-jurisdictional document. However, other information provided below is unique to the City of Tucson.

For the period July 1, 2014 through June 30, 2015, the Southwest Fair Housing Council, on behalf of the City of Tucson, participated in the following actions to address Impediments to Fair Housing:

The Southwest Fair Housing Council (SWFHC) Fair Housing, Outreach, Education and Enforcement (FHOEE) program served 502 person overall; provided 31 presentations; participated in 6 community events; conducted 18 successful phone tests and recruited 12 new community partners. This program distributed 5,950 pieces of fair housing literature.

Don't Borrow Trouble Program

Don't Borrow Trouble is the first comprehensive consumer awareness campaign of its kind, combining public education and counseling services to help homeowners avoid lending practices that strip away their home's equity. Don't Borrow Trouble uses brochures, mailings, posters, public service announcements, transit ads and television commercials to inform the public and answer questions from potential borrowers. Assistance is provided to consumers in the event they have already taken out a loan. This program served 310 persons overall; included 8 workshops/presentations/community events and provided one-on-one financial counseling to 54 clients. The program distributed 3,250 pieces of English/Spanish literature to the community.

Fair Housing: Eliminating Barriers to Housing Choice

The City of Tucson/Pima County consortium is a CDBG entitlement jurisdiction with a mandate to affirmatively further fair housing (AFFH). The mandate is carried out in part by conducting the Analysis of Impediments to Fair Housing Choice (AI) process. The AI report is submitted to HUD. The AI contains an action plan with measurable actions to be taken to overcome the effects of the fair housing impediments identified in the report. The consortium has five primary goals in developing the AI and implementing the action plan:

- 1) Eliminate all forms of illegal housing discrimination.
- 2) Actively promote fair housing choice for all persons.
- 3) Provide opportunities for inclusive patterns of housing occupancy regardless of race, color, religion, sex, familial status, disability and national origin.
- 4) Actively promote housing that is structurally accessible to, and usable by, all persons, particularly persons with disabilities.
- 5) Foster compliance with the nondiscrimination provisions of the Fair Housing Act.

The initial AI report was submitted in 1998 and was updated in 2004, 2010, and 2015. The 2015 AI updates and revises the previous AI. The 2015 AI was drafted by the Southwest Fair Housing

Council (SWFHC) under contract to, and with funding from, Pima County and completed on behalf of the consortium.

The City of Tucson currently contracts with SWFHC to fund its Fair Housing Program. Its DBT program received funding through June 2015, but no longer receives city funding. The Fair Housing Program assists the city in meeting its fair housing obligations. SWFHC provides comprehensive services to achieve and preserve equal access to housing for all people. SWFHC provides education and outreach to public and private entities, fair housing testing to investigate housing discrimination, and assistance to clients with fair housing issues through mediation and filing fair housing complaints.

The Don't Borrow Trouble Program (DBT) protects homeownership, family wealth and people's fair lending rights. DBT seeks to eliminate abusive financial practices, increase awareness about predatory lending, eliminate mortgage scams, prevent foreclosures and remedy lending discrimination. Staff provides education and outreach to public and private agencies, assists clients with fair lending issues and refers clients to partner agencies for further assistance.

Over the next year, the City of Tucson will work to eliminate housing discrimination and affirmatively further fair housing practices. The City will strive to achieve these goals by continuing to fund and work closely with the Southwest Fair Housing Council, continue to improve its efforts to affirmatively further fair housing by using the AI as a cornerstone of housing and community development planning, and continue to implement the action plan contained in the AI. As the plan is implemented, the City will monitor the actions taken and maintain records of those actions. The records will be reviewed at the end of the year, help formulate next year's fair housing action plan and will be incorporated into the new planning documents.

4. Addressing Obstacles to Meeting Underserved Needs

Tucson strives to meet the underserved needs of the community. This is accomplished through programs and services to homeowners and renters.

Homeowners

The Mayor and Council directed CDBG-funded housing rehabilitation organizations to develop a coordinated strategy for providing home repair to low-income households community-wide. Nine (9) partner organizations with long-standing programs formed a collaboration in 2000 and began working on several goals: 1) incorporation of home maintenance, education and preventative care in housing rehabilitation programs; 2) streamlining of the application process for eligible households to apply and receive housing rehabilitation assistance; 3) determining cost-effective and efficient ways to purchase supplies and make repairs when combining resources; and 4) applying for a portion of the annual CDBG allocation to fund a coordinated strategy. The City and its Housing Rehab Collaborative partners are evaluating this multi-agency approach and will be implementing program efficiencies based on maximize funding and services.

Renters

Low-income renters often experience difficulty obtaining decent, affordable housing. The Primary resources for affordable rental development and preservation are the local Public Housing Authority (PHA) public housing units and Section 8 housing choice voucher program, the State of Arizona Low-Income Housing Tax Credits (LIHTC) and the HOME-funded Rental Housing

Partnership. The City did not directly receive any tax credits in the planning period but has committed resources to projects owned and implemented by non-profit and for-profit LIHTC developer partners. The HOME-funded rental development program is City's the primary focus for investment in new affordable rental housing and in future years will serve as the City's set-aside commitment for all LIHTC applications.

The City's Housing Choice Voucher Program provided 4565 vouchers for City of Tucson applicants and an additional 877 for applicants living in Pima County. These figures include several specialty programs for specific populations. The City of Tucson provided 1,505 public housing units in FY 2015. Applicants must be below 50% AMI to qualify. At least 40% of new admissions must be below 30% AMI. In FY 2015 the PHA is adding a preference for homeless households limited to 10% of the PHA's total portfolio of 1505 units and 10% of the PHA's vouchers (except for vouchers designated for other special populations). The City managed an additional 602 VASH vouchers to assist veterans.

Currently, the City of Tucson owns many Single Family Homes and Multi-Unit Dwellings under the EI Portal Program. The City of Tucson PHA has acquired these properties in order to increase the affordable housing market in Tucson. Units become available when the City purchases a property or a tenant vacated a City-owned EI Portal unit.

A condition of using federal funds to administer the EI Portal Program is that units be made available to those who would benefit from affordable rental housing (person or persons whose family income is below 80% of the median income limit); therefore, it is necessary to document the income and assets of a household.

5. Leveraging Resources

Wherever possible, the City attracts additional resources by submitting grant applications and providing support to organizations that apply to competitive grant programs. The City has been successful in attracting grants to support agencies that provide housing and services. Historically, these have included: The Affordable Housing Program of the Federal Home Loan Bank, Neighborhood Stabilization Program (NSP), Low Income Housing Tax Credits, State of Arizona HOME Program and Housing Trust Fund, Supportive Housing Program (SHP), HOPE VI Program, and HOPE 3 programs. The City has contributed general funds to meet HOME program match and for activities which support special needs and persons experiencing homelessness.

<i>City of Tucson Resources Leveraged with HUD Entitlement Funds</i>	<i>TOTAL Leverage</i>
FEDERAL FUNDS	
Continuum of Care City Programs for the Homeless	\$2,179,561
Highway User Revenue Fees (HURF) – Back to Basics	0
Lead-Based Paint and Lead Hazard Reduction Grant Programs (expenditures)	\$916,056
Older Americans Act Funds (expended)	\$73,721
STATE FUNDS	
First Things First – Economic Stabilization of Families and Infant & Toddler Expansion Grants (expended)	0
LOCAL FUNDS	
City General Fund – Human Services (expenditures)	\$1,467,201
City General Fund – Back to Basics	0
City General Funds ONLY – HOME Match	\$321,007
Pima County HOME Match CASH Reporting ONLY	\$3,037,231
Forgone Taxes; Impact Fee Exemptions/Fee Waivers **	0
Pima County Affordable Housing General Obligation Bonds (G.O. Bond)	0
PROGRAM INCOME	

CDBG Program Income without Revolving Loan PI.	\$205,222.84
CDBG – Revolving Loan PI (PR26)	\$39,227.42
City of Tucson HOME Program Income	\$979,758.08
Neighborhood Stabilization Program (NSP1) PI	\$260,405.70
Neighborhood Stabilization Program (NSP3) PI	\$128,947.07
Non-Profit and Private Funds	
Donations, Labor and Materials*	0 pending reports
Non-Match Cash/Land	0 pending reports
TOTAL Value of Resources Leveraged:	\$10,547,206

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

Program Year 5 Managing the Process response:

Lead Agency

The City of Tucson and Pima County formed a HOME consortium in 1992. The City of Tucson Housing and Community Development Department (HCDD) is the lead agency for the development of the Consolidated Plan and the Implementation of the HOME program. The City receives funds from four HUD formula grant programs covered by the Consolidated Plan: the Community Development Block Grant Program (CDBG); the HOME Investment Partnerships Program (HOME); the Emergency Solutions Grant Program (ESG) and the Housing Opportunities for Persons with AIDS Program (HOPWA).

The 2010-2015 Consolidated Plan and the FY 2015 Annual Action Plan are available on the City of Tucson’s website at: www.tucsonaz.gov/hcd.

The projected funding levels and categories in the Annual Plan are determined by:

- 1) set-asides and activities that meet federal funding criteria and federal program regulations including priorities in the adopted 5-year Consolidated Plan;
- 2) previous direction from Mayor and Council; and
- 3) existing multi-year contractual commitments or funding categories.

Project recommendations are based on:

- 1) advertised project-based application processes (internal or external);
- 2) request for proposals (RFP) processes;
- 3) existing multi-year contractual commitments; and
- 4) If applicable, contract performance.

RFP processes included proposal evaluations and recommendations from citizen review committees made up of local experts. The projected funding levels and committee recommendations are forwarded to Mayor and Council for review and approval, after a 30-day public comment period for the Annual Action Plan.

In FY 2015 the City of Tucson and Pima integrated the updated Goals and Policies in the City's general Plan, "plan Tucson", and the County's Comprehensive Plan, "Pima Prospers" with the implementation of Consolidated Plan goals and policies. The City and County as a Consortium began exploring the coordination of project selection and funding decisions and the implementation of a compatible on-line application process in Zoom Grants. The intent is to create a more efficient system for implementation of the Annual Plan activities approved by each local government. It is anticipated that this system will make it easier to contract with partner agencies and monitor program outcomes. Appendix A correlates the 2015 – 2020 Consolidated Plan Goals with Plan Tucson Goals and Policies.

Citizen Participation

- 1. Provide a summary of citizen comments.**
- 2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.**

CAPER Citizen Participation response:

The City's goal for citizen participation is to ensure broad participation of both residents and service providers in housing and community development planning and program development. The City followed its published Citizen Participation Plan in consulting with the public and stakeholder agencies.

Summary of Citizen Comments

A public comment period was held from September 10, 2015 through September 25, 2015. A summary of comments received follows:

 Public Comments were received.

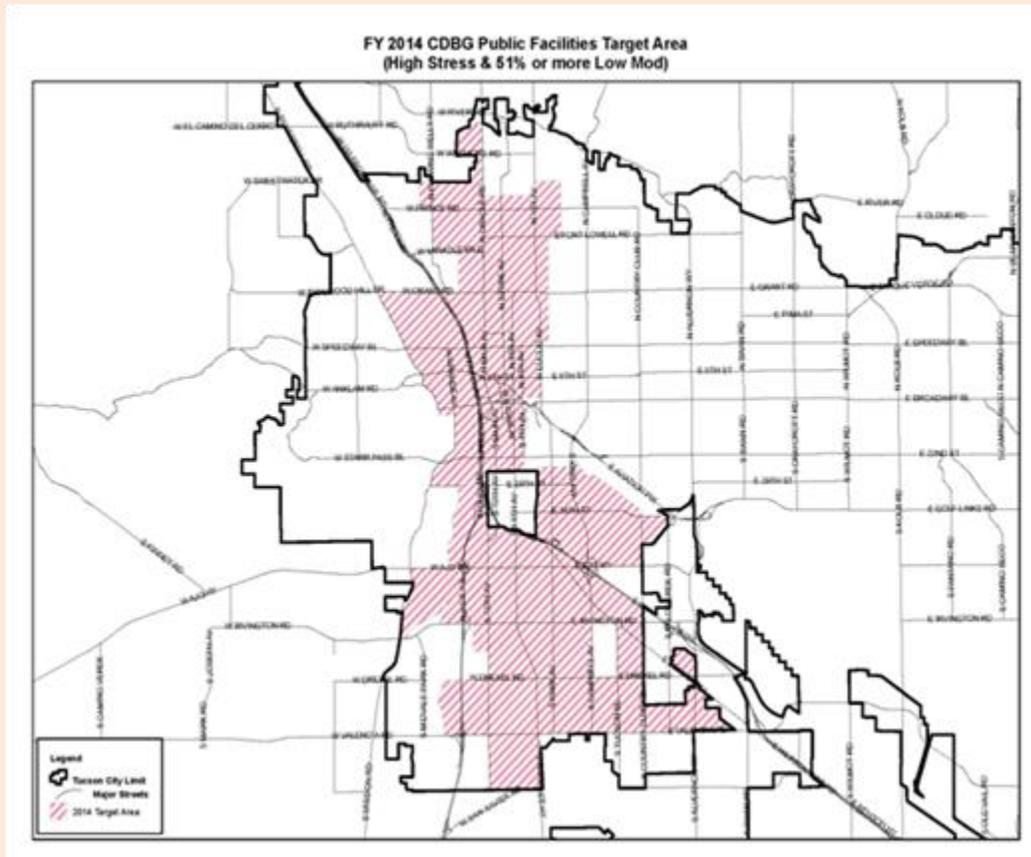
2. Geographic Distribution

The following census tracts are considered low-income areas with minority concentration (50% or more minority residents): 2, 3, 8, 9, 10, 11, 12, 13.02, 20, 21, 23, 24, 25.01, 37.01, 38, 42, 43.08, 44.07, 48, 50. The City invests entitlement funds in areas of minority concentration. These funds

are broadly distributed because most of the City's entitlement-supported programs are open to income-eligible residents city-wide. The PHA has maintained a scatter site policy for public housing and section 8.

MAPS The City allocates funding in several ways, to ensure investment in areas of minority concentration:

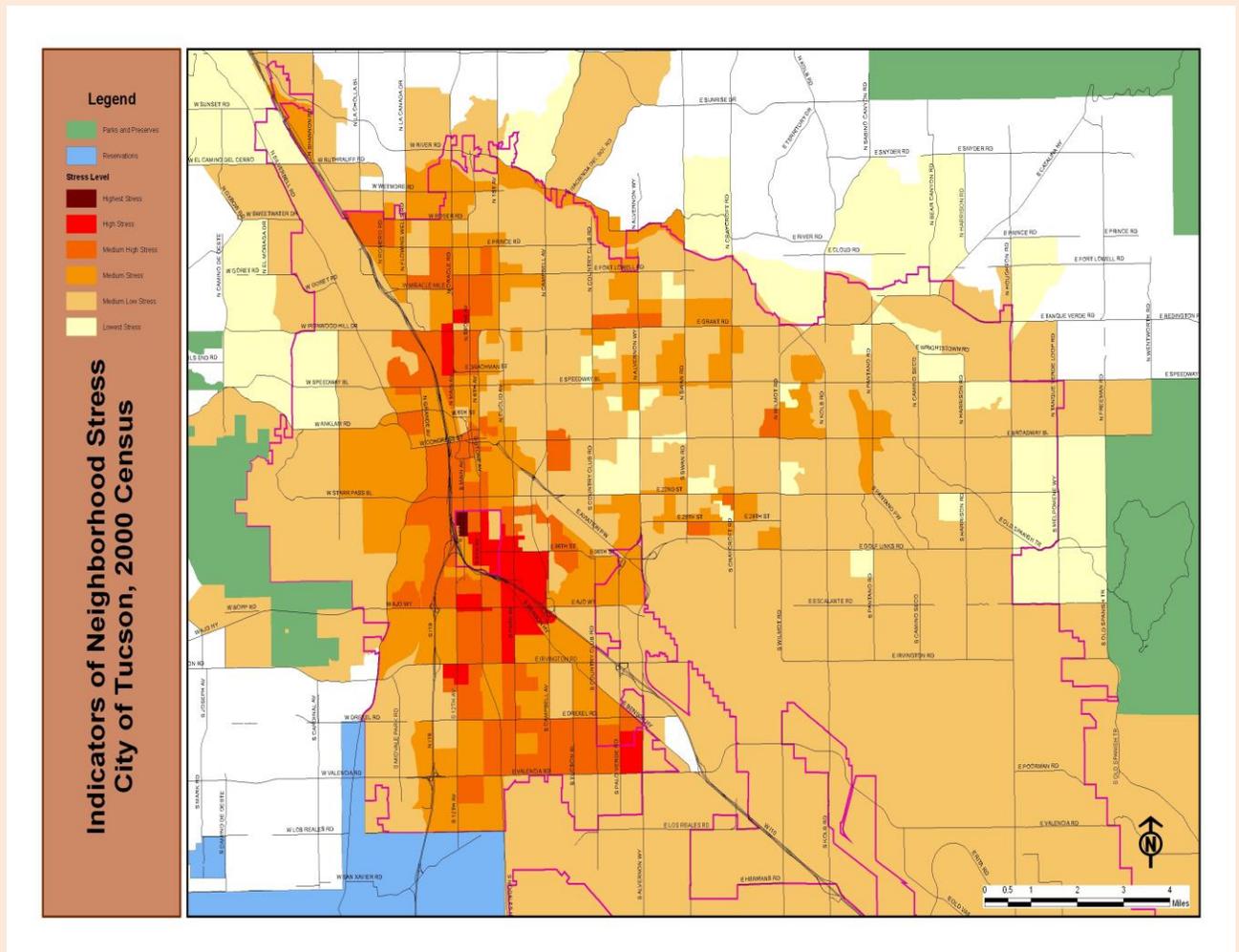
1. **CDBG Target Area and Poverty and Urban Stress** – In FY 2013 the Mayor and Council adopted a CDBG Target Area. The boundaries of this target area were established based on a 2012 update to the City of Tucson Poverty and Urban Stress report. Strategic investment in CDBG Target Area neighborhoods, facilities and services are underway and are prioritized for future entitlement year allocations. This CDBG Target Area is located within the boundaries of the contiguous census tracts showing disproportionately high poverty that qualifies Tucson to apply for a HUD Promise Zone designation.

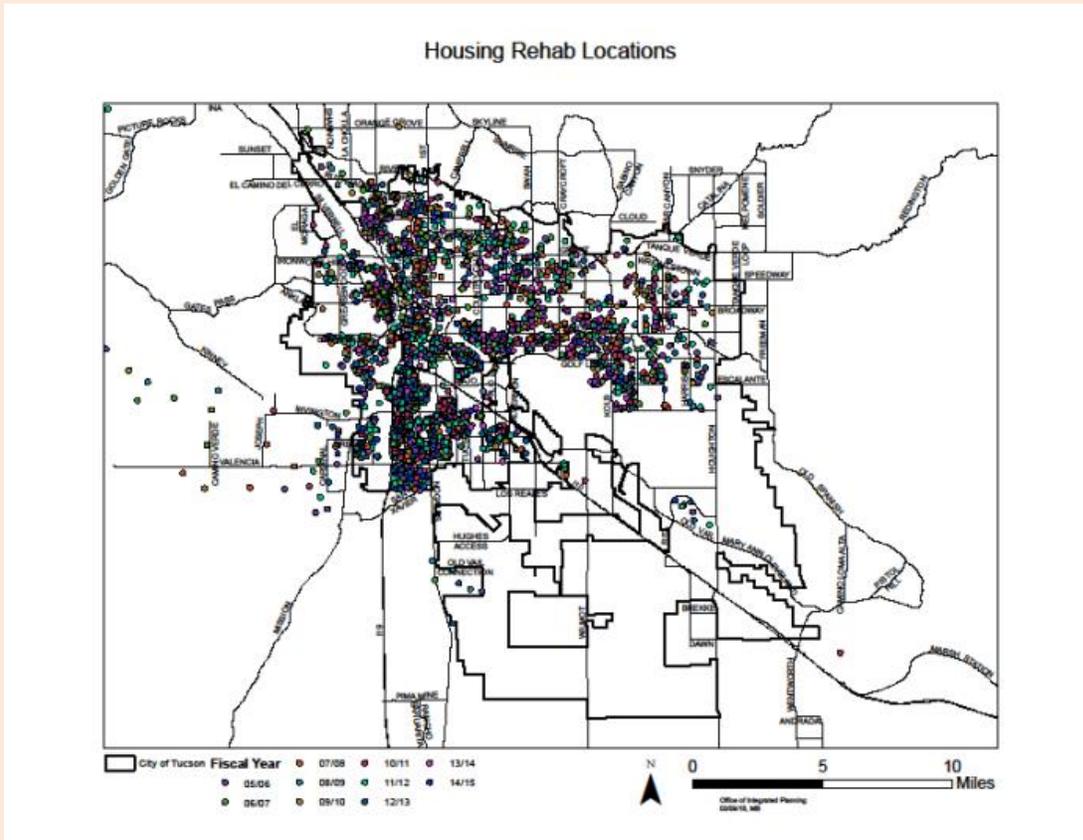


2. **Minority Concentration** - The majority of the City's entitlement funding is directed to programs that are city-wide. This is intended to provide access to services to a broad spectrum of clients. Clients who are also residents from areas of minority concentration may receive services in an area of minority concentration or at a location where the service is offered outside of an area of minority concentration. In either case, entitlement

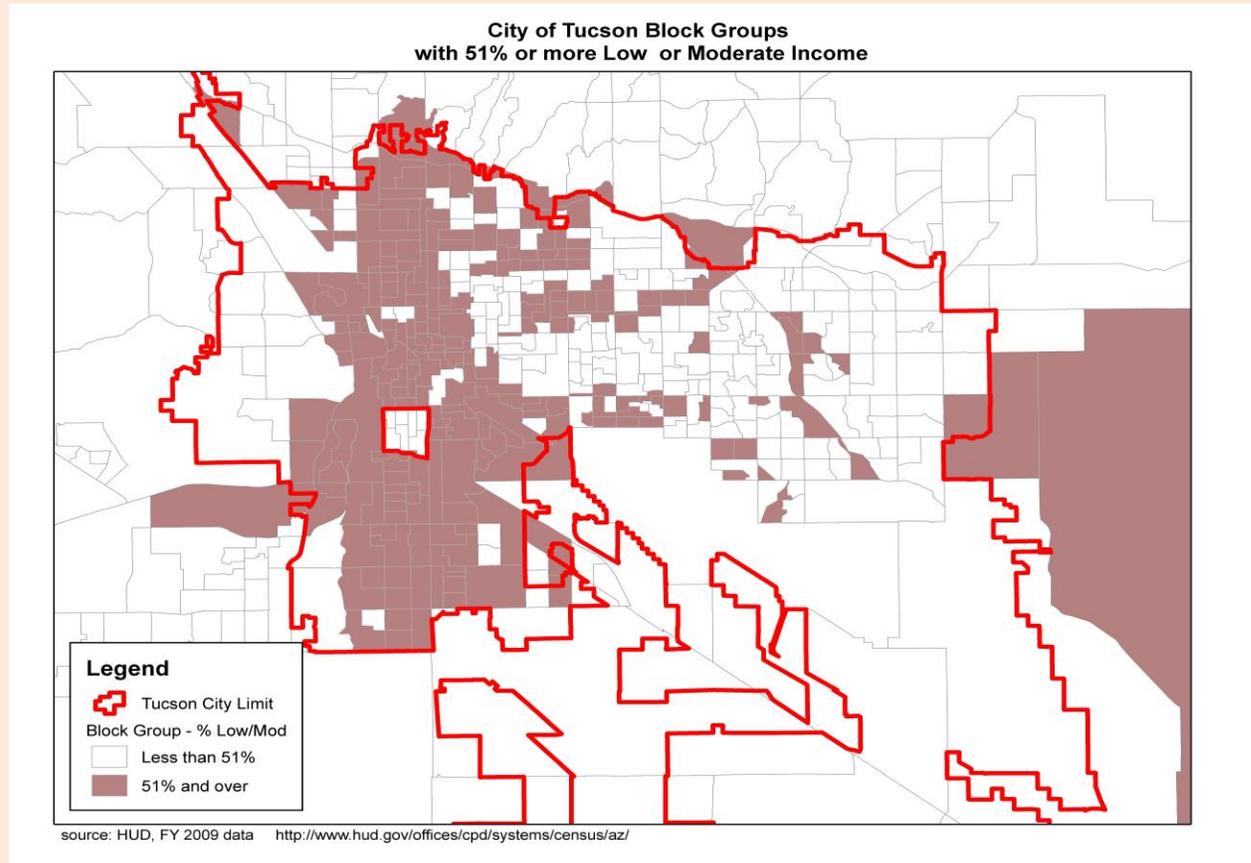
funds are directed to benefit residents of minority concentration areas (see the stress map on the following pages).

3. **Public Facilities** - The City funds these projects in areas designated low-income or for the mitigation of spot blight. Many of these projects are also in minority concentration areas. The City makes these investments to upgrade facilities in areas where there is a disproportionate need or a disparity in the facilities available to the surrounding area (see Low/Mod Income Area map on next page).
4. **Back to Basics Target Areas:** This program was intended to rotate funding opportunities to all areas of the City. Back to basics neighborhoods received an investment of CDBG and other funds to make improvements based on a neighborhood-driven prioritization process. Generally, these target neighborhoods overlay with minority concentration areas. This program was phased out in November 2013.





5. **Housing Rehab Collaboration (HRC) owner occupied rehabilitation program:** The Mayor and Council directed CDBG-funded housing rehabilitation organizations to develop a coordinated strategy for providing home repair to low-income households community-wide. The City of Tucson and eight (8) partner organizations with long-standing programs formed a collaboration in 2000 and began working on several goals: 1) incorporation of home maintenance, education and preventative care in housing rehabilitation programs; 2) streamlining of the application process for eligible households to apply and receive housing rehabilitation assistance; 3) determining cost-effective and efficient ways to purchase supplies and make repairs when combining resources; and 4) applying for a portion of the annual CDBG allocation to fund a coordinated strategy. This program serves low-income owner households city-wide who need assistance to repair their homes and eliminate health and safety risks.



Institutional Structure

- 1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.**

Program Year 5 CAPER Institutional Structure response:

The Housing and Community Development Department (HCDD) has enacted a wide range of activities to enhance coordination and improve services to the community.

Human Services Plan and Review Committees

In previous years the City Human Services Review Committees convened on a two year cycle and were charged with reviewing Human Services project proposals and presenting funding recommendations to the City Manager. As part of the 5-year Consolidated Planning process in fall 2014, the Consortium scheduled a public forum on agency coordination with a specific focus on human services allocations and contracts. The goal for 2016 is to update the 2008 Human Service Plan and reduce agency duplication of effort while increasing the overall impact of entitlement funding on meeting desired community-wide outcomes.

Metropolitan Housing Commission

The Metropolitan Housing Commission is a Mayor and Council appointed advisory committee Focusing on affordable housing issues and resources, including the Tucson Housing Trust Fund. The Commission, which meets monthly, is comprised of 15 members from a diverse group of interests including: Neighborhood/Consumer Advocate; Developer/Builder/Designer; Real Estate/Finance; and Community Partners.

Delegation of Authority – Environmental Reviews

The Mayor and Council have delegated authority to the Director of HCDD, or her designee, to implement the review requirements for the National Environmental Policy Act (NEPA).

Delegation of Authority – Contracts

The Mayor and Council have delegated authority to the Director of HCDD, or her designee, to execute contracts or other documents necessary to carry out activities listed in this document.

Lending Institutions

Many of the City's programs and initiatives utilize mortgages from local lending institutions. The City may ask lenders to provide loans directly to bankable low-income households for home purchase or home improvement loans; or to an organization or developer for a public facility or multi-family housing project. The City depends on the local implementation of federal Community Reinvestment Act (CRA) programs to successfully develop needed affordable housing.

City of Tucson and Pima County Consortium

The consortium partners engage with various levels of government and other major funders, in policy development and program implementation. In FY 2008, the City and County entered into an agreement to authorize the City to operate housing programs on behalf of the County in order to provide financial assistance to families eligible for public housing and Section 8, who reside in Pima County.

Public Planning Process In fiscal year 2010 the City consolidated the Community Services Department with what was Urban Planning to form the Housing and Community Development Department. Planning staff within the Department produced an update to the General Plan,

including elements that have a direct relationship to the goals outlined in the City/County Consolidated Plan. There was significant public participation in the development of Plan Tucson that included:

- 6 general public introductory meetings in six different geographic locations throughout Tucson
- 2 stakeholder orientation meetings focused on challenges and opportunities
- 39 Policy Working Groups at which interactive processes were used with stakeholders, including not-for-profit organizations, institutions, neighborhood associations and governmental agencies, as well as with members of the general public to identify ideas for policies
- 2 Policy Forums at which results of the Policy Working Groups were presented and feedback sought through oral and written comment from the public
- 5 Community Workshops held in five different geographic locations of Tucson to provide information on growth projections and national demographic and housing trends, to consider two alternative growth scenarios, and to develop additional scenarios
- 5 Open Houses to present the Draft Plan, solicit feedback, and answer questions
- 32 on-request presentations
- Plan Tucson website with information on Plan Tucson activities and progress, working documents, meeting agendas and meeting summaries, as well as a Facebook page and comment opportunities
- 5 Planning Commission public hearings and 1 Mayor and Council public hearing (A.R.S. 9-461.00)

Appendix A of this CAPER lists the Housing Goals and Priorities in Plan Tucson that correlate with the 2015-2020 goals in the Consolidated Plan.

Downtown Revitalization

The City is continuing its major revitalization of its downtown and surrounding neighborhoods. The City's investment of Tax Increment Financing (TIF) District funds compliments the investment in affordable housing and neighborhood improvements in the downtown and surrounding area, including historic neighborhoods. The Rio Nuevo project new commercial, cultural, transportation and public facilities to the core of downtown. Prior to the recession, the plan was to build up to 2,000 new housing units within a ten-year period. During the 2010-2015 Consolidated Plan period, the City engaged in a multi-level planning process that includes the development of neighborhood plans, special meetings and events to solicit public input, and consultation with national experts. Updates regarding downtown revitalization are available at <http://www.downtowntucson.org/>

Coordination of Enforcement and Resources in Neighborhoods

The City established a Neighborhood Preservation Ordinance (NPO) that consolidates and enhances code provisions dealing with property maintenance. This is supported with a commitment to the CDBG-funded Vacant and Neglected Structures (VANS) program that funds the removal of vacant and dilapidated housing posing a health and safety risk to the neighborhood.

Program Guidelines

In September 2013 following discussions with HUD, HCDD/PCDD began the initial steps in consolidating and finalizing various 'operations procedures manuals' and guidelines based on

existing Federal, State, County and City laws and regulations (including codes and ordinances) for all HUD funded programs administered by HCDD/PCDD. As of the end of FY 2014 much of this undertaking was completed including the bulk of the revamped HOME Investment Partnership program policies and regulations. As it is driven by actual need and current policy, the comprehensive 'Procedures Manual' will be a living, evolving document.
https://www.tucsonaz.gov/files/hcd/7-14-2015_HOME_Procedural_Manual_City_of_Tucson_2015.pdf

Intergovernmental Coordination

Public improvement projects and economic development/revitalization initiatives are coordinated with numerous City departments, including: Parks and Recreation, Planning & Development Services, Transportation and General Services, the Office of Economic Initiatives and the Office of Integrated Planning. The Consortium's 2014 Promise Zone application was the planned mechanism for addressing high concentrations of poverty in a multi-departmental approach. The 2014 request for a Promise Zone designation was not granted, and the Consortium is working on a Promise Zone application for the 2015 round.

Industrial Development Authorities

The City of Tucson and Pima County have Industrial Development Authorities (IDA) with special bonding capacity and financial resources for affordable housing development and public facility enhancements.

The Tucson IDA promotes and assists in the rehabilitation, expansion and development of business and industry. The intent is to create and maintain jobs to assure an improved standard of living and an increase in the prosperity of all residents of Tucson, with an emphasis on new and expanding businesses where sources of traditional capital are not available.

The Tucson IDA assists in the financing, rehabilitation and development of affordable housing and promotes homeownership opportunities with an emphasis on the residents of the City of Tucson.

The Tucson IDA promotes and assists on projects designed to enhance, revitalize and rehabilitate the cultural, social, economic, historical and physical resources of the downtown, lower income neighborhoods, infill projects and of the broader Tucson community.

The City of Tucson has renewed a Memorandum of Agreement (MOA) with the City of Tucson IDA to facilitate economic development activities.

Monitoring

- 1. Describe how and the frequency with which you monitored your activities.**
- 2. Describe the results of your monitoring including any improvements.**
- 3. Self Evaluation**
 - a. Describe the effect programs have in solving neighborhood and community problems.**
 - b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.**
 - c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.**
 - d. Indicate any activities falling behind schedule.**
 - e. Describe how activities and strategies made an impact on identified needs.**

- f. Identify indicators that would best describe the results.
- g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
- h. Identify whether major goals are on target and discuss reasons for those that are not on target.
- i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

Program Year 5 CAPER Monitoring response:

1. Monitoring Timeline

Program monitoring for CDBG and HOME regulatory compliance did not occur on a continual basis during 2015. The full monitoring component of HOME projects was anticipated to resume by December, 2014. Due to staff changes and reductions, rental development project monitoring visits and inspections have been delayed. Monitoring of the Participating Agencies that administer HOME's Down Payment Assistance program was completed, as was the Pima County HOME program, and the Pima County Community Land Trust.

2. Monitoring Plan/Results

The following is the City's current guidance relating to monitoring plans for both CDBG and HOME. The City's strong commitment to the monitoring of partner agencies has resulted in stronger relationships, minimization of errors, and better product and service delivery throughout the community. Of particular note is HCD's pre-contract audit requirement, whereby City audit staff review agencies' financial systems to insure that they have the capacity to successfully manage the awarded funds.

Pre-Award Screening

Prior to award of funds, all sub-recipient service providers received pre-contract assessments to assure that sufficient administrative and fiscal management systems were in place to successfully provide the service identified in the grant applications. During the RFP process, City staff meets individually with agencies to evaluate program capacity issues.

Post-Award Monitoring

After funding approval, sub-recipients received program orientation and technical assistance in setting up the necessary reporting mechanisms.

Staff provided desk monitoring and technical assistance on a continual basis as monthly billings were reviewed and processed.

City staff conducted Need and Risk Assessments on 100% of the contracts. City staff uses the Monitoring HOME Program Performance model developed by HUD to ensure compliance with HOME rules and regulations. Public facility and infrastructure projects with Davis-Bacon requirements were implemented, administered and monitored in compliance with the appropriate statutes and regulations.

Annually, City staff holds an eligibility-training class for all funded agencies that manage low-income HOME rental units. The class was mandatory for all CHDO's that receive CHDO

Operating Funds. The training includes how to conduct income eligibility in accordance with Section 8 guidelines, and explains what documents must be maintained in the eligibility file.

Centralized Monitoring Guidance

Electronic copies of divisional monitoring forms as well as HUD’s review documents/desk guides are all stored in the Planning and Community Development Division’s shared electronic files.

Centralized Copies of Monitoring Reports and Non-Profit Audits

Staff places copies of monitoring reports, financial statements, A-133 audits and IRS Form 990’s in a centralized file sorted by agency, fiscal year and project. This ensures greater divisional oversight and coordination of funded projects. Staff also updates an Excel spreadsheet to input details of visit.

CDBG Monitoring

It is the policy of the Housing and Community Development Department, Planning and Community Development Division, to monitor all sub-recipient contracts on an annual basis. All sub-recipients will, at a minimum, be monitored by means of an office desk-review utilizing a monitoring checklist appropriate for the program/project. Those sub-recipients whose risk assessment is high (4 or more factors checked) will receive on-site monitoring. Those sub-recipients whose risk assessment is medium (2 to 3 factors checked) will, time permitting, receive on-site monitoring, with those with the highest number of risk factors being a priority. Those sub-recipients whose risk assessment is low (0 to 1 factors checked) will receive a desk-review monitoring. All sub-recipients will receive on-site monitoring in the event it is requested by an authorized city, state or federal official. Additionally, the City may, at its discretion, perform a risk assessment of a sub-recipient, and if the risk assessment warrants on-site monitoring, the City may perform same. All public facility projects require an on-site visit prior to making final payment.

HOME Monitoring

The City of Tucson monitors the following units to ensure that all HOME units meet the HOME Monitoring requirements as specified in 24 CFR Part 92.207. On-site inspections are conducted to ensure that each unit meets federal housing quality standards. Frequency of inspections for 570 units is listed below:

Rental Partnership Monitoring Frequency			
	Project	Frequency	# COT HOME Units
1	ADDI/HAP	N/A	N/A
2	St. Lukes in the Desert	Annual	5
3	1 North 5th	Annual	11
4	Talavera Apartments	Annual	11
5	Fry Apartments	Annual	48
6	Las Villas De Kino Phase 1	Annual	11
7	Las Villas De Kino Phase 2	Annual	11
8	Glenstone Apts	Annual	7
9	Ghost Ranch Lodge Ph 1	Annual	20
10	Ghost Ranch Lodge Ph 2	Annual	11
11	El Portal	Annual	43

12	Parkside Terrace Apts	Annual	11
13	Colores Del Sol	Annual	11
14	Casa Bonita 3, 4 & 5	Annual	11
15	MLK	Annual	30
16	Council House	Annual	4
17	MacArthur Apartments	2 years	6
18	SAAF - Glenn Street	2 years	11
19	New Beginnings PH 2	2 years	24
20	New Beginnings PH 1	2 years	16
21	Casitas San Miguel	2 years	10
22	Mabel & Delano	2 years	8
23	Casitas Esperanzas Byas	2 years	8
24	Casa Bonita 1 & 2	2 years	4
25	MHC - 9 Home Contract	2 years	9
26	MHC - 11 Home Contract	2 years	11
27	Casita Mia 1 & 2	2 years	10
28	Wings of Freedom	2 years	8
29	Casita Mia 5	3 years	2
30	TMM Fairhaven South	3 years	4
31	TMM Lee St - Fourplex	3 years	4
32	TMM Fairhaven North	3 years	5
33	Michael Keith	3 years	1
34	Casitas On Broadway	Desk Only	28
35	NCR of Tucson	Desk Only	30
36	Blanche Johnson	Desk Only	34
37	Sunnyside Pointe	Annual	10
38	Bella Vista	Desk Only	10
39	Las Montañas	Annual	11
40	Vida Nueva Apartments	Annual	12
41	Silverwood Casitas	Desk Only	42
42	Sonrisa	Desk Only	7

Inspection results are maintained in-house with a copy provided to the property manager. Any significant findings or concerns are addressed as identified.

3. Self-Evaluation

The overall goal of the Planning and Community Development programs identified in the Consolidated Plan is to develop viable communities by providing decent housing and a suitable living environment and expanding economic opportunities for low- and moderate-income persons. During FY15, the City funded activities and implemented strategies that had a significant impact on achieving these goals. The information contained in this report highlights the FY 2010 - 2015 accomplishments, which include:

- Homeownership opportunities for low-income families have increased;
- More rental units are available to low- and moderate-income individuals and existing affordable units are being preserved;
- Housing rehabilitation goals have been achieved;

- Persons experiencing homelessness have a wide variety of programs designed to provide shelter, case management and counseling, and promote self-sufficiency;
- Critically needed services are available community-wide; and
- Public facility improvements are being made that will improve access to services as well as to revitalize neighborhoods.

With the expiration of the City contracts in early FY 2014, those firms providing HUD-certified, home buyer counseling to the joint City of Tucson/Pima County 'Down Payment Assistance' (DPA) Program were subject to a re-evaluation to establish an updated list of agencies. Following the requisite 'Request For Proposals' (RFP) issued in late 2012, new contracts were awarded to six (6) local, reputable non-profit agencies, to wit: Chicanos Por La Causa, Family Housing Resources, Old Pueblo Community Services, Pio Decimo Center, Primavera Foundation, and Tucson Urban League. All of the FY 2014 DPA partners possess decades of experience in facilitating affordable homeownership on behalf of low- and moderate-income families in both the City of Tucson and Pima County. With this late start, the DPA Program was unable to reach its annual goal in 2014 and in 2015 only 53 households were assisted with down payment funding.

Within the affordable rental housing development community, the local market continued to improve. In past years numbers fell somewhat short of projections, more units were completed in 2014 than at any time since 2009. There are four projects in progress, added to the 13 units acquired by El Portal at the Stone Pointe property, and the 16-unit CDBG funded Mojave project. The City has ear marked HOME dollars for commitments to LIHTC applications, and will leverage diminishing HOME funds to provide a competitive edge to tax credit projects in Tucson that are competing against project applications state-wide.

The City of Tucson did not meet the annual goal for public facilities with only 7 projects accomplished and 12 in progress. These projects tend to be multi-year projects.

The City of Tucson has continued to provide a continuum of services to address the variety of needs in the community. For Fiscal Year 2015, 83.54% of CDBG funds (excluding funds for administration /planning) were expended to serve low/moderate income persons. The City has used multi-year certifications to document that CDBG funds principally benefit persons of low and moderate income. There were no actions taken or omitted by the City that hindered the implementation of projects listed in the 2015 Annual Update to the Consolidated Plan. No major program changes are anticipated as a result of the 2015 accomplishments and production.

Timeliness of Expenditure Requirements

The City of Tucson met all expenditure requirements for the following grant entitlements and documentation is on file for each program as follows:

CDBG- PR56 Timeless Ratio Report

HOME - Monthly Deadline Compliance Status Reports

HOPWA PR02 Report - 2 year obligation with a 3 year deadline to expended obligated funds.

The ESG - PR91 ESG Financial Summary for HUD shows that \$2,628 for Pima County HMIS and \$.10 for CODAC for a total of \$2,628.10 were subject to recapture in the current program year.

Lead-based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Program Year 5 CAPER Lead-based Paint response:

Rehabilitation projects

The City follows strict HUD guidelines for testing and abatement of lead-based paint and other hazardous substances, and requires compliance for its contractors and subcontractors. Any structure built before 1978 which is proposed for rehabilitation under federal programs is tested for lead-based paint, as required by Subpart J of 24 CFR Part 35. Notices and requirements regarding testing and removal for lead-based paint are provided to program participants, contractors and project sponsors. The City of Tucson has private market, licensed contractors who are trained in Lead Safe Work Practices, and are available to perform appropriate abatement and/or removal procedures if lead-based paint is present. The City also provided training for non-profit and City staff on federal compliance requirements, including seminars dealing with asbestos, mold and healthy-homes awareness.

The City completed an assessment a remediation of 29 homes during FY 2015 through \$84,568.45 in CDBG match expenditures and \$773,327.18 from its allocation of Lead Hazard Control funding. In addition to lead abatement was an allocation of CDBG match of \$101,212 specifically for 'Healthy Homes' activities to address multiple childhood diseases and injuries in the home. The Initiative takes a comprehensive approach to these activities by focusing on housing-related 'environmental' hazards including: mold, lead, allergens, asthma, carbon monoxide, home safety, pesticides, and radon. The City submitted a Lead Hazard Control grant application for \$3,325,000 in FY2015 but did not receive funding.

HOUSING

Housing Needs

1. Describe Actions taken during the last year to foster and maintain affordable housing.

Program Year 5 CAPER Housing Needs response:

The City of Tucson has worked on several fronts to preserve and develop affordable housing for low-income families in our community. The City has been successful in exceeded several of the set goals in areas including: Assistance to Persons with Special Needs; Public Services and Neighborhood Revitalization.

With an annual goal of assisting 475 Homeowner households, the City met this goal by rehabilitating 689 units in FY 2015 and 2556 for the 5-year period.

New Homeownership development did not meet the anticipated annual goal with only 59 for the year and 722 for the 5-year period. With the expiration of the City contracts in early FY 2013, organizations providing HUD-certified, home buyer counseling to the joint City of Tucson/Pima County 'Down Payment Assistance' (DPA) Program had to be re-evaluated. Restrictive underwriting after the housing market crash eliminated some families from qualifying for a mortgage.

In addition, the local home buyer program administered by the Industrial Development Authority (IDA) is less restrictive and provides more financial assistance. Many buyers who might consider applying through the City/County DPA may prefer to apply for assistance through that program.

Program Year 5 CAPER Specific Housing Objectives response:

Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.
2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.
3. Describe efforts to address “worst-case” housing needs and housing needs of persons with disabilities.

1. Evaluate Progress

The City’s goals and targets were approved by the Mayor and Council, in the Consolidated Plan, and the Annual Action Plan. These goals and targets establish the City’s overall objectives and outcome measures for affordable housing until 2015. The goals and targets were developed to plan for the needs of families, older residents, persons with disabilities, and low-income individuals.

The combined goals in the Consolidated Plan establish a long-term vision and facilitate a quantitative measure of progress and specific outcomes relative to these needs. This Program Year 5 CAPER closes out the 2010 -2015 Consolidated Plan and needs assessment. The Consortium adopted a new Consolidated Plan for 2015 -2020, and the goals and priorities for this current 5-year Plan are reflective of past accomplishments and the vision established in the General and Comprehensive Plans adopted by the local governments in recent years.

2. Specific Goals and Accomplishments

Specific Housing Objectives and Accomplishments

Rental Housing Objectives FY 2015			
Specific Objectives	Performance Measures	Goals	Actual
Increase the supply of affordable rentals for families	Number of Units Produced:	184	0
Reduce the number of elderly persons who are cost burdened	Number of Units Produced:	50	0
Preserve affordable rental units	Number of Units Retained:	100	0
55 units of HOME tenant Based Rental Assistance were funded for the homeless set-aside and rapid re-housing programs			

Owner Housing Objectives FY 2015			
Specific Objectives	Performance Measures	Goals	Actual
Increase the supply of affordable homeownership units	Number of Units Produced	165	6
Preserve existing housing stock	Number of Units Rehabilitated	475	689
Increase affordability through down payment assistance	Number of Homeownership Assisted:	100	53
Lead Free Homes	Number of Units Assisted	20	177

The City’s rental housing program is supported by HOME funds and the allocation was set aside for LIHTC projects and the funds that were committed were for projects that will start production in FY 2016, including two CHDO projects. A lengthy 106 process for one of the CHDO projects delayed the project start.

Homeowners Assisted by Income FY 2015		
CDBG - Home Owner Rehabilitation HRC Agencies	208	E-82 V-73 L-53
CDBG - Home Owner Rehabilitation City Programs	499	E-98 V-108 L-293
HOME Funded Development Projects	4	60-80 AMI -3 50-60 AMI -1
HOME Funded Down Payment Assistance	53	60-80 AMI – 39 50-60 AMI -8 30-50 AMI - 6

3. “Worst Case” Housing Needs:

Generally, the homeless population and extremely low-income and very low-income owners and renters are unable to significantly contribute to the cost of housing themselves or keeping up with maintenance, repairs, utilities and other escalating housing costs. In these instances, specialized programs such as the Supportive Housing Program (SHP) funded Bridges Program and other SHP and ESG funded programs are designed to meet the needs of this population.

Homelessness and veterans housing are a high priority for the City of Tucson. The Public Housing Authority (PHA) has implemented a set-aside of public housing units for permanent housing for the homeless; a veteran’s preference and housing vouchers through the VASH program, and is working with non-profit partners to address issues related to housing and self-sufficiency for residents who require additional support. The PHA is working with other governmental agencies like the VA, the health department and the criminal justice system to provide support services that assist in meeting the emergency, transitional and permanent housing needs of the homeless, veterans and people who are discharged from incarceration.

The City addresses the “worst case” dilapidated housing structures through its Vacant and Neglected Structures (VANS) program. This program targets unoccupied structures that are blight in the community, citing owners under the Neighborhood Preservation Ordinance. This effort was cut back due to a reduction in federal funding levels, but has been re-designed and identified as a high priority for the 2015-2020 consolidated planning period.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

CAPER Public Housing Strategy response:

Program Year 5 CAPER Public Housing Strategy response:

1. The City has several initiatives that provide opportunities to public housing residents.

Family Self-Sufficiency Program

City of Tucson's Family Self-Sufficiency Program (FSS) assists Section 8 and Public Housing Program residents in moving from dependency on public assistance programs to self-sufficient independence. FSS is principally a work-incentive program that helps participants become more economically independent through goal setting and case management support over a five-year period of time.

ROSS (Resident Opportunity for Self-Sufficiency) Program

The City of Tucson's Resident Opportunity for Self-Sufficiency Program (ROSS) assists Public Housing residents to increase earned income, reduce or eliminate the need for welfare assistance, make progress toward achieving economic independence and housing self-sufficiency through goal setting and case management support.

EDSC (Elderly/Disabled Service Coordinator) Program

The City of Tucson Elderly / Disabled Service Coordinator Program (EDSC) assists elderly and disabled residents improve living conditions and/or enable residents to continue to live-in-place, independently, without having to move to more expensive assisted care environments

Capital Fund

Annually, the City is awarded approximately \$1,350,000 in capital funds to be used to maintain and improve our public housing inventory. (\$1,351,498 FY 12 and \$1,374,842 FY 13, \$1,074,840 FY 14 and \$1,303,715 FY 2015)

Housing Management

The City's Housing Choice Voucher Program provided 4565 vouchers for City of Tucson applicants and an additional 877 for applicants living in Pima County. These figures include several specialty programs for specific populations. The City of Tucson provided 1,505 public housing units in FY 2015. Applicants must be below 50% AMI to qualify. At least 40% of new admissions must be below 30% AMI. In FY 2015 the PHA is adding a preference for homeless households limited to 10% of the PHA's total portfolio of 1505 units and 10% of the PHA's vouchers (except for vouchers designated for other special populations). An additional 602 vouchers under the VASH program are available to veterans.

The City's Public Housing and other affordable housing units are administered by property management offices regionalized throughout the City. The management team at each office is responsible for all residential property management activities associated with its assigned Asset Management Projects, including property maintenance and modernization work. During FY 2015 the Public Housing Program converted from a single waiting list to site based waiting lists to afford applicants some choice in the type and location of Public Housing units.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

Program Year 5 CAPER Barriers to Affordable Housing response:

Bilingual Material

A large percentage of low-income residents in Pima County are Spanish speaking. Materials and advertisements promoting countywide homeownership programs are printed in English and Spanish.

Incentives for Private Developers

The City provides incentives to non-profit and for-profit developers to expand their affordable housing production. In addition, the City has leveraged land and provided below-market financing for affordable housing development.

Don't Borrow Trouble

This project is designed to raise public awareness of predatory lending issues and to provide referral services to qualified credit counselors.

Analysis of Impediments and other Fair Housing Activities

A HUD mandated program, the Analysis of Impediments strives to eliminate all forms of illegal housing discrimination and to actively promote fair housing activities for all. An updated version of this Plan was completed in FY2009 and is available for review at the following website: <http://www.tucsonaz.gov/hcd> under plans. This AI expired during the planning period and was updated with the new Consolidated Plan covering July 1, 2015 through June 30, 2020.

Language Assistance Plan for Limited English Proficient Persons

In January 2007, HUD issued final guidance relating to providing meaningful access to persons who have limited English proficiency. The City of Tucson has developed a Language Assessment Plan to address the needs and requirements of those clients who are not fluent in English. The plan can be found at the following website: <http://www.tucsonaz.gov/hcd> under plans.

The HOME Investment Partnership Program (HOME)

1. **Assessment of Relationship of HOME Funds to Goals and Objectives**
 - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.
2. **HOME Match Report**
 - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.
3. **HOME MBE and WBE Report**

-
- a. **Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women’s Business Enterprises (WBEs).**

4. Assessments

- a. **Detail results of on-site inspections of rental housing.**
- b. **Describe the HOME jurisdiction’s affirmative marketing actions.**
- c. **Describe outreach to minority and women owned businesses.**

Program Year 5 CAPER HOME response:

1. Relationship of HOME Funds to Goals and Objectives:

In FY 2015, Tucson (or the Consortium) expended \$3,905.367 in HOME Investment Partnerships (HOME) Program funds.

The following chart illustrates how HOME funds were utilized to implement this strategy in FY 2015:

FY 2015 HOME Investment Partnerships Program (HOME) City of Tucson and Pima County					
Program	Funding Source	Expenditures	#Units	% AMI	Ethnicity
Homeownership	HOME	\$259,753.48	4	60-80%AMI = 3 50-60%AMI = 1	White = 1 Hispanic = 2 Black = 1
Down-payment Assistance Includes City and Pima County	HOME	\$303,979.25	City = 30 County = 23 Total = 53	60-80%AMI = 19- City, 20-PC 50-60%AMI = 6- City, 2-PC 30-50% AMI= 5-City, 1-PC	White Total = 53 City-30, County-23 Hispanic Total = 40 City-22, County-18
Rental	HOME	\$2,803,286.54	--	--	Projects currently under construction; beneficiary data not available until project completion.--
Tenant-Base Rental	HOME	\$18,067	55	0-30%AMI = 55	White = 47 Hispanic = 13 Black = 4 American Indian/Alaskan = 1 Native Hawaiian/ Pacific Islander = 3
Administration – City	HOME	\$432,216.78			
Administration- Pima County	HOME	\$88,064.13			
TOTAL	HOME	\$3,905,367.18			

The 2015 accomplishments for projects sponsored by Community Housing Development Organizations (CHDO’s) are included in the homeownership and rental development totals, including CHDO project funds. CHDO operating expenses were \$81,845.36 in FY 2014. The Consortium eliminated CHDO operating funds from the HOME allocations beginning in FY 2014.

HOME Rental Development

Reflecting the post-recession economic environment that began to materialize in Tucson in 2012, a major priority of Housing and Community Development's investment of its HOME Investment Partnership's entitlement was in the area of development of affordable rental housing. This past spring three of Tucson projects were awarded tax credits. These will be allocated the City's HOME dollars to begin production in FY 2016.

The Industrial Development Authority of the City Of Tucson and Pima County has a more attractive Down Payment Assistance program which has caused homebuyers to choose their DPA program over the City and County HOME-funded down payment assistance programs. Effective July 1, 2014 the City and County revised the deed restrictions for the HOME program to read that the DPA amount would be forgiven after the affordability period of 5 years. This replaced the previous policy which was to record a permanent debt for the life of the loan with the interest forgiven over time, and the DPA amount due upon sale.

2. HOME MATCH REPORT

The Consortium met and exceeded the 25% mandated HOME program match requirement, per the HOME match report on the following pages.

Will Insert PDF of HOME Match Report Here.

3. HOME Minority and Women-Owned Business

The City's Office of Equal Opportunity Programs has developed a Disadvantaged Business Enterprise Program to remedy past and current discrimination in contracting and procurement opportunities for disadvantaged businesses. It serves to create equal opportunity for those DBE firms doing business with the City of Tucson on projects receiving federal financial assistance. The program includes the publication of a monthly Certified Business Directory: http://cms3.tucsonaz.gov/files/oeop/9_Sept_2013_DBE_Directory.pdf

The Housing and Community Development Department produces an Annual Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) report which provides information on applicable HCD projects.

The following remedies are employed by the City of Tucson and HCD to address marketplace discrimination against minority (MBE) and women-owned (WBE) businesses:

- Consultation with new or developing businesses
- Certification of disadvantaged businesses
- Publication and distribution of a Disadvantaged Business Enterprise Directory
- Notification of DBEs of Invitation for Bids (IFB) and Requests for Proposals (RFP) relating to construction, procurement and professional services
- Holding of city construction project plans for use by DBEs
- Development and execution of seminars
- Conducting outreach activities to recruit DBEs into the city purchasing process, including workshops for small businesses and publications describing the city's purchasing process
- Providing assistance with purchasing procedures and policies
- Monitoring of purchasing efforts to ensure DBE access to the process

HCD has encouraged minority participation in its activities through its Section 3 Program. See: <http://cms3.tucsonaz.gov/files/hcd/section3final020711.pdf> .

This program provides employment opportunities to low-income and project-area residents, and is supplemented by a commitment of funds for education and training

4. HOME Assessments

- A. As a result of a significant decrease in Federal funding since 2011, the City has suffered a corresponding reduction in staffing over that period. With this decrease in capacity and a welcome increase in affordable rental development project applications, the PJ was essentially forced to defer on its established monitoring obligations and accompanying site visits.
- B. The HOME PJ utilizes the existing affirmative marketing networks of both the City itself - with opportunities announced and posted on the City of Tucson/Housing and Community Development Department website, as well as through individual department contacts - and in cooperation with the multiple community partners with whom we collaborate on numerous local projects, spanning the wide spectrum from human and support services to rental housing development and the promotion of affordable home ownership. The PJ has utilized this and related strategies for the past several decades.
- C. Outreach to minority- and women-owned businesses is accomplished through the City's own networks accessed through the City of Tucson website, as well as through a network of local non-profit agencies. This has produced very positive results as evidenced by the

fact that more than 90% of the City's contractors/vendors are minority- and/or women-owned businesses. Moreover, this has been the trend over the past 20+ years.

HOMELESS

Homeless Needs

1. Identify actions taken to address needs of homeless persons.
2. Identify actions to help homeless persons make the transition to permanent housing and independent living.
3. Identify new Federal resources obtained from Homeless Super NOFA.
4. **Program Year 5 CAPER Homeless Needs response:**

1. Actions Taken to Address Needs of Homeless Persons

The City has worked with the Tucson Pima Collaboration to End Homelessness (TPCH) in developing the local Continuum of Care Strategic Plan. The Strategic Plan was developed to further address the needs of homeless persons while meeting a HUD requirement to apply for funding and clarifying the priorities of TPCH for the present and future. This section identifies actions taken during FY 2015 to provide this continuum of care for homeless persons and persons with special needs, and indicates that the City exceeded Consolidated Plan goals. In FY 2015, 6332 homeless individuals were assisted with case management, bed nights, employment, food and other crisis assistance.

During FY 2015, the City committed CDBG, ESG, and City General funds in the amount of \$1,348,432 to programs serving homeless persons. Specific projects and agencies supported during FY 2015 are listed below. The goals of these projects are to prevent homelessness and assist individuals and families find permanent housing and regain self-sufficiency.

FY 2015 Annual Action Plan Project Tables - CDBG and General Fund Assistance to Homeless Persons					
Agency	Project Title	Funding Category	Funds Committed	Funds Expended	People Assisted
Community Food Bank	Temporary Emergency Food Assistance Program IDIS#4426	Homeless Crisis Assistance	CDBG \$191,330.04	\$191,330.04	2,268
Emerge! Center Against Domestic Abuse	Crisis Assistance for Domestic Abuse Survivors IDIS#4430	Homeless Crisis Assistance	CDBG \$191,250	\$191,250	454
Our Family, New Beginnings	Emergency Shelter Services IDIS#4425	Homeless Crisis Assistance	CDBG \$60,000	\$59,999.55	174
Primavera Foundation Inc.	Relief and Referral Men's Shelter IDIS#4452	Homeless Crisis Assistance	CDBG \$102,580	\$102,580	299
Primavera Foundation Inc.	Women's Services IDIS#4431	Homeless Crisis Assistance	CDBG \$50,000	\$50,000	54
Youth on Their Own	Special Needs Program IDIS#4429	Youth Homeless Crisis Assistance	CDBG \$40,000	\$40,000	209
Central City Assembly	Crisis Assistance – Temporary Emergency Shelter	Homeless	General Fund \$20,000	\$19,931.96	4320 bed nights
CODAC Behavioral Health Services	51 HOMES Veterans Services	Homeless	General Fund \$7,300	\$7,300	16
Esperanza En Escalante	Homeless Preference	Homeless	General Fund \$14,980	\$14,980	10
Old Pueblo	Homeless Preference	Homeless	General Fund \$77,205	\$77,205	18
The Primavera Foundation	Primavera Works Job Training & Ethical Day Labor	Homeless	General Fund \$75,000	\$75,000	47
The Primavera Foundation	Homeless Preference	Homeless	General Fund \$45,964	\$45,964	69
TOTAL CDBG and GF:					4,975 and 4320 bed nights
Total ESG:			\$847,209.23	\$424,013.24	1357
TOTAL Assistance Homeless:					6,332 people and 4320 bed nights

2. Actions taken to help homeless persons make the transition to permanent housing and independent living.

The City of Tucson has funded the following Independent Living Programs:

CODAC Project Recovery
Emerge! Rapid Rehousing for Survivors of Domestic Violence
Our Family Services Rapid Rehousing
Open Inn, Inc. - Transitional Apartment Living
City of Tucson 51 Homes
Public Housing Authority Set Aside for Homeless Persons

3. Federal Resources obtained from Homeless SuperNOFA.

Total FY 2015 Continuum of Care for Tucson/Pima County is \$8,448,948; the City of Tucson's portion is \$2,651,329, divided as follows: Shelter Plus Care \$1,703,498 and Supportive Housing Program \$947,831.

Specific Homeless Prevention Elements

1. *Identify actions taken to prevent homelessness.*

Program Year 5 CAPER Specific Housing Prevention Elements response:

Prevention services funded by the City of Tucson include programs aimed at keeping people in their homes by providing rent and mortgage assistance, utility payment assistance to prevent eviction, case management, housing counseling, landlord/tenant mediation/legal services, and behavioral health services, Information & Referral services, income maintenance programs and food stamps, job training and placement, and guardianship/ representative payee. In addition, prevention programs include supportive education about an owner's/landlord's specific requirements, and information on tenants' rights and obligations under Arizona law.

Homeless Prevention programs:

Chicanos Por La Causa – Emergency Assistance
Esperanza En Escalante – Shelter Plus Care
Our Family – New Beginnings
Primavera Foundation – Crisis Emergency Services
Primavera Foundation – Women's Services Program
Youth on Their Own – Special Needs

Emergency Solutions Grants (ESG)

1. **Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).**
2. **Assessment of Relationship of ESG Funds to Goals and Objectives**
 - a. **Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.**

FY 2015 Annual Action Plan Project Tables - Emergency Solutions Grant (ESG) Program Dana Mellors					
Agency	Project Title and IDIS #	Target Group	Funds Committed	Funds Expended in FY 2015	People Assisted
Chicanos Por La Causa	Emergency Assistance to Prevent Homelessness IDIS#4434	Homeless Prevention	FY 2015 ESG/PREV PY2014 \$45,000	\$31,077.39	59
Chicanos Por La Causa	Emergency Assistance to Prevent Homelessness IDIS#4219	Homeless Prevention	ESG/PREV PY2012 CF \$60,000	\$14,909.07	16
CODAC Behavioral Services of Pima County Inc.	Project Recovery IDIS#4310	Homeless Rapid Rehousing	ESG/RRH PY2013 CF \$27,156	\$10,187.95	18
Emerge! Center Against Domestic Abuse	Rapid Rehousing for Survivors of Domestic Violence IDIS#4435	Homeless Rapid Rehousing	FY 2015 ESG/RRH PY2014 \$120,398.85	\$85,000	61
Emerge! Center Against Domestic Abuse	Crisis Assistance for Survivors of Domestic Abuse IDIS#4432	Homeless Emergency Shelter Services	FY 2015 ESG/ESS PY2014 \$30,000	\$30,000	18
Esperanza En Escalante	Shelter Plus Care Homeless Prevention IDIS#4309	Homeless Prevention	ESG/PREV PY2013 CF \$38,000	\$0	9
La Frontera	RAAP Street Outreach IDIS#4220	Homeless Outreach	ESG/SO PY2012 CF \$40,000	\$8,312.84	120
Old Pueblo Community Services	Street Outreach for Homeless IDIS #4311	Homeless Outreach	ESG/SO PY2013 CF \$30,000	\$14,385.50	121
Old Pueblo Community Services	Rapid Rehousing IDIS#4240	Homeless Rapid Rehousing	ESG/RRH PY2011 CF \$38,529	\$2,623.51	16
Our Family Services	New Beginnings Homeless Prevention IDIS#4434	Homeless Prevention	FY 2015 ESG/PREV PY2014 \$9,601.60	\$9,601.60	26
Our Family Services	New Beginnings Rapid Rehousing IDIS#4435	Homeless Rapid Rehousing	FY 2015 ESG/RRH PY2014 \$40,000	\$39,964.73	17
Our Family Services	New Beginnings Emergency Shelter Services IDIS#4432	Homeless Emergency Shelter Services	FY 2015 ESG/ESS PY2014 \$30,000	\$20,405.41	174
Our Family Services	HYS Street Outreach IDIS#4433	Homeless Outreach	FY 2015 ESG/SO PY2014 \$30,000	\$29,980.68	81
Our Family Services	New Beginnings Homeless Prevention IDIS #4309	Homeless Prevention	ESG/PREV PY2013 CF \$96,969.40	\$44,067.22	83

Our Family Services	Rapid Rehousing IDIS#4310	Homeless Rapid Rehousing	ESG/RRH PY2013 CF \$22,227	\$8,732.88	41	
Pima County	Homeless Management Information System (HMIS) IDIS#4436	Homeless Planning & Reporting	FY 2015 ESGHMIS PY2014 \$5,000	\$0	0	
Pima County	HMIS IDIS#4313	Homeless Planning & Reporting	ESGHMIS PY2013 CF \$5,000	\$2,372	0	
The Primavera Foundation	Family Emergency Shelter IDIS#4433	Homeless Outreach	FY 2015 ESG/SO \$28,861	\$7,347.47	169	
Primavera Foundation Greyhound Shelter	Greyhound Emergency Family Shelter IDIS#4432	Homeless Emergency Shelter Services	FY 2015 ESG/ESS \$54,458	\$28,825.22	328	
City of Tucson	Administration #4420	Admin	FY 2015 ESG/ADMIN PY2014 \$31,874.55	\$1,519.82	NA	
City of Tucson	Administration #4303	Admin	ESG/ADMIN PY2013 CF \$27,929	\$27,929.00	NA	
City of Tucson	Administration #4223	Admin	ESG/ADMIN PY2012 CF \$36,354.83	\$6,770.95	NA	
Total FY 2015 ESG:				\$847,209.23	\$424,013.24	1357

Tucson - Emergency Solutions Grant (ESG) Beneficiaries in HMIS FY 2015				
Category	# Benefited	Income Levels	Race/Ethnicity	Female HH Elderly Disabled
Homeless	886	All 886 below 30% of Area Median Income	w-608 b-159 as-5 ai-128 pi-18	e-15 d-394

3. ESG Program Match

The ESG Program has a dollar for dollar match requirement. In FY 2015, the City of Tucson did not meet the match requirements for this program, however, these are two year agreements and match will be provided by agencies as required, at 100%, for each contract. Sources of match funding are listed in the following chart.

ESG FY 2015 Program Match –IDIS match report from ESG CAPER Underway

5. Stated Method of Distribution

In FY 2105 the City advertised priorities in a Request for Proposals (RFP) citing the estimated amount of entitlement funding that would be available based on what was received for FY 2014. Agencies submitted RFPs, which were reviewed by a committee made up of several members of the Continuum of Care, other funding representatives and a community member. The funding recommendations were made to the City Manager and were adopted by the Mayor and Council as part of the City’s annual update to the Consolidated Plan. Adjustments to agency awards were made to reflect the actual ESG entitlement amount received for the FY 2015 Annual Action Plan.

6. Activity and Beneficiary Data –

ESG Funds committed include carry forward from FY2014. All figures are rounded;

Carry Forward to FY2016 is \$157,255

Expenditures by Category:

Prevention	\$99,654 and 24 %
Rapid Re-Housing	\$163,475 and 38%
Outreach	\$30,134 and 8%
Shelter	\$79,260 and 18%
HMIS	\$2,372 and less than 1%

Homeless Discharge Coordination

The Discharge Planning Committee of Tucson Pima Collaboration to end Homelessness advocates for and provides education to young adults exiting foster care and individuals that are homeless being discharged from hospitals, jails, prisons and other institutions. The committee also develops and distributes a resource list appropriate to each population served and provides the information to all discharge planning staff who assist homeless clients. The educational handout serves as a referral resource and provides hospital, jail and prison discharge planning staff, foster care staff with information about accessibility to all homeless shelters, entry requirements, contact names of shelter staff, and addresses.

COMMUNITY DEVELOPMENT

Community Development

- 1. Assessment of Relationship of CDBG Funds to Goals and Objectives**
 - a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.**
 - b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.**
 - c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.**
- 2. Changes in Program Objectives**
 - a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.**
- 3. Assessment of Efforts in Carrying Out Planned Actions**
 - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.**
 - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.**
 - c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.**
- 4. For Funds Not Used for National Objectives**
 - a. Indicate how use of CDBG funds did not meet national objectives.**
 - b. Indicate how did not comply with overall benefit certification.**
- 5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property**
 - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.**
 - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the**

-
- Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.**
- c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.**
- 6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons**
- a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.**
- b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.**
- c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.**
- 7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit**
- a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.**
- 8. Program income received**
- a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.**
- b. Detail the amount repaid on each float-funded activity.**
- c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.**
- d. Detail the amount of income received from the sale of property by parcel.**
- 9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:**
- a. The activity name and number as shown in IDIS;**
- b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;**
- c. The amount returned to line-of-credit or program account; and**
- d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.**
- 10. Loans and other receivables**

- a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
- b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
- c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
- d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
- e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

11. Lump sum agreements

- a. Provide the name of the financial institution.
- b. Provide the date the funds were deposited.
- c. Provide the date the use of funds commenced.
- d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.

12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year

- a. Identify the type of program and number of projects/units completed for each program.
- b. Provide the total CDBG funds involved in the program.
- c. Detail other public and private funds involved in the project.

13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies

- a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

Program Year 5 CAPER Community Development response:

1. CDBG CONSOLIDATED PLAN – PRIORITIES, NEEDS, GOALS AND OBJECTIVES

During FY 2015, the City of Tucson received \$5,113,873 in CDBG entitlement funds. For fiscal year 2015, 83.54% of the City of Tucson's CDBG allocation, excluding administration/planning funds, was expended to serve low/moderate income persons.

Families assisted under the federal regulation for "direct benefit" are qualified through an application process. Eligibility is based on documentation of total household income for the previous year. Typically, tax returns, pay check stubs, benefit letters and evidence of assets are

used to document eligibility under the HUD guidelines. This information must be maintained in project files.

Activities selected for funding met the goals of the highest priorities listed in the Five-Year Consolidated Plan, which primarily focused on housing.

The following chart illustrates how CDBG funds were used to meet the objectives stated in the Consolidated Plan and the FY 2015 Annual Action Plan.

Consolidated Plan Objectives and CDBG Funds

Housing Activities	CDBG Funds
Homeowners (Rehabilitation Activities)	\$2,698,002
Neighborhood Revitalization	
Public Facilities/	\$1,459,475
Historic Preservation Projects	\$10,322
Public Services	
Public Services	\$ 773,614
Economic Development Microenterprise	\$75,000
Planning Activities	
Planning Activities (includes Fair Housing funded by admin)	\$ 75,000
Administration	
Administration (less planning activities)	\$884,531.29

FY 2015 CDBG Program Beneficiaries – City of Tucson

There are 10 Racial Categories and an Ethnicity options for Hispanic:

W - White

B – Black or African American

AS – Asian

AI – American Indian/Alaskan Native

NHOPI – Native Hawaiian or other Pacific islander

AIW – American Indian/Alaskan Native & White

AS & White – Asian & White

BW – Black or African American & White

AIB – American Indian/Alaskan Native & Black/African Am

O-Balance/other

Tucson - Community Development Block Grant Program (CDBG) Beneficiaries FY 2015				
Category	# Benefited	Income Levels	Race/Ethnicity	Female HH Elderly Disabled
Home Owner Rehabilitation HRC Agencies	208	E-82 V-73 L-53	w-121 b-13 as-1 ai-4 pi-2 aiw-30 aib-3 other-34 Hispanic-122	FHH-123 E-101 D-76
Home Owner Rehabilitation City Programs	499	E-98 V-108 L-293	w-238 b-24 Hispanic - 237	FHH-173 E-189 D-152
Relocation Plan	8	No data	w-3 b-4 unk-1 Hispanic-1	No data
Multi-Family Rental	16	All 16 below 50% of Area Median Income	w-23 b-8 ai-1 pi-1 Hispanic-14	FHH-6 E-0 D-4
Micro Enterprise	28	E-3 V-12 L-13	w-28 Hispanic-28	No data
Public Services	4167	E-3667 V-185 L-101	w-3117 b-236 as-23 ai-173 pi-25 aiw-6 as/w-1 aib-3 bw-44 other-539 Hispanic -2034	FHH-680 E-904 D-396

2. Changes in Program Objectives

There were no changes in the CDBG program objectives during FY 2015. The City began to market the Section 108 program to organizations seeking gap financing for loans of between \$2

million and \$10 million and implement the preference for projects located in the adopted CDBG Target Area.

3. Efforts to Carry Out Annual Action Plan Activities

The City of Tucson has ensured consistency with Consolidated Plan priorities and with HUD programs by providing certifications of consistency in a fair and impartial manner. The Consortium requires that agencies provide copies of their applications for funding, or a detailed executive summary before a letter of consistency is granted.

In FY2015, the following agencies received Consolidated Plan Certifications for the proposed project:

Agency	Federal Program	Activity
Public Housing Authority	5-year Plan	Operate public housing and administer the Section 8 Housing Choice Voucher Program

4. CDBG Funds Not Used to Meet Specified National Objectives

All Community Development Block Grant funds were utilized exclusively to achieve specific national objectives.

5. Relocation Due to Acquisition, Rehabilitation or Demolition of occupied Real Property

No permanent relocation occurred during FY 2015 as a result of acquisition, rehabilitation or demolition activities. The City acquires vacant buildings for its affordable housing programs. The City's priority is to minimize displacement through the use of unoccupied properties. However, the City has a set of procedures to facilitate relocation and provide benefits to families as necessary. These procedures outline noticing requirements, relocation assistance, eligibility determination calculations, estimated costs for relocation and the timeline for implementing each step.

The City has implemented an emergency safety net program for families that are homeless or displaced from their housing. This program coordinates the efforts of homeless shelter and service providers, building safety code inspectors, and organizations providing affordable housing units.

Moreover, the City has adopted an Optional Relocation Plan that provides for short term assistance to qualified household whom are temporarily displaced. The Optional Relocation Plan can be viewed at the following website under plans and reports: <http://www.tucsonaz.gov/hcd>

6. Economic Development Activities

The City's Consolidated Plan listed Economic Development Objectives (Page 83) as follows:

1. Support economic development activities and employment, focusing on employment convenient to public transportation and working in partnership with workforce investment agencies

2. Develop a microbusiness loan program in cooperation with the Industrial Development authorities to provide additional resources for small and growing business, focusing on the City of South Tucson and rural areas
3. Work cooperatively with workforce investment agencies, employers and nonprofit agencies to provide job training and employment services
4. Incorporate training for contractors and other private sector entities to increase understanding of and participation in HUD programs, with emphasis on Section 3 and Labor Standards that promote economic self-sufficiency

Revolving Loan Activity - The Business Development Finance Corporation revolving loan had a balance of \$41,502.80 as of June 30, 2015. The 2 current loan recipients have generated 5 low/moderate income jobs, which met the targeted number of full-time positions to be created for those activities. No new positions were created this year.

Section 108 Program - The City's request for loan guarantee assistance under Section 108 of the Housing and Community Development Act of 1974 was approved by HUD on March 7, 2012. This program provides communities with a means of leveraging their CDBG funds to obtain additional financing resources for economic development, public facilities, large scale physical development projects, housing rehabilitation, etc. The City began to market the program to organizations seeking gap financing for loans of between \$2 million and \$10 million. See: <http://finance.tucsonaz.gov/business/business-incentives-and-assistance-programs>

Microenterprise Assistance (570.201(o))

During February of 2014, The City of Tucson published an RFP for FY 2015 for proposals from eligible non-profit agencies. Projects were considered that provided an acceptable combination of technical assistance and training for existing or start-up microenterprises. Proposed services could include: classroom instruction, personal development workshops and individual technical assistance sessions to develop business plans. A citizen review committee recommended one project for FY 2015. This allocation of resources supported the following economic development policies contained in the November 5, 2013 voter-adopted General Plan: 1) Support and expand entrepreneurship through partnerships, technical assistance, and incentives (JW4) and, 2) Provide assistance and incentives to encourage entrepreneurial efforts and technological innovations that lead to local business development and expansion (BC4).

FY 2015 Annual Action Plan Project Tables – Economic Development						
Agency	Project Title and IDIS #	Funding Source	Funds Committed	Funds Expended in FY 2015	Units	Accomplished
Microbusiness Advancement Center	MAC's Microenterprise Expansion Project IDIS# 4399	FY 2015 CDBG	\$75,000	\$75,000	28	COMPLETED
City of Tucson HCD/TDOT	ADA Curbs and Sidewalks Project	FY 2014 Section 108	\$1,769,000	0	0	Project Cancelled w/this funding source
City of Tucson HCD/CMO office of Economic Initiatives	Downtown Hotel	FY 2014 Section 108	\$8,000,000	0	0	HUD project Approval Obtained, Level 1 Transaction Documentation needed to secure funding

7. Limited Clientele

City projects served a total of 6,640 low- and moderate-income limited clientele using CDBG, HOME, ESG and HOPWA funds in FY 2015. In addition, City of Tucson General Funds provided services to 5,601 primarily low- and moderate-income persons.

8. Program Income

The program income identified below was earned during FY 2015. The full amount of CDBG program income received was entered into the Integrated Disbursements and Information System (IDIS), and was drawn down against expenditures attributable to FY2015. The City did not have excess program income on hand on June 30, 2015.

Source of Program Income- FY 2015	Amount Received	Amount Reallocated
CDBG	\$244,450.26	100% of the program income was reallocated to eligible expenditures
HOME	\$979,758.08	
NSP 1	\$260,405.70	
NSP 3	\$128,947.07	
Total		

9. Prior Period Adjustments - None

10. Loans and other Receivables - Balances of Loans and Liens as of June 30, 2015 are tracked for the following programs:

- Tucson Housing Trust Fund - \$369,818
- CDBG Liens - \$2,578,130.61
- HOME Liens -26,688,563.14
- HRARP- 72,742.33
- HOPE III - 758,87
- Housing Trust Fund - \$36,255.03
- LCHCP- Lead - \$98,465

11. Lump-Sum Agreements - Not Applicable

12. Housing Rehabilitation

FY 2015 CAPER PROJECT TABLES – CDBG ASSISTANCE TO HOMEOWNERS (Housing REHABILITATION PROJECTS)							
Agency	Project and IDIS #	Funding Source and Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY 2015	UNITS	# Accomplished
City of Tucson HRC Environmental Compliance	Environmental IDIS# Pending	FY 2015 CDBG PY2014	\$160,000	\$0	\$0		Home Assessments Completed
City of Tucson HRC Environmental	Environmental IDIS#4387	CDBG PY2013	\$180,000	\$8,617.39	\$56,932.94	363	Home Assessments completed
Total FY 2015 CDBG Rehab:			\$420,000.00	\$88,617.39	\$56,932.94	693	

FY 2015 CAPER PROJECT TABLES – CDBG ASSISTANCE TO HOMEOWNERS (Housing REHABILITATION PROJECTS)							
Agency	Project and IDIS #	Funding Source and Year	Funds Committed	Funds Expended in Prior Years	Funds Expended in FY 2015	Units	Accomplished
City of Tucson/HCD	Emergency Home Repair IDIS#4457	FY 2015 CDBG PY2014	\$506,269	\$0	\$299,334	53	Homes rehabbed
City of Tucson/HCD	Emergency Home Repair IDIS#4358	CDBG PY2013	\$526,484	\$249,927	\$276,557	86	Homes rehabbed
City of Tucson/HCD	City Neighborhood Initiatives IDIS#4479	FY 2015 CDBG PY2014	\$417,000	\$0	\$183,986	53	Homes rehabbed
City of Tucson/HCD	City Neighborhood Initiatives IDIS#4376	CDBG PY2013	\$578,391	\$248,654	\$329,736	50	50 Homes rehabbed
City of Tucson/HCD/PCOA	Elderly Home Repair Program IDIS #4453	FY 2015 CDBG PY2014	\$92,948	\$0	\$89,935	14	Homes rehabbed
City of Tucson/HCD/PCOA	Elderly Home Repair Program IDIS#4334	CDBG PY2013	\$87,777	\$62,771	\$25,005	22	Homes rehabbed
City of Tucson of Tucson/HCD	VANS Demolition IDIS#4451	CDBG PY2013	\$300,000	\$0	\$138,399	15	Home demos
City of Tucson of Tucson/HCD	VANS Demolition IDIS#4321	CDBG PY2012	\$300,000	\$286,606	\$13,393	24	Home demos
City of Tucson/HCD	Lead Hazard Control Program Match) IDIS #4471	FY 2015 CDBG PY2014	\$203,701	\$0	\$148,375	28	Homes rehabbed
City of Tucson/HCD	Lead Hazard Control Match IDIS#4345	CDBG PY2013	\$83,000	\$45,056	\$37,943	149	Homes rehabbed
TOTAL FY 2015 CDBG Rehab:			\$3,095,570	\$893,014	\$1,542,663	494	HCDD

FY 2015 CAPER Project Tables –REHABILITATION ASSISTANCE TO HOME OWNERS - Partner Agencies				
Agency	Project Title and IDIS #	Funding Source	Funds Expended (may include carry forward)	Owner Housing Units Rehabbed
Chicanos Por La Causa - HRC	Roof Replacement & Home Maintenance / Special Needs Repair IDIS#4400	FY 2015 CDBG - \$129,989	\$123,277	15
Chicanos Por La Causa - HRC	Roof Replacement & Home Maintenance / Special Needs Repair IDIS#4286	FY 2014 CDBG - \$129,989	\$26,748	5
Community Home Repair Projects of Arizona (CHRAPA) - HRC	City Minor Emergency and Special needs Repair IDIS #4303	FY 2015 CDBG - \$171,389	\$171,352	59
DIRECT Center for Independent Living - HRC	Home Access Program IDIS #4395	FY 2015 CDBG - \$137,189	\$137,189	31
DIRECT Center for Independent Living - HRC	Home Access Program IDIS #4287	FY 2014 CDBG - \$137,189	\$7,373	2
Habitat for Humanity – HRC	Preserve a Home IDIS#4335	FY 2013 CDBG \$75,000	\$75,000	21
Old Pueblo Community Services - HRC	Sustainable Rehabilitation IDIS#4413	FY 2015 CDBG \$102,989	\$96,086	6
Old Pueblo Community Services - HRC	Sustainable Rehabilitation IDIS#4288	FY 2014 CDBG \$102,989	\$100,330	6
Tucson Metropolitan Ministries Family Services - HRC	Midtown homeowner Housing Rehab Program IDIS#4402	FY 2015 CDBG- \$107,489	\$107,489	12
Tucson Metropolitan Ministries Family Services - HRC	Midtown homeowner Housing Rehab Program IDIS#4289	FY 2014 CDBG- \$101,230.16	\$6,258	1
Tucson Urban League - HRC	City Emergency Home Repair IDIS#4409	FY 2015 CDBG- \$195,689	\$185,515	30
Tucson Urban League - HRC	City Emergency Home Repair IDIS#4290	FY 2014 CDBG- \$195,689	\$6,167	2
Tucson Urban League – HRC	South Park Rehabilitation IDIS#4410	FY 2015 CDBG – \$70,200	\$47,184	4
Tucson Urban League – HRC	South Park Rehabilitation IDIS#4291	FY 2014 CDBG – \$70,200	\$8,439.88	1
TOTAL FY 2015 Rehab - Agencies:			\$1,098,407	195

13. Neighborhood Revitalization Strategies – No designated NRSAs.

Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

Program Year 5 CAPER Antipoverty Strategy response:

1. ANTIPOVERTY STRATEGY

Most activities undertaken with CDBG, HOME, ESG and HOPWA funds are efforts to reduce the number of persons living in poverty and are designed to improve the quality of life for city residents. The City will continue to fund and administer emergency assistance programs, and a variety of other support services that are used by low-income households to obtain basic necessities. The main goal is to prevent very low-income persons, especially those earning 30% or less of median income, from becoming homeless. The long-term goal is to provide more affordable housing options so that this group is not forced to spend all their available funds for housing, with little left over for other needs.

As outlined in the Annual Plan, the City has specific strategies that are directly linked to the reduction of poverty. These strategies, which are illustrated throughout this document, are:

- Employment Opportunities
- Self-Sufficiency Assistance
- Support Services
- Affordable Housing

In FY 2013 the Mayor and Council adopted a CDBG Target Area. The boundaries of this target area were established based on a 2012 update to the City of Tucson Poverty and Urban Stress report. Strategic investment in CDBG Target Area neighborhoods, facilities and services are underway and are prioritized for future entitlement year allocations. This CDBG Target Area is located within the boundaries of the contiguous census tracts showing disproportionately high poverty that qualifies Tucson to apply for a HUD Promise Zone designation in the 2015 fall solicitation.

NON-HOMELESS SPECIAL NEEDS

Non-homeless	Special	Needs
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1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

Program Year 5 CAPER Non-homeless Special Needs response:

1. NON-HOMELESS SPECIAL NEEDS OBJECTIVES

The following table outlines the non-homeless special needs populations' priority needs and goals identified in the Five-Year Consolidated Plan, and FY 2015 accomplishments

FY 2015 CAPER Project Tables - Investment in Programs for Persons with Special Needs					
Agency	Project Title	Target Group	Funding Source	Funds Committed	People Assisted
Catholic Community Services (CSS) dba COPD	Resources and Supports for Persons Deaf, Deafblind and Hard of Hearing	Disabled Youth and Adults	GENERAL FUND	\$45,000	121
Interfaith Community Services	Caregiving Health Advocacy Program	Senior Adults	GENERAL FUND	\$40,000	220
Miracle Square	Independent Living for Older Adults and persons with Disabilities	Senior Adults	GENERAL FUND	\$30,000	29
Mobile Meals of Tucson	Meal Delivery	Senior Adults	GENERAL FUND	\$30,000	43
Our Family Services	Senior Companion Program	Senior Adults	GENERAL FUND	\$30,000	80
Pima Council on Aging (PCOA)	Family Caregiver Support Program	Senior Adults	GENERAL FUND	\$30,000	95
Pima Council on Aging (PCOA)	Home Repair, Adaptation and Maintenance for the Elderly	Senior Adults	GENERAL FUND	\$30,000	48
Pima Council on Aging (PCOA)	Homecare Support for the Elderly	Senior Adults	GENERAL FUND	\$30,000	27
Pima Council on Aging (PCOA)	Nutrition Program for the Elderly	Senior Adults	GENERAL FUND	\$30,000	55
Tucson Urban League	Seniors Meals Program	Senior Adults	GENERAL FUND	\$33,000	249
TOTAL:				\$328,000	967
FY 2015 Annual Action Plan Project Tables - Housing Programs for persons with Special Needs					
Agency	Project Title	Target Group	Funding Source	Funds Committed	Households Assisted
Direct Center for Independence	Home Access	Disabled	CDBG	\$144,562.75	33
City of Tucson /PCOA	Elderly Home Repair	Senior Adults	CDBG	\$89,935.17	14
Total Housing:				\$234,497.92	47
Total Expenditures for Special Needs:				\$562,497.92	1,014

Elderly Supportive Services

- Interfaith Community Services – Safe & Healthy Senior/Disabled Independence Program
- Mobile Meals of Tucson – Mobile Meals
- Our Family Services – Senior Companion Program
- Pima Council on Aging – Elderly Independence
- Pima Council on Aging – Family Caregiver Support
- Pima Council on Aging – Nutrition Services for the Elderly
- Pima Council on Aging – Homecare Services for the Elderly
- Tucson Urban League, Inc. – Senior Lunch and Recreation Program
- Tucson Community Food Bank – Emergency Food Assistance Program

Elderly Housing Services

- Pima Council on Aging – Home Repair, Adaptation and Maintenance for the Elderly
- Pima Council on Aging – CHRPA Repair Assistance

Disabled Supportive Services

- Arts for All, Inc. – Supporting Low Income Families with Childcare
- Catholic Community Services/Community Outreach Program for the Deaf – Resources and Support for Deaf, Hard of Hearing or Deaf or Blind
- Tucson Community Food Bank – Emergency Food Assistance Program
- SAAF Case Management Services for People Living with HIV/AIDS

Disabled Housing Services

- DIRECT Center for Independence – Home Access Program/Adaptations \$144,562 FY 2015
- Interfaith Community Services – Safe & Healthy Senior/Disabled Independence Program

The City of Tucson Disadvantaged Business Enterprise (DBE) Program was implemented to remedy past and current discrimination in contracting and procurement opportunities for disadvantaged businesses. It serves to create equal opportunity for those DBE firms doing business with the City of Tucson on projects receiving federal financial assistance. Certified DBE firms are eligible to participate in these City of Tucson administered contracts and are listed in a regularly-published directory used by governmental, public, and private procurement entities soliciting bids on projects.

Specific HOPWA Objectives

- 1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives**
Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
 - a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;**
 - b. That community-wide HIV/AIDS housing strategies are meeting HUD’s national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;**
 - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;**
 - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;**
 - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,**
 - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.**
- 2. This should be accomplished by providing an executive summary (1-5 pages) that includes:**

- a. **Grantee Narrative**
 - i. **Grantee and Community Overview**
 - (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
 - (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
 - (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS
 - (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
 - (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
 - (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.
 - ii. **Project Accomplishment Overview**
 - (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences
 - (2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds
 - (3) A brief description of any unique supportive service or other service delivery models or efforts
 - (4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.
 - iii. **Barriers or Trends Overview**
 - (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement
 - (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and
 - (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years
- b. **Accomplishment Data**
 - i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
 - ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

The HOPWA CAPER is attached to this document.

CITY OF TUCSON FY2015 CAPER - NSP INFORMATION

HUD Program Year 2014 – City of Tucson FY 2015

Neighborhood Stabilization Program (NSP) Awards	Grant Amount	Units for Owners – Pima County Community Land Trust	Units for Renters – City of Tucson El Portal program	Program Income Received as June 30, 2015	Program Income Balance as of June 30, 2015
NSP 1 - FY	\$7,286,911	52	24	\$3,358,010.26	\$6,606.14
NSP 2 – FY	\$4,789,589.61	24	12	\$0	\$0
NSP 3 - FY	\$2,083,771	12	7	\$538,398.83	\$0
Total:	\$14,160,271.61	88	43	\$3,896,409.09	\$6,606.14

Neighborhood Stabilization Program NSP1

Award \$7,286,911

Program Income from July 1, 2014 to June 30, 2015 - \$260,405.70

Program Income Cumulative as of June 30, 2015 - \$3,358,010.26

Expenditures from July 1, 2014 to June 30, 2015 -\$609,692.35

Cumulative Expenditures as of June 30, 2015 - \$10,626,158.61

Goals

The City of Tucson has expended all NSP1 grant funds, and continues to purchase foreclosed homes using NSP1 Program Income only. The 25% set aside requirement, assisting families earning less than 50% Area Median Income in the designated target areas, are rehabilitated and placed in the City’s El Portal rental portfolio. The remaining homes that are purchased will continue to be conveyed to the Pima County Community Land Trust for rehabilitation and resale to individuals/families who earn between 50-80% Area Median Income.

Outcome as of June 30, 2015

The City of Tucson continues to exceed goals with a total of 72 homes purchased through June 30, 2015, using NSP1 Program Income. During July 1, 2014 thru June 30, 2015, the City of Tucson purchased 3 homes with NSP1 Program Income and conveyed them to the Pima County Community Land Trust for rehabilitation and resale to qualified buyers earning between 50-80% Area Median Income. The NSP 25% set aside requirement were met and exceeded, therefore

no additional homes were purchased and rehabilitated for the City of Tucson's El Portal rental program during the past year.

Neighborhood Stabilization Program NSP3

Award \$2,083,771

Program Income from July 1, 2014 to June 30, 2015 - \$128,947.07

Program Income Cumulative as of June 30, 2015 - \$538,398.83

Expenditures from July 1, 2014 to June 30, 2015 - \$329,175.38

Cumulative Expenditures as of June 30, 2015 - \$2,520,753.08

Goals

The City of Tucson expended all NSP3 grant funds, and will purchase foreclosed homes using NSP3 Program Income only. The 25% set aside requirement, assisting families earning less than 50% Area Median Income in the designated target areas, are rehabilitated and placed in the City's El Portal rental portfolio. The remaining homes that are purchased will continue to be conveyed to the Pima County Community Land Trust for rehabilitation and resale to individuals/families who earn between 50-80% Area Median Income.

Outcome as of June 30, 2015

The City of Tucson exceeded goals for the NSP3 grant program. The City of Tucson did not purchase any additional homes during July 1, 2014 thru June 30, 2015, however 3 homes purchased with NSP1 Program Income and conveyed to the Pima County Community Land Trust used NSP3 grant funds for rehabilitation because the homes were within the NSP1 and NSP3 target areas, and funding in NSP1 was not enough to cover the rehabilitation costs. The Pima County Community Land Trust will sell the homes to qualified buyers that earn between 50-80% Area Median Income. The NSP 25% set aside requirement has been met and exceeded with a total of 3 homes, 2 of which are triplexes, that have been rented thru the City's El Portal rental program to individuals/families with incomes at or below 50% Area Median Income.

APPENDIX A Plan Tucson – General Plan Housing Goals and Polices:

Plan Tucson Housing Element GOAL: A mix of well-maintained, energy efficient housing options with multi-modal access to basic goods and services, recognizing the important role of homeownership to neighborhood stability. Policies 1- 11 in the Housing Element:

H-1

Evaluate the social, physical, and spatial needs related to housing program design and location, including neighborhood conditions and access to basic goods and services.

H-2

Focus public and private investment on documented housing needs and priorities considering long-term housing supply and demand.

H-3

Improve housing conditions in aging neighborhoods.

H-4

Improve housing conditions in historic neighborhoods.

H-5

Include historic properties in the City's programs and partnerships to develop affordable housing.

H-6

Take multiple approaches to reduce housing costs and increase affordability.

H-7

Increase access to safe, healthy, and affordable housing choices, including mitigating the impacts of foreclosure.

H-8

Address the housing needs of the most vulnerable populations in the community, including those at risk of homelessness.

H-9

Promote safe, decent, and affordable housing and neighborhoods that support aging in place.

H- 10

Provide housing, human services, public facilities, and economic development programs to end homelessness.

H-11

Encourage residential development including both market rate and affordable housing projects in Tucson.

The table below correlates the 2015 – 2020 Consolidated Plan Goals with Plan Tucson Goals and Policies:

2015 -2020 Consolidated Plan Goal Categories	Priority	5-year Goals	Funding Sources	Plan Tucson Goals and Policies Addressed
Rental Housing Rehabilitation	High	300 units	HOME	HP2, H1, H2, H3, H4, H5, H6, H7, H8, H9, H10, PS4, RR1, RR2, RR4, RR5, RR6
Rental Housing New Construction	High	400 units	HOME	BC8, BC9, EC2, H1, H2, H3, H4, H5, H6, H7, H8, H9, H10, H11, HP7, HP8, LT3, LT9, LT10, RR1, RR2
Rental Housing Preservation of Expiring Units	High	75 units	HOME	H1, H2, H6, H7, H8, H10
Owner Occupied Housing Rehabilitation	High	1,600 units	CDBG, HOME	HP2, H1, H2, H3, H4, H6, H8, H9, PS4, RR1, RR2, RR4, RR5, RR6
Home Purchase Assistance	Low	110 households	CDBG, HOME	BC9, H1, H2, H6, H7, H11, RR1, RR2
Home Buyer New Construction	Low	50 units	CDBG, HOME	BC8, BC9, EC2, H1, H2, H6, H7, H11, HP7, HP8, LT3, LT9, LT10, RR1, RR2
Assistance to Homeless Emergency Shelter	High	11,500 people	CDBG, ESG	H1, H2, H3, H8, H10
Assistance to Homeless Maintain and Add Beds, Transitional Units and Permanent Housing	High	925 Units	CDBG, ESG	H1, H2, H6, H7, H8, H10
Assistance to Homeless Rapid Rehousing/TBRA	High	700 households	HOME, HOPWA, ESG	H1, H2, H6, H7, H8, H10
Assistance to Homeless Prevention	High	4,000 people	HOME, HOPWA, ESG	H1, H2, H6, H7, H8, H10
Assistance to Homeless Outreach, Support Services & Case Management	High	6,000 people	CDBG, ESG	H1, H2, H6, H7, H8, H10
Assistance to Homeless Emergency & Transitional Housing Improvements	High	400 people	CDBG	H1, H2, H6, H7, H8, H10
Human/Public Services, including education & employment supports	High	75,000 people	CDBG, HOPWA	AG3, E1, E2, E4, EQ2, H10, JW5, JW7, PH2 – PH6, PS8
Job Creation	High	500 jobs	CDBG, Section 108	AG3, E1, E2, E4, EQ2, H10, JW5, JW7, PH2 – PH6, PS8
Public Facilities and Infrastructure	High	75,000 people	CDBG, CDBG Section 108	EC1, EC6, HP3, HP5, PH1, PI1, PI3, PR2-PR9, PS10, RR1, RR2, RR3, RR6, TQ3, WR1-WR9
Demolition of unsafe/vacant structures	High	35 structures	CDBG	RR5
Tenant-based Rental Assistance	High	60 households	HOPWA	H1, H2, H6, H7, H8, H10

