Second Program Year CAPER

Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

Executive Summary

As a recipient of federal funds through the US Department of Housing and Urban Development (HUD), the City of Tucson is required to publish an annual performance report detailing activities that were undertaken during the program year. This report details the City of Tucson's accomplishments relative to established goals and priorities in the Consolidated Plan, as well as the Pima County's HOME projects. The balance of the County's accomplishments will be submitted under separate cover.

The City of Tucson and Pima County are required to develop a five-year Consolidated Plan. This comprehensive plan contains a description of community needs, goals and priorities in the areas of affordable housing, human services, public facilities and other programs designed to improve the quality of life for low- and moderate-income residents of the community. Funding for these activities is provided by the U.S. Department of Housing and Urban Development (HUD), the City of Tucson, Pima County, and other entities.

For the period of July 1, 2011, through June 30, 2012 (fiscal year 2012), Tucson was awarded the following HUD funds:

Community Development Block Grant (CDBG)	$5,597,524
Home Investment Partnership Program (HOME) (in a consortium with Pima County)	$3,912,311
Emergency Shelter Grant Program (ESG)	$ 271,983
Housing Opportunities for Persons with AIDS (HOPWA)	$ 453,761

From July 1, 2011, through June 30, 2012 (fiscal year 2012), Tucson spent entitlement funding as follows (includes carry forward from prior year allocations):

Community Development Block Grant (CDBG)	$9,394,484
Home Investment Partnership Program (HOME) (in a consortium with Pima County)	$4,812,909
Emergency Shelter Grant Program (ESG)	$ 290,108
Housing Opportunities for Persons with AIDS (HOPWA)	$ 480,705

The following chart is a summary of our accomplishments during the 2012 fiscal year:

Activity	Accomplishments	Funds Expended*
Assistance to Homeowners (Rehabilitation)	474 households	$2,989,551
New Homeownership Opportunities	319 units	$1,866,623
Rental Development Assistance	478 units	$8,863,637
Assistance to Persons Experiencing Homelessness	9,440 persons assisted (100,000+ units of service)	$951,927
Assistance to Persons with Special Needs	6,549 persons assisted	$640,112
Public Facilities	4 facilities completed, 17 underway (several nearing completion)	$2,856,171
Neighborhood Revitalization (including Historic Preservation/Spot Blight mitigation)	7 projects completed, 10 underway	$1,865,033
Public Services (total)	38,930	$5,377,706
CDBG	23,911	$1,000,579
ESG	1,371	$280,524
General/FTF Funds	13,648	$4,096,603

*may include carryforward and match

General Questions

Program Year 2 CAPER General Questions response:

1. Assessment of One-Year Goals and Objectives

The City of Tucson established annual and five-year goals and priorities for low-income populations in the 2010-2015 Consolidated Plan. Detailed tables listing the City's projects by activity and fund follow a summary of accomplishments, objectives and outcomes.

Activity	Funds Expended*	Annual Goals (Planned)	Output (Accomplishment)	Objective	Outcome
Assistance to Homeowners (Rehabilitation)	$2,989,551	475 households	474 households	Decent Housing	Sustainability
New Homeownership Opportunities	$2,809,002	165 units	319 units	Decent Housing	Availability/ Accessibility
Rental Development or Preservation	$8,863,637	184 households	478 units 11 underway	Decent Housing	Affordability
Assistance to Homeless persons	$951,927	30,000 units of service	9,440 persons assisted (over 100,000 units of service)	Suitable Living Environment	Availability/ Accessibility
Assistance to the Elderly or Persons with Special Needs	$640,112	6,205 persons assisted	6,549 persons assisted	Suitable Living Environment	Sustainability
Public Facilities	$2,856,171	8 facilities	4 facilities	Suitable Living Environment	Availability/ Accessibility
Neighborhood Revitalization/Spot Blight	$1,865,033	4 facilities	7 projects	Suitable Living Environment	Availability/ Accessibility
Public Services (including CDBG, ESG, and General Funds)	$ 4,734,071	37,900 persons assisted	38,930 persons assisted	Suitable Living Environment	Sustainability

*may include carryforward or match

Housing - Housing quality and affordability affects households and neighborhoods, and is a major contributor to what makes a City function well. Housing that is safe and affordable is crucial to a healthy, vibrant community. The City essentially met its stated goal of 475 by providing housing rehabilitation assistance to 474 households, in the category of sustaining decent housing in the community. The City exceeded its stated output (165) by providing homeownership opportunities to 319 households with the objective of providing decent housing and the outcome of availability. The City also met its stated goal for rental development or preservation (184) by producing 252 and having an additional 11 units under development.

Homeless – According to the most recent homelessness street count conducted in January 2012, approximately 2,366 people are homeless in Tucson on a given day. Homeless families, specifically women with children, account for a large percentage of this population, and are the fastest growing subpopulation of people who are homeless. In addition, the elderly and homeless veteran populations continue to increase. The City and its partner agencies will strive to achieve the annual goal of providing 30,000 units of service to homeless individuals annually. City staff will continue working with the Tucson Pima Collaboration to end Homelessness to develop policies and coordinate comprehensive planning in support of the *Continuum of Care Strategic Plan* and in the implementation of the *Ten Year Plan to End Homelessness.* In FY 2012, 9,440 homeless individuals were assisted with over 100,000 units of service, including: case management, bed nights, employment, food and other crisis assistance.

Elderly/Special Needs – The likelihood of having a disability increases with age. In light of the aging of the Baby Boomers and increasing life expectancies, the City can expect an increase in the number of people with disabilities. Over this last year, City programs have helped 6,436 persons with special needs, many of whom are elderly, with programs such as home repair, retrofitting for handicapped accessibility, nutrition, reverse mortgage counseling and loans, and housing for persons living with serious mental illness and HIV/AIDS. This was accomplished under the objective of a suitable living environment and outcome of sustainability. (HOPWA data is recorded separately in the HOPWA section of the FY2012 CAPER).

Public Facilities – The goal of developing eight facilities annually was not met. A Total of 4 facilities were completed in this fiscal year, with 17 projects still underway, many near completion. The City constructed or rehabilitated these facilities in partnership with other City Departments and local non-profit agencies under the objective of suitable living environment and the primary outcome of availability.

Neighborhood Revitalization – The City's Back-to-Basics Program, which is funded with CDBG, General Funds and transportation dollars, along with historic preservation projects to mitigate spot blight, met its annual goal by completing 7 projects or facilities with an additional 10 projects underway. This was accomplished under the objective of suitable living environment and the primary outcome of availability. The Back-to-Basics Program is being phased out; however, many Back-to-Basics programs are ongoing and will continue until completed. Historic Preservation/spot blight projects will continue as a means of revitalizing/stabilizing neighborhoods.

Public Services - Public services address the needs of families and individuals that have difficulty maintaining a basic level of security. The City has set an annual goal of assisting 37,900 persons during a given year. During the last year, the City of Tucson met its goal. A total of 38,930 persons received services designed to meet

their unique needs and to improve the quality of their lives under the objective of suitable living environment and the primary outcome of sustainability.

2012 PROJECT TABLES – ASSISTANCE TO HOMEBUYERS (DOWNPAYMENT & NEW CONSTRUCTION)						
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
Chicanos Por La Causa	Westmoreland	HOME	$601,045	0	11	Underway
Chicanos Por La Causa	Copper Vista	HOME	$728,234	0	1	Underway
Chicanos Por La Causa	Copper Vista II	HOME	$562,565	0	2	Underway
Chicanos Por La Causa	Iowa Project	HOME	$218,723	0	1	Cancelled
Habitat for Humanity	Corazon Del Pueblo	HOME	$632,000	$171,000	13	Completed
Habitat for Humanity	Scattered Sites II	HOME	$162,000	$50,000	2	Completed
Old Pueblo Community Services	Community Renewal	HOME	$495,100	$367,343	5	Underway
Old Pueblo Community Services	Mesquite Homes	HOME	$215,045	$208,128	11	Underway
Old Pueblo Community Services	Skyview Terrace	HOME	$277,796	$267,796	7	Underway
Total New Construction:			**$3,780,508**	**$1,064,267**	**53**	**Units constructed or underway**
City of Tucson/HCD	HAP Down Payment Assistance	HOME	$568,083	$591,084	133	Assisted
Pima County/City of Tucson	MRB	HOME	$502,805	$502,805	74	Assisted
Pima County	HAP Down Payment Assistance	HOME	$795,735	$790,385	112	Assisted
Total Down payment Assistance:			**$1,866,623**	**$1,381,469**	**319**	**Assisted**
Total HOME:			**$4,791,190**	**$2,445,736**		

2012 PROJECT TABLES – ASSISTANCE TO HOMEBUYERS (REHABILITATION PROJECTS)						
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
Chicanos Por La Causa	Roof Replacement & Home Maintenance / Special Needs Repair	CDBG	$148,500	$179,127	20	Homes rehabbed
Chicanos Por La Causa	Housing Rehab Collaborative Rio Nuevo	CDBG	$29,432	$56,734	3	Homes rehabbed
City of Tucson/HCD	NSP3 Target Area Housing Rehab	CDBG	$225,000	$36,506	1	Homes rehabbed
City of Tucson/HCD	Vacant & Neglected Structures (VANS)	CDBG	$405,390	$150,040	2	Homes rehabbed
City of Tucson/HCD	B2B Housing Rehab Mayor's / W2 / W3	CDBG	$301.903	$32,759	6	Homes rehabbed
City of Tucson/HCD	Emergency Home Repair	CDBG	$412,000	$290,307	17	Homes rehabbed
City of Tucson/HCD	City Neighborhood Initiatives	CDBG	$393,456	$350,988	55	Homes rehabbed
City of Tucson/HCD	City-wide Housing Rehab program (incl. Ward-centered rehab)	CDBG	$381,717	$199,038	27	Homes rehabbed

2012 PROJECT TABLES – ASSISTANCE TO HOMEBUYERS (REHABILITATION PROJECTS)						
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended** (may include carryforward)	**Units**	**Accomplished**
City of Tucson/HCD/PCOA	Elderly Home Repair Program	CDBG	$125,000	$84,415	6	Homes rehabbed
City of Tucson/HCD	Environmental Compliance and Resources	CDBG	$45,000	$26,145	86	Assessments completed
Community Home Repair Projects of Arizona (CHRPA)	City Minor Emergency/ Special Needs Repair	CDBG	$212,400	$176,265	59	Homes rehabbed
Community Home Repair Projects of Arizona (CHRPA)	Housing Rehab Collaborative Rio Nuevo	CDBG	$29,432	$31,455	11	Homes rehabbed
DIRECT Center for Independent Living	Home Access/ Special Needs Home Repair	CDBG	$155,700	$143,000	40	Homes rehabbed
DIRECT Center for Independent Living	Housing Rehab Collaborative Rio Nuevo	CDBG	$26,489	$19,653	2	Homes rehabbed
Old Pueblo Community Services	Sustainable Rehab Program	CDBG	$76,500	$58,143	3	Homes rehabbed
Old Pueblo Community Services	Housing Rehab Collaborative Rio Nuevo	CDBG	$26,489	$32,616	3	Homes rehabbed
Rebuilding Together Tucson	Neighborhood Renovation	CDBG	$105,300	$129,268	6	Homes rehabbed
Rebuilding Together Tucson	Housing Rehab Collaborative Rio Nuevo	CDBG	$26,489	$34,183	7	Homes rehabbed
TMM Family Services	Midtown homeowner Housing Rehab Program	CDBG	$81,000	$87,470	9	Homes rehabbed
TMM Family Services	Housing Rehab Collaborative Rio Nuevo	CDBG	$26,489	$26,337	4	Homes rehabbed
Tucson Urban League	City Emergency Home Repair	CDBG	$169,200	$144,472	32	Homes rehabbed
Tucson Urban League	South Park Rehabilitation	CDBG	$70,200	$93,004	11	Homes rehabbed
Tucson Urban League	Housing Rehab Collaborative Rio Nuevo	CDBG	$26,489	$24,779	5	Homes rehabbed
City of Tucson/HCD	VANS Demolition	CDBG	$447,311	$160,781	24	Home demos
City of Tucson/HCD	Lead Hazard Control Program match	CDBG	$100,000	$2,484	0	Homes rehabbed
City of Tucson/HCD	Optional Relocation Plan	CDBG	$52,417	$180		
Total CDBG:			**$3,797,702**	**$2,570,149**	**439**	**Homes rehabbed**
City of Tucson/HCD	Deferred Loan Program	HOME	$193,000	$273,234	21	Homes rehabbed
Total HOME:			**$193,000**	**$273,234**	**21**	**Homes rehabbed**
Pima Council on Aging	Elderly Rehab Program	Older Americans Act	$110,000	$110,000	14	Homes rehabbed
Total Other:			**$239,168**	**$146,168**	**14**	**Homes Rehabbed**
Grand Total:			**$4,229,870**	**$2,989,551**	**474**	**Total Rehabs**

2012 PROJECT TABLES – MULTIFAMILY ASSISTANCE						
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units** (affordable)	**Accomplished**
Miracle Mile Senior Housing L.P.	Ghost Ranch Lodge Phase II	HOME	$783,911	$783,911	11	Completed
Community Partnership of Southern Arizona	Sonrisa Apartments	HOME	$500,000	$500,000	10	Completed
Southern Arizona AIDS Foundation (SAAF)	Glenn Street Rehabilitation	HOME	$296,100	$296,100	11	Completed
Bilby Partners, LP	Las Montañas Apartments	HOME	$1,300,000	$1,300,000	11	Underway
TOTAL HOME:			**$2,880,011**	**$2,880,011**	**43**	**Units**

2012 PROJECT TABLES – PUBLIC FACILITIES						
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
City of Tucson Architecture & Engineering	Ward 1 ADA Accessibility Compliance	CDBG	$35,890	$35,890	1	Completed
City of Tucson Architecture & Engineering	Ward 3 ADA Accessibility Compliance	CDBG	$22,390	$22,390	1	Completed
City of Tucson HCD	Tucson House Community Garden	CDBG	$268,000	267,836	1	Underway
City of Tucson HCD / Historic Preservation Office	Matus-Meza House Cultural Center Rehabilitation	CDBG	$199,800	$102,868	1	Underway
City of Tucson Parks & Recreation	Amphi Park Tot turf	CDBG	$50,000	$3,649	1	Underway
City of Tucson Parks & Recreation	Catalina High School Skate Park	CDBG	$48,000	$29,285	1	Underway
City of Tucson Parks & Recreation	Catalina Park Playground	CDBG	$300,000	$280,039	1	Underway
City of Tucson Parks & Recreation	Escalante Park Shade Structure	CDBG	$50,000	$2,428	1	Underway
City of Tucson Parks & Recreation	Estevan Park playground improvements	CDBG	$248,725	$204,933	1	Underway
City of Tucson Parks & Recreation	Jacobs Park Improvements	CDBG	$200,000	$153,438	1	Underway
City of Tucson Parks & Recreation	Jacobs Park Accessible Playground	CDBG	$180,000	$144,964	1	Underway

2012 PROJECT TABLES – PUBLIC FACILITIES						
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
City of Tucson Parks & Recreation	Menlo Park ADA Accessibility Upgrades	CDBG	$180,000	$140,469	1	Underway
City of Tucson Parks & Recreation	Mirasol Park Field Lighting	CDBG	$200,000	$199,464	1	Underway
City of Tucson Parks & Recreation	Mission Manor Park Field Lighting	CDBG	$450,000	$252,306	1	Underway
City of Tucson Parks & Recreation	Joaquin Murrieta Park Shade Structure	CDBG	$45,000	$31,640	1	Underway
City of Tucson Parks & Recreation	Oury Park Field Lighting	CDBG	$400,000	$379,442	1	Underway
City of Tucson Parks & Recreation	Reid Park Comfort Station ADA rehabilitation	CDBG	$335,000	$256,102	1	Underway
City of Tucson Parks & Recreation	Swan Park playground improvements	CDBG	$103,720	$150	1	Completed
City of Tucson Parks & Recreation	Vista del Prado sports field lighting	CDBG	$200,845	$2,548	1	Completed
City of Tucson Transportation	HAWK Crossing providing safe access to Reid Park for Low Mod Neighborhoods	CDBG	$121,000	$115,506	1	Underway
City of Tucson Transportation	Neighborhood Infrastructure- 35 Bus Shelter Installations in Eligible Areas	CDBG	$330,015	$230,826	35	Underway
Total CDBG:			**$3,968,385**	**$2,856,173**	**4**	**Completed**

2012 PROJECT TABLES – BACK TO BASICS (COMMUNITY DEVELOPMENT)						
Agency	**Project**	**Funding Source**	**Total Funds Committed***	**Funds Expended**	**Units**	**Accomplished**
City of Tucson Parks & Recreation Dept	Davidson Elementary Community Garden	CDBG	$167,623	$167,623	1	Completed
City of Tucson Parks & Recreation Dept	Herrera Quiroz Basketball Court Construction	CDBG	$77,742	$41,445	1	Completed
City of Tucson Parks & Recreation Dept	Hummel Park Play Structure / ADA Improvements	CDBG	$136,317	$35,581	1	Underway
City of Tucson Parks & Recreation Dept	Juhan Park Improvements	CDBG	$64,932	$2,500	1	Completed
City of Tucson Parks & Recreation Dept	La Pilita Museum Upgrades	CDBG	$21,488	$19,904	1	Completed
City of Tucson Parks & Recreation Dept	McCormick Park PathMaster Plan	CDBG	$140,511	$104,589	1	Underway
City of Tucson Parks & Recreation Dept	Marty Birdman Park & Center	CDBG	$231,053	$182,842	1	Underway
City of Tucson Parks & Recreation Dept	Mission Gardens ADA Accessibility Improvements	CDBG	$39,198	$39,198	1	Underway
City of Tucson Parks & Recreation Dept	Sentinel Peak Park Improvements	CDBG	$47,500	$144	1	Underway
City of Tucson Parks & Recreation Dept	Swan Park Playground Enhancements	CDBG	$8,000	$1,956	1	Underway
City of Tucson Parks & Recreation Dept	Verdugo Park Design	CDBG	$3,000	$913	1	Underway
City of Tucson Parks & Recreation Dept	WUNA Catalina Park	CDBG	$25,000	$15,081	1	Completed

2012 PROJECT TABLES – BACK TO BASICS (COMMUNITY DEVELOPMENT)						
Agency	**Project**	**Funding Source**	**Total Funds Committed***	**Funds Expended**	**Units**	**Accomplished**
City of Tucson Transportation	Iron Horse Traffic Mitigation	CDBG	$42,000	$3,412	1	Underway
City of Tucson Transportation	Rincon Heights NR Project Phase III	CDBG	$79,751	$19,686	1	Underway
Total CDBG:			**$947,798**	**$634,874**	**5**	**Completed**

**Total Funds Committed – expended over multi-year period*

2012 PROJECT TABLES – Historic Properties Rehabilitation (Spot Blight)						
Agency	**Project**	**Funding Source**	**Total Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
City of Tucson HCD/ Historic Preservation Office	Steinfeld Warehouse Exterior Rehabilitation Spot Blight	CDBG	$973,961	$879,726	1	Underway
City of Tucson HCD/ Historic Preservation Office	USGS Magnetic Observatories Historic Preservation Exterior Rehabilitation Spot Blight	CDBG	$136,595	$136,595	1	Completed
City of Tucson HCD/ Historic Preservation Office	Adkins Residence at Fort Lowell Historic Preservation Exterior Rehabilitation Spot Blight	CDBG	$100,907	$98,538	1	Underway
City of Tucson HCD/ Historic Preservation Office	Adkins Fort Lowell Soils Remediation	CDBG	$135,000	$134,986	1	Completed
Total CDBG:			**$1,346,463**	**$1,249,845**	**2**	**Completed**

2012 PROJECT TABLES – PUBLIC SERVICES								
Agency	**Project**	**Target Group**	**Funding Source**	**Funds Committed**	**15% Program Income**	**Funds Expended** (may include carry forward)	**Units**	**Accomplished**
Arizona's Children Association *dba* Southern Arizona Center Against Sexual Abuse	Sexual Assault Crisis Services	Youth	CDBG	$55,170	$13,780	$62,635	386	Assisted
Community Food Bank	Emergency Food Assistance Program	Adults / Youth / Homeless	CDBG	$151,762	$37,905	$189,667	14,560	Assisted
Emerge! Center Against Domestic Abuse	Support Services for Domestic Abuse Survivors	Homeless	CDBG	$179,216	$44,763	$211,705	255	Assisted
Community Partnership of Southern Arizona	Seasonal Emergency Shelter and Service Program	Homeless	CDBG	$24,900	$6,219	$30,535	1,010	Assisted
Interfaith Community Services	Safe and Healthy Senior/Disabled Independence Program	Senior Adults	CDBG	$29,050	$7,256	$36,306	182	Assisted
New Beginnings For Women and Children	Emergency Shelter	Homeless	CDBG	$46,176	$11,533	$57,709	267	Assisted
Open Inn	Crisis Assistance Shelter	Youth Homeless	CDBG	$31,040	$7,753	$35,927	162	Assisted
PCOA/CHRPA	Elderly Independence	Senior Adults	CDBG	$30,500	$7,618	38,118	118	Assisted

2012 PROJECT TABLES – PUBLIC SERVICES								
Agency	**Project**	**Target Group**	**Funding Source**	**Funds Committed**	**15% Program Income**	**Funds Expended** (may include carry forward)	**Units**	**Accomplished**
Primavera	Emergency Svcs Men's Shelter (RR)	Homeless	CDBG	$58,864	$14,702	$63,469	531	Assisted
Primavera	Emergency Shelter Prog. Greyhound Emergency Family Shelter	Homeless	CDBG	$6,640	$2,386	$7,191	80	Assisted
Primavera	Women's Services Program	Homeless	CDBG	$58,150	$14,524	$68,460	124	Assisted
Public Housing City of Tucson	Family Self Sufficiency	Adults	CDBG	$18,000	$4,496	$20,869	57	Assisted
Southern Arizona Children's Advocacy Center	Clinical Services for Child Victims of Abuse	Youth	CDBG	$66,400	16,585	$77,052	209	Assisted
TMM Family Services	TMM/Family Journey Transitional Housing	Homeless	CDBG	$23,240	$8,950	$29,317	97	(reported under ESG)
United Way	United Way Outreach MCC & Earned Tax	Adults	CDBG	$9,000	$2,248	$11,248	5,249	Assisted
Wingspan	Anti-Violence Project	Youth Homeless	CDBG	$25,730	$6,426	$31,305	526	Assisted
Youth on Their Own	Special Needs	Youth Homeless	CDBG	$25,773	$6,437	$32,210	98	Assisted
Total CDBG:				**$839,611**	**$213,581**	**$1,003,722**	**23,911**	**Assisted**

2012 PROJECT TABLES – Emergency Solutions Grant							
Agency	**Project**	**Target Group**	**Funding Source**	**Funds Committed**	**Funds Expended** (may include carry forward)	**Units**	**Accomplished**
New Beginnings for Women & Children	Emergency Shelter	Homeless	ESG	$44,366	$44,366	267	Assisted
Open INN, Inc.	Crisis Assistance and Shelter	Homeless	ESG	$82,803	$100,505	192	Assisted
Primavera Foundation	Emergency Svcs Men's Shelter (RR)	Homeless	ESG	$40,000	$44,913	531	Assisted
Primavera Foundation	Emergency Shelter Services (Greyhound)	Homeless	ESG	$70,740	$70,740	284	Assisted
TMM Family Services	Family Journey Transitional Housing	Homeless	ESG	$20,000	$20,000	97	Assisted
Total ESG:				**$257,909**	**$280,524**	**1,371**	**Assisted**

2012 PROJECT TABLES – PUBLIC SERVICES							
Agency	**Project**	**Target Group**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
Arts for All	Strengthening Families	Disabled	GENERAL FUND	$27,240	$27,240	162	Assisted
Administration of Resources	Elder Shelter	Senior Adults	GENERAL FUND	$31,780	$31,780	267	Assisted
Administration of Resources	Reverse Mortgage Program	Senior Adults	GENERAL FUND	$31,780	$31,780	232	Assisted

2012 PROJECT TABLES – PUBLIC SERVICES							
Agency	**Project**	**Target Group**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
Aviva Children's Services	Parenting Program	Disabled	GENERAL FUND	$30,872	$18,872	85	Assisted
AZ Children's Assoc dba Su Voz Vale	Your voice counts program	Adults	GENERAL FUND	$24,825	$24,825	74	Assisted
AZ Children's Assoc dba Las Familias	Sexual Abuse Counseling Services	Youth	GENERAL FUND	$27,240	$27,240	126	Assisted
Big Brothers Big Sisters of Tucson	BBBST Flex & Ongoing Mentoring	Youth	GENERAL FUND	$27,240	$27,240	38	Assisted
Casas de los Ninos	Parenting Education/respite care	Youth	GENERAL FUND	$27,240	$27,240	1,005	Assisted
CSS dba COPD	Building Skills for Employment	Disabled	GENERAL FUND	$38,136	$38,136	58	Assisted
CSS dba COPD	Supporting Persons with Hearing Loss	Disabled	GENERAL FUND	$27,240	$27,240	106	Assisted
Catholic Social Services CSS	Counseling & Case Management	Adults	GENERAL FUND	$27,240	$27,240	209	Assisted
Cath. C.S. Pio Decimo	Early Childhood and Youth Development	Youth	GENERAL FUND	$27,240	$27,240	198	Assisted
Child Language Center, Inc.	Therapy for Toddlers & Preschoolers	Disabled	GENERAL FUND	$27,240	$27,240	54	Assisted
CODAC	Strengthening Families Parenting Program	Adult	GENERAL FUND	$27,240	$24,429	89	Assisted
Community Extension Programs, Inc.	Rio Vista and Holloway School Age Scholarship Program	Youth	GENERAL FUND	$27,240	$27,240	69	Assisted
Caregiver Training Inst.	Training caregivers for quality care	Senior Adults	GENERAL FUND	$63,560	$63,560	228	Assisted
Goodwill Industries	Ready-to-earn computer/ customer service training	Disabled	GENERAL FUND	$54,479	$54,479	27	Assisted
Interfaith Community Services	Case Management for Emergency Financial Assistance	Adults	GENERAL FUND	$27,240	$27,240	1,437	Assisted
MEC	Preparing Tucson Youth for the future	Youth	GENERAL FUND	$36,861	$36,861	1,000	Assisted
Miracle Square	Independent Living & Support for Elderly & Disabled Adults	Senior Adults	GENERAL FUND	$34,504	$34,504	48	Assisted
Open INN, Inc.	Transitional Apartment Living program	Homeless	GENERAL FUND	$27,240	$27,240	24	Assisted
Our Family Services	Senior Companion Program	Senior Adults	GENERAL FUND	$27,240	$27,240	390	Assisted
Our Family Services	Teens in Transition Case Management	Youth	GENERAL FUND	$27,240	$27,240	46	Assisted
Our Family Services	Teens in Transition Parenting/Life Educ	Youth	GENERAL FUND	$27,240	$27,240	49	Assisted
The Parent Connection	Parenting Education, Information & Support	Adults	GENERAL FUND	$27,240	$27,096	226	Assisted
PCOA	Family Caregiver Support Program	Senior Adults	GENERAL FUND	$27,240	$27,240	149	Assisted

2012 PROJECT TABLES – PUBLIC SERVICES							
Agency	**Project**	**Target Group**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
PCOA	Home Repair, Adaptation and Maint. for the Elderly	Senior Adults	GENERAL FUND	$27,240	$27,240	26	Assisted
PCOA	Homecare Services for the Elderly	Senior Adults	GENERAL FUND	$27,240	$27,240	35	Assisted
PCOA	Nutrition Program for the Elderly	Senior Adults	GENERAL FUND	$27,240	$27,240	351	Assisted
Pima Prevention Partnership	Pima County Teen Court	Youth	GENERAL FUND	$27,240	$27,240	384	Assisted
Primavera Foundation	Primavera Works Alternative Staffing Prog.	Homeless	GENERAL FUND	$54,479	$54,479	527	Assisted
So. AZ. AIDS Foundation (SAAF)	Case management services for people living with HIV/AIDS	Adults	GENERAL FUND	$27,240	$27,240	218	Assisted
So. Arizona Legal Aid	Public Benefits Program	Adults	GENERAL FUND	$27,240	$27,240	169	Assisted
TCWC EMERGE	Childcare/Early Childhood Development: Angel Children's Center	Youth	GENERAL FUND	$27,240	$27,240	16	Assisted
TCWC EMERGE	Domestic Abuse Case Coordination	Adults	GENERAL FUND	$27,240	$27,240	25	Assisted
Tu Nidito Children & Family Services	Children to Children Grief Support Program	Youth	GENERAL FUND	$27,240	$27,240	565	Assisted
Tucson Urban League	Senior Lunch and Recreation Program	Senior Adults	GENERAL FUND	$27,240	$27,240	278	Assisted
Tucson Urban League	Project YES: Tutoring & Mentoring	Youth	GENERAL FUND	$27,240	$27,240	251	Assisted
Tucson Urban League	Employment & Training program	Adults	GENERAL FUND	$44,310	$44,310	600	Assisted
Tucson Youth Development	LEAP: learn, advance & prosper	Youth	GENERAL FUND	$36,983	$36,983	36	Assisted
Tucson Youth Development	Transition Counseling Program	Youth	GENERAL FUND	$38,265	$38,265	144	Assisted
TUSD Community Schools	Community Schools Increased Capacity	Youth	GENERAL FUND	$27,240	$27,230	16	Assisted
VOICES, Inc.	After School Documentary Arts Project	Youth	GENERAL FUND	$27,240	$27,240	362	Assisted
Wingspan	Wingspan -A Step Up, Educational Enrichment	Youth	GENERAL FUND	$26,996	$26,996	251	Assisted
YMCA	Childcare: success for kids & families	Youth	GENERAL FUND	$54,480	$54,479	139	Assisted
Youth on Their Own	Stipend Program	Youth	GENERAL FUND	$45,400	$45,400	361	Assisted
Total General Fund:				**$1,464,910**	**$1,449,944**	**11,150**	Assisted

2012 PROJECT TABLES – PUBLIC SERVICES							
Agency	**Project**	**Target Group**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
Housing & Community Development Department	Economic Stabilization of Families childcare Scholarship prog.	Youth	FTF CENTRAL PIMA REGIONAL COUNCIL	$2,695,000	$2,646,659	2,498	Assisted
Total First Things First:				**$2,695,000**	**$2,646,659**	**2,498**	**Assisted**
Grand Total:				**$5,257,430**	**$5,377,706**	**38,197**	**Assisted**

2012 PROJECT TABLES – PLANNING (Funded By Admin)						
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**	**Accomplished**
Community Partnership of Southern Arizona	Homeless Planning and Coordination	CDBG	$25,000	$25,000	1	COC produced
Southwest Fair Housing Council	Don't Borrow Trouble	CDBG	$25,000	$25,000	1	Anti-predatory Lending practices
Southwest Fair Housing Council	Analysis of Impediments	CDBG	$25,000	$25,000	1	On-going community assessment of impediments to fair housing choice
Total:			**$75,000**	**$75,000**	**3**	**Plans produced**

2012 PROJECT TABLES – CHDO Operating					
Agency	**Project**	**Funding Source**	**Funds Committed**	**Funds Expended**	**Units**
Chicanos Por la Causa	CHDO	HOME	$0	$0	0
TMM	CHDO	HOME	$30,000	$30,000	1
Primavera	CHDO	HOME	$26,000	$26,000	1
ISDA	CHDO	HOME	$33,781	$33,781	1
Habitat for Humanity	CHDO	HOME	$0	$0	0
Old Pueblo Foundation	CHDO	HOME	$39,500	$39,500	1
Compass Affordable Housing	CHDO	HOME	$29,682	$29,682	1
Total:			**$158,963**	**$158,963**	**5**

Describe the manner in which the recipient would change its program as a result of its experiences.

The City of Tucson continues its goal of providing stronger linkages between its Human (public) Services Planning process and its Community Development Block Grant Public Facilities solicitation process so that the goals are integrated. In this integrated approach, a public facility that houses homeless persons under the auspices of a housing first model funded through public facilities dollars would be linked with funding of public services dollars to provide needed public services.

Planning staff within the Housing and Community Development Department are currently updating the City of Tucson General Plan. The Plan will include stronger links to Neighborhood Plans so that implementation of the critical elements of those plans is accomplished in a timely manner using the federal entitlements where applicable. Staff continues to look for ways to integrate the various programs and projects this department is responsible for as well as looking for ways to better integrate our programs and projects with those of other departments. Our department continues its work to break down division silos so that staff can better analyze how the services they are responsible for can improve the lives of clients from other divisions.

3. Actions to Affirmatively Further Fair Housing

a. Provide a summary of impediments to fair housing choice.
b. Identify actions taken to overcome effects of impediments identified.

2. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.

3. Leveraging Resources
   a. Identify progress in obtaining "other" public and private resources to address needs.
   b. How Federal resources from HUD leveraged other public and private resources.
   c. How matching requirements were satisfied.

Addressing Impediments to Fair Housing in the City of Tucson:

The City of Tucson and Pima County make up a consortium which receives entitlement funds and collaborates to conduct the Analysis of Impediments (AI) process and submit the AI report to HUD. The Analysis of Impediments document utilized in this reporting period was completed 2009 (known as the 2009 AI Plan), and can be found on the City's web site at: www.tucsonaz.gov/hcd. The report was drafted by the Southwest Fair Housing Council (SWFHC) in collaboration with the Southwest Center for Economic Integrity (SCEI). This document was adopted during FY 2010. The Plan provides an analysis of current impediments to fair housing choice in Pima County, including the City of Tucson. This document includes an Action Plan to eliminate or reduce these impediments that will be implemented in years 2010 through 2015 at which time the AI will again be updated.

The City allocated $25,000 to the Southwest Fair Housing Council for fair housing activities and an additional $25,000 in support of the "Don't Borrow Trouble program."

It is inevitable that some of the information contained in the CAPER report to Pima County and the CAPER report to the City of Tucson overlaps since the Analysis of Impediments is a multi-jurisdictional document. However, other information provided below is unique to the City of Tucson.

For the period July 1, 2011 through June 30, 2012, the Southwest Fair Housing Council, on behalf of the City of Tucson, participated in the following actions to address Impediments to Fair Housing:

Impediment #1: Unlawful housing discrimination

The City of Tucson provides funding for fair housing testing and enforcement activities to the Southwest Fair Housing Council (SWFHC). A total of 246 tests were conducted in both the City of Tucson and Pima County. 211 were rental tests, 9 were sales tests and 26 were loan modification scam tests. 74 tests were race-based rental tests, 32 were national origin-based rental tests, 30 were familial status rental tests and 40 were disability-based rental tests and 35 were Spanish-language advertising tests. 45 tests reveal evidence of possible unlawful housing discrimination, 130 do not support the allegations of discrimination and 71 are inconclusive.

During the period, 610 individuals contacted SWFHC by phone, email or drop-in and requested assistance with general housing issues. The majority of callers were referred to local resources for assistance and information. The 610 contacts were for the following reasons:

- Landlord-tenant issues – 210
- Looking for rental housing – 17
- Rent and/or utility payment assistance - 169
- Foreclosure assistance – 108
- Substandard housing, eviction procedures, turning on utilities, etc. – 106

Of the 610 calls, SWFHC reviewed 46 calls and contacts from individuals who described instances of unlawful housing discrimination. All of 46 the calls and contacts were from City of Tucson residents. After further investigation, 46 of the allegations were filed as formal complaints or referred for additional investigation with HUD and/or the Arizona Attorney General's Office for follow-up. As noted above, the remaining 594 complaints or contacts were referred to City of Tucson Property Housing and Complaint, Southern Arizona Legal Aid, the Arizona Center for Disability Law, the Don't Borrow Trouble program and other local agencies.

Impediments #2, 3 and 4: Housing consumers, housing providers and staff of public and private agencies lack basic fair housing knowledge

The City of Tucson's CDBG funding provided to SWFHC funds education and outreach activities targeting housing professionals and housing consumers. SWFHC staff provided 68 fair housing workshops to housing providers such as Realtors, landlords and property managers, to housing consumers and to staff of non-profit agencies. 22,454 pieces of fair housing literature were distributed at workshops and events. Additional outreach included participating in 13 community events, maintaining an online landlord/tenant resource center, maintaining a Facebook account and Twitter feed and placing fair housing and related articles in various publications.

SWFHC maintains its partnership established in 2010 with the Pima-Tucson Section 8 office. SWFHC presents a landlord-tenant and fair housing orientation at the monthly voucher renewal sessions. Through the monthly orientations, residents are better acquainted with Section 8 procedures, as well as their housing rights and responsibilities related to the landlord-tenant relationship and housing discrimination.

SWFHC conducted training for City of Tucson staff. Over 70 city employees attended the training. SWFHC is also providing outreach and education around the topics of fair housing and financial literacy to veterans of the armed forces through the HUD-VASH program.

Impediment #5: Protected classes are targeted for predatory foreclosure prevention and loan modification scams.

Don't Borrow Trouble (DBT), is a program of the Southwest Fair Housing Council that is funded through the City of Tucson's CDBG program. Currently, the program focuses almost exclusively on foreclosure prevention and mortgage modification scam prevention and enforcement. DBT continues to maintain its hot line so that the public can contact staff with any concerns. For the period, DBT received 1,674 calls. Over 90% of calls were about foreclosure, mortgage loans or mortgage modification scams. DBT's website contains helpful information for consumers and receives thousands of hits per month.

DBT staff distributed 5,815 pieces of fair lending literature in English and Spanish throughout greater Tucson. Staff facilitated or participated in 12 workshops and events during the reporting period.

Impediment #7: Lack of accessibility for persons with disabilities

Colonias communities are located primarily in Pima County. Activities in those areas have been reported to Pima County for its 2011 - 2012 CAPER.

In the City of Tucson, work continues with the local refugee community through several community groups including the International Rescue Committee and RISPNet (Refugee Immigrant Service Provider Network), a coalition of refugee-serving entities in the local community. SWFHC staff provides fair housing education to the refugee community locally and educates both the outreach workers and the recipients of refugee services regarding their housing rights and responsibilities. Outreach to and collaboration with local refugee groups including, but not limited to, the Somali-Bantu Mutual Aid Association, the Bhutanese Mutual Aid Association, African Refugees Solidarity Committee and the Tucson International Alliance of Refuge Communities (TIARC) is ongoing. Due to the collapse of the housing market, new construction has slowed significantly. SWFHC continues to monitor new construction for compliance with accessibility standards as stated in the Fair Housing Act.

The largest percentage of calls that SWFHC receives is related to disability issues. SWFHC's Enforcement Department counsels clients with disability related issues. Furthermore, SWFHC's Education and Outreach staff incorporates accessibility and disability-related issues into its workshops and trainings.

Impediment #9: Refugees lack fair housing knowledge

SWFHC staff has provided training, counseling and information to 645 refugees during the period at events targeting the refugee population. Staff has also distributed 850 pieces of fair housing literature to refugees. Finally, staff received 7 fair housing complaints from refugees during the period.

Addressing Obstacles to Meeting Underserved Needs

Tucson strives to meet the underserved needs of the community. This is accomplished through programs and services to homeowners and renters.

Homeowners

The Mayor and Council have directed CDBG-funded housing rehabilitation organizations to develop a coordinated strategy for providing home repair to low-income households community-wide. Nine partner organizations with long-standing programs formed a collaboration and began working on several goals: 1) incorporation of home maintenance, education and preventative care in housing rehabilitation programs; 2) streamlining of the application process for eligible households to apply and receive housing rehabilitation assistance; 3) determining cost-effective and efficient ways to purchase supplies and make repairs when combining resources; and 4) applying for a portion of the annual CDBG allocation to fund a coordinated strategy.

Renters

Low-income renters often experience difficulty obtaining decent, affordable housing. Tucson assists the low-income renter population by providing 1,888 units of public housing; 4,294 units of Section 8 rental housing; 852 Section 8 units administered for Pima County; these numbers include 215 HUD/VASH vouchers for Veterans and 150 Family Unification Vouchers. There are also 110 Moderate Rehabilitation program units.

Other programs that assist renters include: State Low-Income Housing Tax Credits, HOME-funded Rental Housing Partnership, El Portal, HUD 202, 236, and 811 programs.

5. Leveraging Resources

Wherever possible, the City attracts additional resources by submitting grant applications and providing support to organizations that apply to competitive grant programs. The City has been successful in attracting grants to support agencies that provide housing and services. These include: The Affordable Housing Program of the Federal Home Loan Bank, State of Arizona HOME Program and Housing Trust Fund, Supportive Housing Program (SHP), HOPE VI Program, and HOPE 3 programs. The City has contributed general funds to meet HOME program match and for activities which support persons experiencing homelessness.

Source	Amount
FEDERAL FUNDS	
HURF – B2B	**$317,727**
Continuum of Care City Programs	**$2,376,266**
STATE FUNDS	
First Things First Economic Stabilization of Families Grant	**$2,695,000**
LOCAL FUNDS	
Pima County General Obligation Bonds Housing	**$615,929**
Pima County General Obligation Bonds Neighborhood Re-investment	**$1,892,614**
City General Fund – B2B & Human Services	**$ 1,464,910**
PROGRAM INCOME	
CDBG- includes revolving	**$27,673**
HOME	**$623,046**
NON-PROFIT & PRIVATE FUNDS	
Donations, Labor and Materials	**$314,078**
Fees (affordable Housing impact fee exemption)	**$ 151,714**
TOTAL	**$38,058,005**

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

Program Year 2 CAPER Managing the Process response:

Lead Agency

The City of Tucson and Pima County formed a HOME consortium in 1992. The City of Tucson Housing and Community Development Department is the lead agency for the development of the Consolidated Plan. The City receives funds from five HUD formula grant programs covered by the Consolidated Plan: the Community Development Block Grant (CDBG), HOME Investment Partnerships program (HOME), Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) programs.

The 2010-2015 Consolidated Plan and the FY 2012 Annual Action Plan are available on the City of Tucson's website at: www.tucsonaz.gov/hcd.

Consolidated Plan Development/Annual Action Plans

While the City of Tucson serves as the lead agency for development of the Consolidated Plan, a number of other public and private agencies participated in its development. The Institutional Structure portion of the Plan fully describes the various entities involved in the development of the Consolidated Plan and the Annual Action Plan.

The City and County held fifteen stakeholder and public forums to solicit input into current conditions, identify obstacles to implementing programs and developing projects, and define goals and strategies. The forum participants included representatives from 45 agencies and departments that focus on supportive housing efforts, services for special populations, economic development and employment services, planning and capital improvements. Members of the public were invited to attend and participate in forum discussions. Over 110 forum participants are listed in the plan. The 2010-2015 Consolidated Plan contains a summary report of the meetings, including the names and affiliations of the 110 participants.

Through funding mechanisms, the City of Tucson and Pima County will facilitate coordination between service providers and housing providers.

Citizen Participation

1. Provide a summary of citizen comments.

2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

CAPER Citizen Participation response:

The City's goal for citizen participation is to ensure broad participation of both residents and service providers in housing and community development planning and program development. The City followed its published Citizen Participation Plan in consulting with the public and stakeholder agencies.

Summary of Citizen Comments

Public comment period was held from September 12, 2012 to September 26, 2012. A summary of comments received follows:

2. Geographic Distribution

The following census tracts are considered low-income areas with minority concentration (50% or more minority residents): 2, 3, 8, 9, 10, 11, 12, 13.02, 20, 21, 23, 24, 25.01, 37.01, 38, 42, 43.08, 44.07, 48, 50. The City invests entitlement funds in areas of minority concentration. These funds are broadly distributed because most of the City's entitlement-supported programs are open to income-eligible residents city-wide.

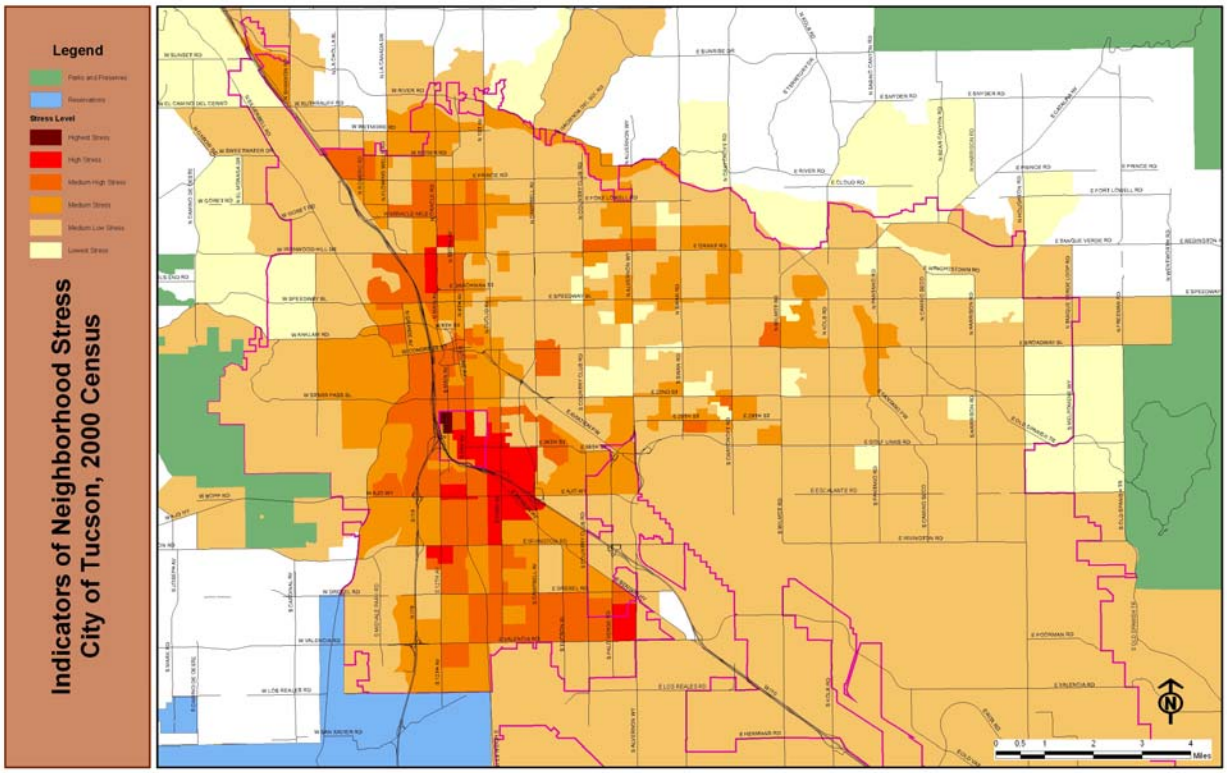
The City allocates funding in several ways, to ensure investment in areas of minority concentration:

1. Back to Basics Target Areas: This program was intended to rotate funding opportunities to all areas of the City. These neighborhoods receive an investment of CDBG and other funds to make improvements based on a neighborhood-driven prioritization process. Generally, these target neighborhoods overlay with minority concentration areas. This program is being phased out. Programs already approved will be completed.

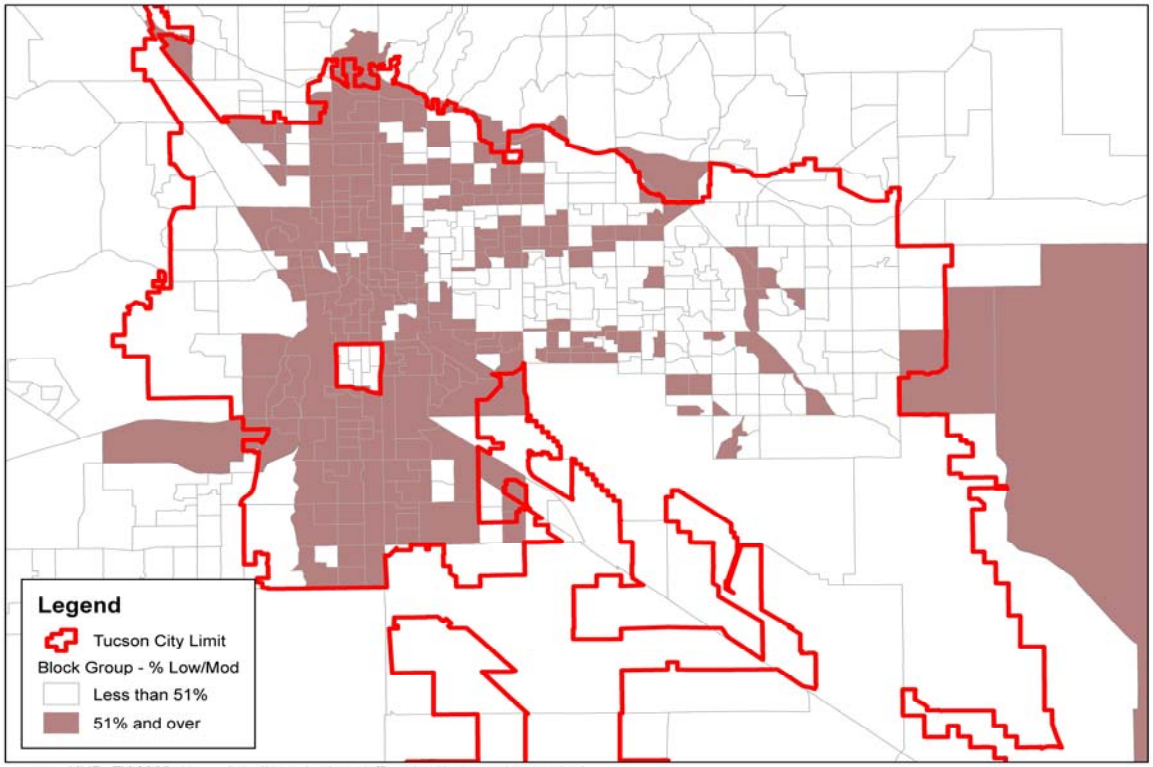
2. Minority Concentration Targets: The majority of the City's entitlement funding is directed to programs that are city-wide. This is intended to provide access to services to a broad spectrum of clients. Clients who are also residents from areas of minority concentration may receive services in an area of minority concentration or at a location where the service is offered outside of an area of minority concentration. In either case, entitlement funds are directed to benefit residents of minority concentration areas (see the stress map on next page).

3. Public Facilities: The City funds these projects in areas designated low-income or for the mitigation of spot blight. Many of these projects are also in minority concentration areas. The City makes these investments to upgrade facilities in areas where there is a disproportionate need or a disparity in the facilities available to the surrounding area (see Low/Mod Income Area map on next page).

Indicators of Neighborhood Stress and Low/Moderate Income Areas Maps:



City of Tucson Block Groups
with 51% or more Low or Moderate Income



source: HUD, FY 2009 data http://www.hud.gov/offices/cpd/systems/census/az/

Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

Program Year 2 CAPER Institutional Structure response:

The Housing and Community Development Department (HCDD) has enacted a wide range of activities to enhance coordination and improve services to the community.

Human Services Plan and Review Committees

Human Services Review Committees were convened in FY 10 to review proposals for Human Services, and make funding recommendations to the City Manager. These Committees convene on a two year cycle.

Metropolitan Housing Commission

The Metropolitan Housing Commission is a Mayor and Council appointed Commission that advises them on affordable housing issues. The Commission is comprised of 15 members from a diverse group of interests including: Neighborhood/Consumer Advocate; Developer/Builder/Designer; Real Estate/Finance; and Community Partners.

Tucson Housing Trust Fund Citizen Advisory Committee

This group was formed by the Mayor and Council in 2007 in recognition of the need of additional affordable housing in Tucson. This group has 15 members from a variety of different backgrounds related to housing.

Delegation of Authority – Environmental Reviews

The Mayor and Council have delegated authority to the Director of HCDD, or his designee, to implement the review requirements for the National Environmental Policy Act.

Delegation of Authority – Contracts

The Mayor and Council have delegated authority to the Director of HCDD, or their designee, to execute contracts or other documents necessary to carry out activities listed in this document.

Lending Institutions

Many of the City's programs and initiatives utilize mortgages from local lending institutions. The City may ask lenders to provide loans directly to bankable low-income households for home purchase or home improvement loans; or to an organization or developer for a public facility or multi-family housing project. The City depends on the local implementation of federal Community Reinvestment Act (CRA) programs to successfully develop needed affordable housing.

City of Tucson and Pima County Consortium

The consortium partners with various levels of government and other major funders, in policy development. In FY 2008, they entered into an agreement to authorize the City to operate a housing program on behalf of the County in order to provide financial assistance to families who reside in Pima County.

Public Planning Process

The City has engaged the public in planning and programs through the designation of special advisory committees, comprised of individuals with diverse interests and perspectives in regard to key community issues. For example, the Mayor and Council appointed a citizen committee to work with the City on downtown revitalization and in the development of the Neighborhood Preservation Ordinance.

The City is continuing its major revitalization of its downtown and surrounding neighborhoods. The Rio Nuevo project will add new commercial, cultural, transportation and public facilities to the core of downtown. There is a plan to build up to 2,000 new housing units within a ten-year period. The City is engaged in a multi-level planning process that includes the development of neighborhood plans, special meetings and events to solicit public input, and consultation with national experts.

Consolidation of Enforcement and Urban Planning with HCDD

The City has established a Neighborhood Protection Ordinance (NPO) that consolidates and enhances code provisions dealing with property maintenance. In fiscal year 2009 the City merged the Community Services Department with the Neighborhood Resources Department responsible for the enforcement of the NPO. In fiscal year 2010 the City consolidated the Community Services Department with what was Urban Planning to form the Housing and Community Development Department. Planning staff within the department are currently working on an update to the General Plan. Many opportunities for public participation have occurred and will continue to occur throughout the process leading up to the adoption of the Plan.

Environmental Review Guidebook

To facilitate project feasibility and expedite the contracting process, the City developed a local guidebook that establishes a streamlined process for reviewing proposed projects in accordance with the National Environmental Policy Act (NEPA).

Pro-Neighborhoods

In an effort to provide community organizing skills and capacity building to neighborhood the City and County developed a multi-funded program called PRO-Neighborhoods. This partnership provides expertise to residents to organize and enhance the community. Pro-neighborhoods has ceased operation as of June 30, 2011. The City and County will look to new ways to continue to develop neighborhood leadership and capacity building. The Housing and Community Development Department continues its support of registered neighborhoods within the City of Tucson with in-house staff and through its website: http://cms3.tucsonaz.gov/hcd/neighborhood-associations.

Intragovernmental Coordination

Public improvement projects are coordinated with numerous City departments, including: Parks and Recreation, Planning & Development Services, Transportation and General Services.

Industrial Development Authorities

The City of Tucson and Pima County have Industrial Development Authorities (IDA). Through special bonding capacity, these organizations have financial resources for affordable housing development and public facility enhancements.

Monitoring

1. Describe how and the frequency with which you monitored your activities.

2. Describe the results of your monitoring including any improvements.

3. Self Evaluation
   a. Describe the effect programs have in solving neighborhood and community problems.
   b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.
   c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.
   d. Indicate any activities falling behind schedule.
   e. Describe how activities and strategies made an impact on identified needs.
   f. Identify indicators that would best describe the results.
   g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
   h. Identify whether major goals are on target and discuss reasons for those that are not on target.
   i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

Program Year 2 CAPER Monitoring response:

1. Monitoring Timeline

Program monitoring for CDBG and HOME regulatory compliance occurred on a continual basis during 2012.

2. Monitoring Plan / Results

The following is the City's current guidance relating to monitoring plans for both CDBG and HOME. Our strong commitment to the monitoring of our partnering agencies has resulted in stronger relationships, minimization of errors, and better product and service delivery throughout the community. Of particular note is our pre-contract audit requirement, whereby City audit staff review agencies' financial systems to insure that they have the capacity to successfully manage the awarded funds.

Pre-Award Screening

- Prior to award of funds, all sub-recipient service providers received pre-contract assessments to assure that sufficient administrative and fiscal management systems were in place to successfully provide the service identified in the grant applications.

- During the RFP process, City staff met individually with agencies to evaluate other program capacity issues.

Post-Award Monitoring

- After funding approval, sub-recipients received program orientation and technical assistance in setting up the necessary reporting mechanisms.
- Desk monitoring and technical assistance was provided on a continual basis as monthly billings were reviewed and processed.

City staff conducted Need and Risk Assessments on 100% of the contracts. City staff used the Monitoring HOME Program Performance model developed by HUD to ensure compliance with HOME rules and regulations. Public facility and infrastructure projects with Davis-Bacon requirements were implemented, administered and monitored in compliance with the appropriate statutes and regulations.

Annually, City staff holds an eligibility-training class for all funded agencies that manage low-income HOME rental units. The class is mandatory for all CHDO's that receive CHDO Operating Funds. The training includes how to conduct income eligibility in accordance with Section 8 guidelines, and explains what documents must be maintained in the eligibility file.

CDBG Monitoring

It is the policy of the Housing and Community Development Department, Planning and Community Development Division, to monitor all sub-recipient contracts on an annual basis. All sub-recipients will, at a minimum, be monitored by means of an office desk-review utilizing a monitoring checklist appropriate for the program/project. Those sub-recipients whose risk assessment is high (4 or more factors checked) will receive on-site monitoring. Those sub-recipients whose risk assessment is medium (2 to 3 factors checked) will, time permitting, receive on-site monitoring, with those with the highest number of risk factors being a priority. Those sub-recipients whose risk assessment is low (0 to1 factors checked) will receive a desk-review monitoring. All sub-recipients will receive on-site monitoring in the event it is requested by an authorized city, state or federal official. Additionally, the City may, at its discretion, perform a risk assessment of a sub-recipient, and if the risk assessment warrants on-site monitoring, the City may perform same. All public facility projects require an on-site visit prior to making final payment.

HOME Monitoring

The City of Tucson monitors the following units to ensure that all of the HOME units meet the HOME Monitoring requirements as specified in 24 CFR Part 92.207. On-site inspections are conducted to ensure that each unit meets federal housing quality standards. Frequency of inspections is listed below:

Rental Partnership Monitoring Frequency			
	Project	**Frequency**	**# COT HOME Units**
1	ADDI/HAP	N/A	N/A
2	St. Lukes in the Desert	Annual	5
3	1 North 5th	Annual	11
4	Talavera Apartments	Annual	11
5	Fry Apartments	Annual	48
6	Las Villas De Kino Phase 1	Annual	11
7	Las Villas De Kino Phase 2	Annual	11
8	Glenstone Apts	Annual	7
9	Ghost Ranch Lodge Ph 1	Annual	20
10	Ghost Ranch Lodge Ph 2	Annual	11
11	El Portal	Annual	43
12	Parkside Terrace Apts	Annual	11
13	Colores Del Sol	Annual	11
14	Casa Bonita 3, 4 & 5	Annual	11
15	MLK	Annual	30
16	Council House	Annual	4
17	MacArthur Apartments	2 years	6
18	SAAF - Glenn Street	2 years	11
19	New Beginnings PH 2	2 years	24
20	New Beginnings PH 1	2 years	16
21	Casitas San Miguel	2 years	10
22	Mabel & Delano	2 years	8
23	Casitas Esparanzas Byas	2 years	8
24	Casa Bonita 1 & 2	2 years	4
25	MHC - 9 Home Contract	2 years	9
26	MHC - 11 Home Contract	2 years	11
27	Casita Mia 1 & 2	2 years	10
28	Wings of Freedom	2 years	8
29	Casita Mia 5	3 years	2
30	TMM Fairhaven South	3 years	4
31	TMM Lee St - Fourplex	3 years	4
32	TMM Fairhaven North	3 years	5
33	Michael Keith	3 years	1
34	Casitas On Broadway	Desk Only	28
35	NCR of Tucson	Desk Only	30
36	Blanche Johnson	Desk Only	34

Inspection results are maintained in-house with a copy provided to the property manager. No significant findings or concerns were identified. All units are in compliance.

3. Self-Evaluation

The overall goal of the Planning and Community Development programs identified in the Consolidated Plan is to develop viable communities by providing decent housing and a suitable living environment and expanding economic opportunities for low- and moderate-income persons. During FY12, the City funded activities and implemented strategies that had a significant impact on achieving these goals. The information contained in this report highlights our accomplishments, which include:

- homeownership opportunities for low-income families have increased;
- more rental units are available to low- and moderate-income individuals;
- housing rehabilitation goals have been achieved;
- persons experiencing homelessness have a wide variety of programs designed to provide shelter, case management and counseling, and promote self-sufficiency;
- critically needed services are available community-wide; and
- public facility improvements are being made that will improve access to services.

The City of Tucson has continued to provide a continuum of services to address the variety of needs in the community. No major program changes are anticipated as a result of the 2012 accomplishments and production. There may be minimal shifts in resource allocation as leveraging options are identified.

There were no actions taken or omitted by the City that hindered the implementation of projects listed in the 2012 Annual Update to the Consolidated Plan.

Lead-based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

Program Year 2 CAPER Lead-based Paint response:

Rehabilitation projects

The City follows strict HUD guidelines for testing and abatement of lead-based paint and other hazardous substances, and requires compliance for its contractors and subcontractors. Any structure built before 1978 which is proposed for rehabilitation under federal programs is tested for lead-based paint, as required by Subpart J of 24 CFR Part 35. Notices and requirements regarding testing and removal for lead-based paint are provided to program participants, contractors and project sponsors. The City of Tucson has private market, licensed contractors who are trained in Lead Safe Work Practices, and are available to perform appropriate interim controls if lead-based paint has been identified.

HOUSING

Housing Needs

1. Describe Actions taken during the last year to foster and maintain affordable housing.

Program Year 2 CAPER Housing Needs response:

The City of Tucson has worked on several fronts to preserve and develop affordable housing for low-income families in our community. The City has been successful and has exceeded set goals in areas including Assistance to Homeowners; New Homeownership Opportunities; Assistance to Persons with Special Needs; Public Services; Public Facilities and Neighborhood Revitalization.

With an annual goal of assisting 475 Homeowner households, the City was within 2% of meeting this goal by developing 468 units. New Homeownership development exceeded the set goals by producing 319 units. In the area of special needs, some of the most vulnerable populations in our community, the City exceeded the goal of assisting 6,205 persons by assisting 6,549 special needs persons. In Public Facilities and Neighborhood Revitalization, the City fell short of its overall goals with the completion of 9 facilities/projects. Due to the nature and complexity of these public facility projects, most are multi-year activities. In the Public Services area, our goal was to assist 37,900 persons annually. This past year, we met the goal by assisting 38,930 persons.

Program Year 2 CAPER Specific Housing Objectives response:

Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-

income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

3. Describe efforts to address "worst-case" housing needs and housing needs of persons with disabilities.

1. Evaluate Progress

The City's goals and targets were approved by the Mayor and Council, in the Consolidated Plan, and the Annual Action Plan. These goals and targets establish the City's overall objectives and outcome measures for affordable housing until 2015. The goals and targets were developed to plan for the needs of families, older residents, persons with disabilities, and low-income individuals.

The purpose of the goals is to establish a long-term vision of where the City wants to be, and to provide a quantitative way to measure its progress.

2. Specific Goals and Accomplishments

Specific Housing Objectives and Accomplishments

Rental Housing Objectives			
Specific Objectives	**Performance Measures**	**Goals**	**Actual**
Increase the supply of affordable rentals for families	Number of Units Produced:	184	190 units
Reduce the number of elderly persons who are cost burdened	Number of Units Produced:	50	24 units 10 underway
Preserve affordable rental units	Number of Units Retained:	100	100 underway

Owner Housing Objectives			
Increase the supply of affordable homeownership units	Number of Units Produced	65	2 completed 82 underway
Preserve existing housing stock	Number of Units Rehabilitated	475	468
Increase affordability through down payment assistance	Number of Homeownership Assisted:	100	319
Lead Free Homes	Number of Units Assisted	20	0

Wherever possible, the City attracts additional resources by submitting grant applications and providing support to organizations that apply to competitive grant programs. The City has been successful in attracting grants to support agencies that provide housing and services. These include: The Affordable Housing Program of the Federal Home Loan Bank, State of Arizona HOME Program and Housing Trust Fund, Supportive Housing Program (SHP), HOPE VI program, and HOPE 3 programs. The City has contributed general funds to meet HOME program match requirements and for activities that support persons experiencing homelessness.

Homeowners Assisted by Income FY 2012					
AGENCY NAME	PROJECT NAME	0-30%	31-50%	51-80%	81% +
REHABILITATION					
Chicanos Por La Causa	Roof Replacement and Home Maintenance	6	9	5	0
City of Tucson/CSD	Below Market Interest Rate Program	0	0	0	0
City of Tucson/CSD	Deferred Loan Program	1	8	12	0
City of Tucson/CSD	Emergency Home Repair	3	9	5	0
City of Tucson/CSD	City Neighborhood Initiatives	13	28	14	0
City of Tucson/CSD	Back to Basics Rehabilitation Program	3	17	9	0
Community Home Repair Projects of AZ	City Minor Emergency Repair	51	8	0	0
DIRECT Center for Independence	Home Access Program	7	18	15	0
Old Pueblo Community Services	Rehabilitation	1	2	0	0
Pima Council on Aging	Elderly Home Repair	0	2	4	0
Rebuilding Together Tucson	Neighborhood Renovation	0	5	1	0
Rebuilding Together Tucson	Housing Rehab Collaborative Rio Nuevo	1	5	1	0
TMM Family Services	Midtown Housing Rehabilitation Program	3	0	6	0
Tucson Urban League	City Emergency Home Repair	15	11	6	0
Tucson Urban League	South Park Rehabilitation	7	2	2	0
Community Home Repair Projects of AZ	HRC Rio Nuevo	5	5	1	0
Chicanos Por La Causa	HRC Rio Nuevo	1	1	1	0
Old Pueblo Community Services	HRC Rio Nuevo	2	1	0	0
TMM Family Services	HRC Rio Nuevo	0	2	2	0
Tucson Urban League	HRC Rio Nuevo	3	2	0	0
DIRECT Center for Independence	HRC Rio Nuevo	1	0	2	0
TOTAL ASSISTED:		**123**	**135**	**86**	**0**

HOMEOWNERSHIP					
Housing & Community Development Department and Pima County Down Payment Assistance	HAP/HOME	3	35	207	0
TOTAL ASSISTED:		**126**	**170**	**293**	**0**

Renters Assisted by Income				
PROJECT NAME	0-30%	31-50%	51-80%	81% +
SAAF – Glenn Street Rehab	11			
Ghost Ranch Phase II		11		
CPSA – Sonrisa	6			
Las Montañas		2	9	
Total Assisted:	**17**	**13**	**9**	**0**

3. "Worst Case" Housing Needs:

Generally, this population is unable to significantly contribute to the cost of housing themselves. In these instances, specialized programs such as the SHP funded Bridges Program and other SHP and ESG funded programs are designed to meet the needs of this population.

The City addresses the "worst case" dilapidated housing structures through its Vacant and Neglected Structures (VANS) program. This program targets unoccupied structures that are blight in the community, citing owners under the Neighborhood Preservation Ordinance. In FY 2012, 24 units were demolished as a result of this effort.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

CAPER Public Housing Strategy response:

Program Year 2 CAPER Public Housing Strategy response:

1. The City has several initiatives that provide opportunities to public housing residents.

Family Self-Sufficiency Program

City of Tucson's Family Self-Sufficiency Program (FSS) assists Section 8 and Public Housing Program residents in moving from dependency on public assistance programs to self-sufficient independence. FSS is principally a work-incentive program that helps participants become more economically independent through goal setting and case management support over a five-year period of time.

ROSS (Resident Opportunity for Self-Sufficiency) Program

The City of Tucson has partnered with, and acts as fiscal agent for Lutheran Social Services (LSS) to provide client support for elderly/disabled residents. LSS provides a scheduled transportation service for residents of four of the City's designated sites. This service enables these residents to go shopping or participate in recreational outings and is a factor in developing independent living skills and socialization opportunities for them.

Tucson Learn2$ave Program

Funded jointly by the U.S. Department of Health and Human Services and the United Way of Tucson and Southern Arizona, this Individual Development Account (IDA) program is designed to develop life-long saving habits and promote first-time homeownership. Under this program, participants' savings accounts are matched on a $4-to-$1 basis, up to a maximum award of $4,000

MLK HOPE VI

The City was awarded $9.8 million in HOPE VI funding for the development of public housing units for elderly and disabled residents. Sixty eight (68) units have been built and occupied at the new Martin Luther King building at 55 N. 5th Avenue in downtown Tucson and 28 new townhome-style units have been built and are occupied on the Westside at the intersection of Silverbell Road and Goret.

Capital Fund

Annually, the City is awarded approximately $1,600,000 in capital funds to be used to maintain and improve our public housing inventory.

Housing Management

The City's 1,888 Public Housing and other affordable housing units are administered by property management offices regionalized throughout the City. The management team at each office is responsible for all residential property management activities associated with its assigned Asset Management Projects, including property maintenance and modernization work.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

Program Year 2 CAPER Barriers to Affordable Housing response:

Bilingual Material

A large percentage of low-income residents in Pima County are Spanish speaking. Materials and advertisements promoting countywide homeownership programs are printed in English and Spanish.

Incentives for Private Developers

The City provides incentives to non-profit and for-profit developers to expand their affordable housing production. In addition, the City has leveraged land and provided below-market financing for affordable housing development. In Fiscal year 2012, the affordable housing impact fee exemption was in the amount of $105,745.

Don't Borrow Trouble

This project is designed to raise public awareness of predatory lending issues and to provide referral services to qualified credit counselors.

Analysis of Impediments and other Fair Housing Activities

A HUD mandated program, the Analysis of Impediments strives to eliminate all forms of illegal housing discrimination and to actively promote fair housing activities for all. An updated version of this Plan was completed in FY2009 and is available for review at the following website: http://www.tucsonaz.gov/hcd under plans.

Language Assistance Plan for Limited English Proficient Persons

In January 2007, HUD issued final guidance relating to providing meaningful access to persons who have limited English proficiency. The City of Tucson has developed a Language Assessment Plan to address the needs and requirements of those clients who are not fluent in English. The plan can be found at the following website: http://www.tucsonaz.gov/hcd under plans.

HOME/ American Dream Down Payment Initiative (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives
   a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

2. HOME Match Report
   a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.

3. HOME MBE and WBE Report
   a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

4. Assessments
   a. Detail results of on-site inspections of rental housing.
   b. Describe the HOME jurisdiction's affirmative marketing actions.
   c. Describe outreach to minority and women owned businesses.

Program Year 2 CAPER HOME/ADDI response:

1. Relationship of HOME Funds to Goals and Objectives:

In FY 2012, Tucson received $3,912,311 in HOME Investment Partnerships (HOME) Program funds. An additional $623,046 was available from HOME program income.

The Five-Year Consolidated Plan's specific objectives include housing rehabilitation, homeownership opportunities and transitional and rental housing development.

The following chart illustrates how HOME funds were utilized to implement this strategy.

Programs	Home Expenditures	# Units	% AMI	Ethnicity
Homeownership	$1,014,267	13 complete	4 - 30%-50% 9 - 50%-80%	4 - Hispanic 1 – Asian 2 – Native Amer. 4 – Black 2 – White
Downpayment Assistance (Includes both City and County)	$1,381,469	245	36 - 30%-50% 209 - 50%-80%	154 – Hispanic 4 – Asian 5 – Other 14- Black 68 – White
Rental	$2,880,011	41	31 - 0-50% 10 - 50%-80%	20 - Hispanic 18- White 1- Black 1 – Native Amer. 0- Asian 1- Multi-Racial
Tenant-Based Rental Assistance (TBRA)	$18,975	35	35 – 0-50%	20 – Hispanic 9 – White 4 – Black 2 – American Indian Alaska Native
Rehabilitation	$382,076	19	1 - 0% - 30% 7 - 30%-50% 11 – 50% - 80%	10– Hispanic 0 – Asian 9 – White 0 – Black
Total	**$5,676,798**	**351**		

The 2012 accomplishments for projects sponsored by Community Housing Development Organizations (CHDO's) are included in the homeownership totals, including CHDO project funds. CHDO operating expenses were $158,963 in FY 2012.

2. HOME MATCH REPORT

The Consortium met and exceeded the 25% mandated HOME program match requirement.

(See pp. 35-38)

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Match Contributions for
Federal Fiscal Year (yyyy) 2012

Part I Participant Identification

1. Participant No. (assigned by HUD)	2. Name of the Participating Jurisdiction	3. Name of Contact (person completing this report)
DC040229	CITY OF TUCSON - COMMUNITY SERVICES DEPARTMENT	LETICIA CARPIO
5. Street Address of the Participating Jurisdiction		4. Contact's Phone Number (include area code)
310 N COMMERCE PARK LOOP		520-837-5423

6. City	7. State	8. Zip Code
TUCSON	AZ	85745

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	$ 16,094,451	
2. Match contributed during current Federal fiscal year (see Part III.9.)	$ 1,283,171.24	
3. Total match available for current Federal fiscal year (line 1 + line 2)		$ 17,377,622.24
4. Match liability for current Federal fiscal year		$ 939,461.49
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		$ 16,438,160.75

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
SEE ATTACHMENT								

form **HUD-40107-A** (12/94)

HOME MATCH REPORT
6/30/2012
Match Contribution for the Federal Fiscal Year

		1	2	3	4	5	6	7	8	9
		PROJECT ID	DATE OF CONTRIBUTION	CASH (NON FED SOURCES)	FOREGONE TAXES, FEES, CHARGES	APPRAISED LAND REAL PROPERTY	REQUIRED INFRASTRUCTURE	SITE PREPARATION, CONSTUCTION MATERIALS, DONATED LABOR	BOND FINANCING**	TOTAL MATCH
IDIS No	Project No	NAME								
	City									
2223	9834	Copper Vista II	7/1/11 - 6/30/12	$ 129,986.86						$ 129,986.86
				$ -						$ -
				$ -						$ -
	9816	Downpayment Assistance								$ -
		HFH	7/1/11 - 6/30/12	$ -	$ 42,292.00			$ 558,775.00		$ 601,067.00
		Local Taxes		$ -	$ 248.27					$ 248.27
				$ -						$ -
				$ -						$ -
										$ -
										$ -
		HTF-Challenge Grant	7/1/11 - 6/30/12							$ -
		Impact Fees waived for Affordable Housing	7/1/11 - 6/30/12		$ 105,745					105,744.74
		MRB - City	7/1/11 - 6/30/12						$ 606,763.00	606,763.00
		G.O. Bonds	7/1/11 - 6/30/12						$ 32,648.00	$ 32,648.00
				$ 129,986.86	**$ 148,285.01**	**$ -**	**$ -**	**$ 558,775.00**	**$ 639,411.00**	**$ 1,476,457.87**
	Pima County									
		No Projects for 2012	7/1/11 - 6/30/12							$ -
				$ -						$ -
										$ -
										$ -
										$ -
				$ -						$ -
	9897	Downpayment Assistance								$ -
		Realtor/Seller Gifts/Owner	7/1/11 - 6/30/12	$ 211,259.00						$ 211,259.00
										$ -
		MRB - Pima County	7/1/11 - 6/30/12						$ 1,076,092.75	$ 1,076,092.75
				$ 211,259.00	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,076,092.75**	**$ 1,287,351.75**
		Not >25% of the PJ Match Liability		**$ 341,245.86**	**$ 148,285.01**	**$ -**	**$ -**	**$ 558,775.00**	**$ 234,865.37**	**$ 1,283,171.24**
			939,461.49							
									$ 1,480,638.38	
										$ 2,763,809.62
**	**Per HOME Prgram Regulations, Bond Financing amount cannot exceed 25% of the Primary Jurisdiction's total liability match for the program year.**									
		The surplus can be banked for use in future years.								

BOND FINANCE CARRY FORWARD ONLY	
$ 181,378.93	FY06 CF
$ 1,128,673.22	FY07 CF
$ 272,986.93	FY08 CF
$ 273,094.86	FY10 CF
$ 3,444,768.56	FY11 CF
$ 1,480,638.38	FY12CF
$ 6,781,540.88	Total CF

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
Home Matching Liability Report

TUCSON, AZ

Fiscal Year	Match Percent	Total Disbursements	Disbursements Requiring Match	Match Liability Amount
1998	25.0%	$1,422,637.34	$1,084,752.42	$271,188.10
1999	25.0%	$4,069,865.10	$3,745,806.62	$936,451.65
2000	25.0%	$3,757,556.88	$3,163,799.52	$790,949.88
2001	25.0%	$4,365,550.70	$3,887,322.80	$971,830.70
2002	25.0%	$4,277,399.74	$3,430,048.15	$857,512.03
2003	25.0%	$4,714,738.62	$3,925,229.89	$981,307.47
2004	25.0%	$3,816,788.99	$3,300,315.75	$825,078.93
2005	25.0%	$3,266,058.34	$2,589,486.39	$647,371.59
2006	25.0%	$3,346,604.93	$2,573,797.15	$643,449.28
2007	25.0%	$2,525,275.67	$1,945,009.16	$486,252.29
2008	25.0%	$5,530,862.08	$4,842,091.42	$1,210,522.85
2009	25.0%	$6,322,504.21	$5,584,871.14	$1,396,217.78
2010	25.0%	$4,219,731.82	$3,810,661.33	$952,665.33
2011	25.0%	$3,024,981.35	$2,570,171.00	$642,542.75
2012	25.0%	$4,189,863.13	$3,757,845.98	$939,461.49

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.

3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for replacements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]

4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]

6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]

7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]

8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

3. HOME Minority and Women-Owned Business

The City has developed a Disadvantaged Business Enterprise Program to ensure full and equitable economic opportunities to persons and businesses that compete for business with the City of Tucson, including minority-owned business enterprises and woman-owned business enterprises. The program includes the publication of an annual Certified Business Directory:
http://cms3.tucsonaz.gov/files/oeop/September_2012_DBE_Directory.pdf

The Housing and Community Development Department produces an Annual Minority Business Enterprises and Women Owned Enterprises report which provides information on applicable HCD projects.

The following remedies are employed by the City of Tucson and HCD to address marketplace discrimination against minority (MBE) and women-owned (WBE) businesses:

- Consultation with new or developing businesses
- Certification of minority and women-owned businesses
- Publication and distribution of a MBE/WBE Directory
- Notification of MBEs and WBEs of Invitation for Bids (IFB) and Requests for Proposals (RFP) relating to construction, procurement and professional services
- Holding of city construction project plans for use by MBEs and WBEs
- Development and execution of seminars
- Conducting outreach activities to recruit MBEs and WBEs into the city purchasing process, including workshops for small businesses and publications describing the city's purchasing process
- Providing assistance with purchasing procedures and policies
- Monitoring of purchasing efforts to ensure MBE/WBE access to the process

The City has encouraged minority participation in HOPE VI activities through a Section 3 Outreach Program. This program provides employment opportunities to low-income and project-area residents, and is supplemented by a commitment of funds for education and training.

HOMELESS

Homeless Needs

1. Identify actions taken to address needs of homeless persons.

2. Identify actions to help homeless persons make the transition to permanent housing and independent living.

3. Identify new Federal resources obtained from Homeless SuperNOFA.

Program Year 2 CAPER Homeless Needs response:

1. Actions Taken to Address Needs of Homeless Persons

The City's Continuum of Care Strategic Plan for Homeless persons is a locally developed needs assessment and strategy that illustrates the network of services available to assist persons experiencing homelessness. This section identifies actions taken during FY 2012 to provide this continuum of care for homeless persons and persons with special needs, and indicates that the City exceeded the Consolidated Plan goal of 30,000 units of service. In FY 2012, 10,251 homeless individuals were assisted with over 100,000 units of service, including: case management, bed nights, employment, food and other crisis assistance.

During FY 2012, the City committed CDBG, ESG, and City General funds in the amount of $955,302 on programs for homeless persons. These programs served approximately 7 shelters. Specific projects supported during FY 2012 are listed below. The goals of these projects are to prevent homelessness and assist individuals and families find permanent housing and regain self-sufficiency.

Projects that Serve Homeless Persons

AGENCY	PROJECT	CDBG	ESG	General Fund
TCWC/Emerge	Domestic Violence Shelter Services / Domestic Violence Advocacy Services	$211,705		
Community Partnership of Southern Arizona	TPCH Planning	$25,000		
Community Partnership of Southern Arizona	Seasonal Emergency Shelter	$30,535		
Open-INN, Inc.	Crisis Assistance and Shelter	$35,927	$100,505	
Open-INN, Inc.	Transitional Apartment Living Program			$27,240
New Beginnings for Women & Children	Emergency Shelter	$57,709	$44,366	
Primavera Foundation	Emergency Services Men's Shelter (RR)	$63,469	$44,913	
Primavera Foundation/TA	Emergency Shelter Services (Greyhound)	$7,191	$70,740	
Primavera Foundation/TA	Women's Services Program	$68,460		
Primavera Foundation	Primavera WORKS			$54,479
TMM Family Services	Family Journey Transitional Housing	$26,173	$20,000	
Wingspan	Growing up Proud and Strong	$31,305		
Youth on their Own	Special needs	$32,210		
Total		**$589,684**	**$280,524**	**$81,719**

2. Actions taken to help homeless persons make the transition to permanent housing and independent living.

The City of Tucson has funded the following Independent Living Programs:
Open Inn, Inc. - Shelter and Transitional Housing
Primavera Foundation - Transitional Housing – Women's Services
Primavera Foundation - Housing for Homeless People with Disabilities
TMM Family Services, Inc. - Family Journey Transitional Housing

3. Federal Resources obtained from Homeless SuperNOFA.

Total Continuum of Care for Tucson/Pima County is $7,824,040

Specific Homeless Prevention Elements

1. Identify actions taken to prevent homelessness.

Program Year 2 CAPER Specific Housing Prevention Elements response:

Prevention services funded by the City of Tucson include programs aimed at keeping people in their homes by providing rent and mortgage assistance, utility payment assistance to prevent eviction, case management, housing counseling, landlord/tenant mediation/legal services, and behavioral health services, Information & Referral services, income maintenance programs and food stamps, job training and placement, and guardianship/ representative payee. In addition, prevention programs include supportive education about an owner's/landlord's specific requirements, and information on tenants' rights and obligations under Arizona law.

Homeless Prevention programs:

Primavera Foundation – Crisis Emergency Services
Primavera Foundation – Women's Services Program
TMM-Family Journey Transitional Housing
Youth on Their Own – Special Needs

Emergency Solutions Grants (ESG)

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).
2. Assessment of Relationship of ESG Funds to Goals and Objectives
   a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.
   b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

3. Matching Resources

   a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.

4. State Method of Distribution
   a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.

5. Activity and Beneficiary Data
   a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.
   b. Homeless Discharge Coordination
      i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.
   c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

Program Year 2 CAPER ESG response:

1. Actions to Address Emergency Shelter and Transitional Housing Needs

Emergency shelter programs are committed to directing clients seeking shelter or a meal toward more permanent solutions through the community's homeless services network. The providers in our community have begun utilizing the Homeless Management Information System (HMIS) to further their efforts. Data gathered through the HMIS System provides our community and its providers a better understanding of current trends, changing service needs and helps us to identify special populations experiencing homelessness.

Transitional Housing programs seek to empower homeless participants by providing affordable rental housing, combined with case management services, drug and substance abuse counseling, and job training and placement services. Services are tailored to meet the needs of each individual household. Transitional programs work to prepare participants for their transition to permanent housing once income sources have been identified and increased, and community services and support networks have been secured.

2. Assessment of Relationship of ESG funds to Goals and Objectives

The City's goal is to increase transitional beds. However, because funding has remained level, we have been unable to increase the supply.

3. ESG Program Match

The ESG Program has a dollar for dollar match requirement. In FY 2012, the City of Tucson exceeded the requirements for this program. Sources of match funding are listed in the following chart.

ESG Program Match

	Local Government	Private	Fees/Program Income	Other Federal	Total
New Beginnings for Women and Children	$2,000	$15,392		$38,698	$56,090
Open Inn, Inc.		$22,250		$117,047	$104,831
Primavera Foundation, Inc.	$50,360		$20,380	$ 40,000	$110,470
TMM Family Services			$31,215		$31,215
TOTAL ESG MATCH	**$52,360**	**$15,392**	**$73,845**	**$195,746**	**$337,343**

4. Stated Method of Distribution

The City advertised in fiscal year 2011 funding levels and priorities in the Request for Proposals (RFP). Agencies submitted RFPs, which were reviewed by a City Manager-appointed committee. The City Manager's funding recommendations were adopted by the Mayor and Council as part of the City's annual update to the Consolidated Plan.

5. Activity and Beneficiary Data

All contracts became effective on July 1, 2011, and agencies had until June 30, 2012, to expend funds.

Agency	Project	Prevention	Essential Services	Maint. & Operat.	Total Grant	#'s Served
New Beginnings for Women & Children	Family Emergency Shelter		$24,366	$20,000	$44,366	326
Open-INN, Inc.	Emergency Assistance and Shelter		$35,000	$47,803	$82,803	197
Primavera Foundation, Inc.	Emergency Services		$20,000	$20,000	$40,000	52
Primavera Foundation	Emergency Shelter	$10,000		$60,740	$70,740	900
TMM Family Services	Family Journey Transitional Housing			$20,000	$20,000	72
City of Tucson	Administration				$13,574	
	TOTAL	**$10,000**	**$79,366**	**$168,543**	**$ 271,483**	**1,547**
		3.7%	**29.2%**	**62.1%**		

Homeless Discharge Coordination

The Discharge Planning Committee of Tucson Pima Collaboration to end Homelessness developed discharge plans with all hospitals, jails, prisons and other institutions in Tucson/Pima County to address discharge procedures for homeless patients. The Plan is provides all hospital, jail and prison discharge planning staff with information about accessibility to all homeless shelters, entry requirements, contact names of shelter staff, and addresses.

COMMUNITY DEVELOPMENT

Community Development

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
   a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.
   b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
   c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.

2. Changes in Program Objectives
   a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

3. Assessment of Efforts in Carrying Out Planned Actions
   a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
   b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
   c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.

4. For Funds Not Used for National Objectives
   a. Indicate how use of CDBG funds did not meet national objectives.
   b. Indicate how did not comply with overall benefit certification.

5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property
   a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.
   b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.
   c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
   a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.
   b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.
   c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.

7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
   a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.

8. Program income received
   a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.
   b. Detail the amount repaid on each float-funded activity.
   c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.
   d. Detail the amount of income received from the sale of property by parcel.

9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:
   a. The activity name and number as shown in IDIS;
   b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
   c. The amount returned to line-of-credit or program account; and
   d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

10. Loans and other receivables
   a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
   b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
   c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
   d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
   e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

11. Lump sum agreements

a. Provide the name of the financial institution.
b. Provide the date the funds were deposited.
c. Provide the date the use of funds commenced.
d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.

12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year
    a. Identify the type of program and number of projects/units completed for each program.
    b. Provide the total CDBG funds involved in the program.
    c. Detail other public and private funds involved in the project.

13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies
    a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

Program Year 2 CAPER Community Development response:

1. CDBG CONSOLIDATED PLAN – PRIORITIES, NEEDS, GOALS AND OBJECTIVES

During FY 2012, the City of Tucson received $5,597,524 in CDBG entitlement funds. Due to the receipt of $1.4 million in program income from FY10, the Housing and Community Development Department added projects in categories identified in the Annual Plan in order to meet our HUD timeliness ratio. These projects increased overall CDBG expenditures in FY 12 to $9,394,484 and ensured that the timeliness ratio was met.

Families assisted under the federal regulation for "direct benefit" are qualified through an application process. Eligibility is based on documentation of total household income for the previous year. Typically, tax returns, pay check stubs, benefit letters and evidence of assets are used to document eligibility under the HUD guidelines. This information must be maintained in project files.

Activities selected for funding met the goals of the highest priorities listed in the Five-Year Consolidated Plan, which primarily focused on housing.

The following chart illustrates how CDBG funds were used to meet the objectives stated in the Consolidated Plan.

Consolidated Plan Objectives and CDBG Funds

Housing Activities	CDBG Funds
Homeowners (Rehabilitation Activities)	$2,570,149
Neighborhood Revitalization	**CDBG Funds**
Public Facilities	$ 2,856,173
Back to Basics / Historic Preservation Projects	$1,884,719
Public Services	**CDBG Funds**
Public Services	$ 1,003,722
Planning Activities	**CDBG Funds**
Planning Activities (includes Fair Housing funded by admin)	$ 75,000
Administration	**CDBG Funds**
Administration (less planning activities)	$1,005,165
TOTAL ALL CDBG	**$9,394,484**
Economic Development	
BDFC Revolving Loan	$75,644

2. Changes in Program Objectives

There were no changes in the CDBG program objectives during FY 2012.

3. Efforts to Carry Out Annual Action Plan Activities

The City of Tucson has ensured consistency with Consolidated Plan priorities and with HUD programs by providing certifications of consistency in a fair and impartial manner. The Consortium requires that agencies provide copies of their applications for funding, or a detailed executive summary before a letter of consistency is granted.

In FY12, the following agencies received Consolidated Plan Certifications for the proposed projects:

Agency	Federal Program	Activity
Compass Affordable Housing	Supportive Housing for Disabled	Housing
City of Tucson Family Self-sufficiency	Public Housing Family Self-sufficiency	Housing
La Frontera Center, Inc	Elderly Supportive Housing	Housing
Southern Arizona AIDS Foundation	Supportive Housing for Disabled	Housing

4. CDBG Funds Not Used to Meet Specified National Objectives

All Community Development Block Grant funds were utilized exclusively to achieve specific national objectives.

5. Relocation Due to Acquisition, Rehabilitation or Demolition of occupied Real Property

No permanent relocation occurred during FY 2012 as a result of acquisition, rehabilitation or demolition activities. The City acquires vacant buildings for its affordable housing programs. The City's priority is to minimize displacement through the use of unoccupied properties. However, the City has a set of procedures to facilitate relocation and provide benefits to families as necessary. These procedures outline noticing requirements, relocation assistance, eligibility determination calculations, estimated costs for relocation and the timeline for implementing each step.

The City has implemented an emergency safety net program for families that are homeless or displaced from their housing. This program coordinates the efforts of homeless shelter and service providers, building safety code inspectors, and organizations providing affordable housing units.

Moreover, the City has a adopted an Optional Relocation plan that provides for short term assistance to qualified household whom are temporarily displaced. The Optional Relocation Plan can be viewed at the following website under plans and reports: http://www.tucsonaz.gov/hcd

6. Economic Development Activities

The Business Development Finance Corporation revolving loan, had a balance of $75,644.14 as of June 30, 2012. The 3 current loan recipients have generated 5 low/moderate income jobs, short of the stated goal of 13.

7. Limited Clientele

City projects served a total of 29,217 low- and moderate-income limited clientele using CDBG, HOME, ESG and HOPWA funds in FY 2012.

8. Program Income

The program income identified below was earned during FY 2012. The full amount of CDBG program income received was entered into the Integrated Disbursements and Information System (IDIS), and was drawn down against expenditures attributable to FY11. The City did not have excess program income on hand on June 30, 2012.

Source	Amount
CDBG	**$27,673**
HOME	**$623,046**
Total	**$650,719**

9. Prior Period Adjustments - None

10. **Loans and other Receivables** – Not applicable

11. **Lump-Sum Agreements** – Not Applicable

12. Housing Rehabilitation

Program	Funds Expended	Accomplishments
Housing Rehabilitation (CDBG)	$2,570,149	439 units
City Deferred Loan Program (HOME)	$273,234	21 units
PCOA – Older Americans Act	$110,000	14 units
TOTAL	**2,953,383**	**474 units**

13. Neighborhood Revitalization Strategies – No designated NRSAs.

Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

Program Year 2 CAPER Antipoverty Strategy response:

1. ANTIPOVERTY STRATEGY

Most activities undertaken with CDBG, HOME, ESG and HOPWA funds are efforts to reduce the number of persons living in poverty and improve the quality of life for city residents. The City will continue to support emergency assistance programs, and a variety of other support services that are used by low-income households to obtain basic necessities. The main goal is to prevent very low-income persons, especially those earning 30% or less of median income, from becoming homeless. The long-term goal is to provide more affordable housing options so that this group is not forced to spend all their available funds for housing, with little left over for other needs.

As outlined in the Annual Plan, the City has specific strategies that are directly linked to the reduction of poverty. These strategies, which are illustrated throughout this document, are:

- Employment Opportunities
- Self-Sufficiency Assistance
- Support Services
- Affordable Housing

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

Program Year 2 CAPER Non-homeless Special Needs response:

1. NON-HOMELESS SPECIAL NEEDS OBJECTIVES

The following table outlines the non-homeless special needs populations' priority needs and goals identified in the Five-Year Consolidated Plan, and FY 2012 accomplishments.

Population	Priority	Funds Expended	Annual Goals (Planned)	Output (Accomplishments)
Elderly Supportive Services	H	$240,202	6,205 (elderly/special needs)	3,981
Elderly Housing Services	H	$163,422	See above	691
Disabled Supportive Services	H	$234,428	See above	1,835
Disabled Housing Services (includes housing rehab)	H	$104,851	See above	42
TOTAL		**$706,597**		**6,549**

Elderly Supportive Services

- Miracle Square – Independent Living & Support for Elderly & Disabled Adults
- Our Family Services – Senior Companion Program
- Pima Council on Aging – Elderly Independence
- Pima Council on Aging – Family Caregiver Support
- Pima Council on Aging – Nutrition Services for the Elderly
- Pima Council on Aging – Homecare Services for the Elderly
- Tucson Urban League, Inc. – Senior Lunch and Recreation Program
- Tucson Community Food Bank – Emergency Food Assistance Program

Elderly Housing Services

- Administration of Resources and Choices – Reverse Mortgage Program
- Administration of Resources and Choices – Elder Shelter
- Miracle Square, Inc. – Independent Living Support Services
- Pima Council on Aging – Home Repair, Adaptation and Maintenance for the Elderly
- Pima Council on Aging – CHRPA Repair Assistance

Disabled Supportive Services

- Arts for All, Inc. – Strengthening Children, Youth and Families
- Aviva Children's Services – Parenting Program
- Catholic Community Services/Community Outreach Program for the Deaf – Building Skills for Employment
- Catholic Community Services/Community Outreach Program for the Deaf – Supporting Persons with Hearing Loss
- Child Language Center, Inc. – Therapy for Toddlers & Prescholers
- Goodwill Industries of So. Az – Ready-to-earn Computer Customer Service Training
- Miracle Square – Independent Living & Support for Elderly & Disabled Adults
- Tucson Community Food Bank – Emergency Food Assistance Program
- Wingspan – A Step Up, Educational Enrichment

Disabled Housing Services

- DIRECT Center for Independence – Independent Living Services

Specific HOPWA Objectives

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives
   Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
   a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
   b. That community-wide HIV/AIDS housing strategies are meeting HUD's national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
   c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
   d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
   e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
   f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.

2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
   a. Grantee Narrative
      i. Grantee and Community Overview
         (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services
         (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected
         (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS
         (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body
         (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations
         (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.

      ii. Project Accomplishment Overview
         (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing,

including development cost, operating cost for those facilities and community residences

(2) The number of units of housing which have been created through acquisition, rehabilitation, or new construction since 1993 with any HOPWA funds

(3) A brief description of any unique supportive service or other service delivery models or efforts

(4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.

iii. Barriers or Trends Overview

(1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement

(2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS, and

(3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years

b. Accomplishment Data

i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).

ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

Housing Opportunities for Persons with AIDS (HOPWA)

Consolidated Annual Performance
and Evaluation Report

Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Final Released 1/12/12

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Housing Opportunities for Person with AIDS (HOPWA)
Consolidated Annual Performance and Evaluation Report (CAPER)
Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of $25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

***Note**: Please see the definition section for distinctions between project sponsor and subrecipient.*

***Note**: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

1. Grantee Information

HUD Grant Number AZH10F002 and AZH11F002		**Operating Year for this report** 07/01/11 - 06/30/12		
Grantee Name City of Tucson Housing and Community Development Department				
Business Address	310 N Commerce Park Loop			
City, County, State, Zip	Tucson	Pima	Arizona	85745
Employer Identification Number (EIN) or Tax Identification Number (TIN)	86-6000266			
DUN & Bradstreet Number (DUNs):	072450869	**Central Contractor Registration (CCR): Is the grantee's CCR status currently active?** ☒ **Yes** ☐ **No** **If yes, provide CCR Number:** 1JJA2		
***Congressional District of Grantee's Business Address**	N/A			
***Congressional District of Primary Service Area(s)**	N/A			
***City(ies) and County(ies) of Primary Service Area(s)**	**Cities:** N/A	**Counties:** N/A		
Organization's Website Address www.tucsonaz.gov/hcd	**Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?** ☒ Yes ☐ No **If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.**			

*** Service delivery area information only needed for program activities being directly carried out by the grantee.**

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).
Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*
Note: *If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name Southern Arizona AIDS Foundation		**Parent Company Name, *if applicable*** N/A		
Name and Title of Contact at Project Sponsor Agency	Beth Carey, Director of Care Services			
Email Address	bcarey@saaf.org			
Business Address	375 S. Euclid Ave.			
City, County, State, Zip,	Tucson, Pima, Arizona, 85719			
Phone Number *(with area code)*	520-628-7223	#####	#####	#####
Employer Identification Number (EIN) or Tax Identification Number (TIN)	86-0864100	**Fax Number (with area code)** 520-628-7222		
DUN & Bradstreet Number (DUNs):	197335730			
Congressional District of Project Sponsor's Business Address	Congressional District 3			
Congressional District(s) of Primary Service Area(s)	Congressional Districts 2 and 3			
City(ies) and County(ies) of Primary Service Area(s)	**Cities:** SAAF's office is in 85719. Housing is throughout Tucson and Pima County. Primary zip codes include 85705, 85706, 85710, 85711, 85712, 85713, 85716, 85719, 85745	**Counties:** Pima County		
Total HOPWA contract amount for this Organization for the operating year	$434,197			
Organization's Website Address	www.saaf.org			
Is the sponsor a nonprofit organization? ☒ **Yes** ☐ **No** *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization.* ☐		**Does your organization maintain a waiting list?** ☒ Yes ☐ No **If yes, explain in the narrative section how this list is administered.**		

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name City of Tucson Housing and Community Development Department, Housing Assistance Division		**Parent Company Name, *if applicable*** N/A		
Name and Title of Contact at Project Sponsor Agency	Ronald Koenig,			
Email Address	Ron.Koenig@tucsonaz.gov			
Business Address	310 N. Commerce Park Loop			
City, County, State, Zip,	Tucson, Pima, Arizona, 85745			
Phone Number *(with area code)*	520-837-5343			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	86-6000266	**Fax Number (with area code)** 520-791-2529		
DUN & Bradstreet Number (DUNs):	072450869			
Congressional District of Project Sponsor's Business Address	Congressional District 3			
Congressional District(s) of Primary Service Area(s)	Congressional Districts 2 and 3			
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	**Cities:** The City of Tucson Housing office is in 85745. Housing is throughout Tucson and Pima County. Primary zip codes include 85710, 85711, 85713, 85716, 85730, 85745	**Counties:** Pima County		
Total HOPWA contract amount for this Organization for the operating year	$43,839			
Organization's Website Address	www.tucsonaz.gov/hcd			
Is the sponsor a nonprofit organization? ☐ **Yes** ☒ **No** *Please check if yes and a faith-based organization.* ☐ *Please check if yes and a grassroots organization.* ☐		**Does your organization maintain a waiting list?** ☐ Yes ☒ No **If yes, explain in the narrative section how this list is administered.**		

3. Administrative Subrecipient Information –

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of $25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: *Please see the definitions for distinctions between project sponsor and subrecipient.*

Note: *If any information does not apply to your organization, please enter N/A.*

Subrecipient Name	N/A		**Parent Company Name, *if applicable***	
Name and Title of Contact at Subrecipient	#####			
Email Address	#####			
Business Address	#####			
City, State, Zip, County	#####	#####	#####	#####
Phone Number (with area code)	#####		**Fax Number (include area code)** #####	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	#####			
DUN & Bradstreet Number (DUNs):	#####			
North American Industry Classification System (NAICS) Code	#####			
Congressional District of Subrecipient's Business Address	#####			
Congressional District of Primary Service Area	#####			
City (ies) and County (ies) of Primary Service Area(s)	**Cities:** ##### ##### ##### ##### #####		**Counties:** ##### ##### ##### #####	
Total HOPWA Subcontract Amount of this Organization for the operating year	#####			

4. Program Subrecipient Information –

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

***Note**: Please see the definition of a subrecipient for more information.*

***Note:** Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

***Note**: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

Sub-recipient Name	N/A		**Parent Company Name, *if applicable***	
Name and Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)			**Fax Number (include area code)**	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) and County(ies) of Primary Service Area	**Cities:**		**Counties:**	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. ***Note**: Text fields are expandable.*

In 2011-2012 the City of Tucson Community Services, as the grantee, contracted with the Southern Arizona AIDS Foundation (SAAF) as a project sponsor, to administer HOPWA Housing Subsidy Assistance in the form of Short-term Rent, Mortgage and Utility assistance (STRMU), Supportive Services (including case management and transportation), Emergency Shelter Vouchers (Short-term Supportive Facility) and Permanent Housing Placement Services (including move-in costs and housing prescreen assistance). The City of Tucson Section 8 Office is also a project sponsor and provides Tenant Based Rental Assistance. During 2011-2012 this HOPWA grant provided housing assistance to 242 households.

The project sponsor is the Southern Arizona AIDS Foundation (SAAF). SAAF is the result of a 1997 merger of the three primary AIDS service organizations in Tucson. The mission of the Southern Arizona AIDS Foundation is to create and sustain a healthier community through a compassionate, comprehensive response to HIV/AIDS. SAAF is a community-based organization in Southern Arizona providing case management, housing, and support services for people living with HIV/AIDS and their families; comprehensive prevention and education programs to reduce the rate of infection; and trainings and opportunities for community members to fill critical roles. SAAF is a member of the Pima County HIV/AIDS Consortium and the Tucson Pima Collaboration to end Homelessness, the Continuum of Care for Tucson and Pima County.

The area of service is the City of Tucson, Arizona, and also includes areas outside the city limits within Pima County, Arizona that are part of the Public Housing Authority. The current prevalence of HIV and AIDS as reported by the Arizona Department of Health Services for Pima County is 2,324 cases (227.8 people per 100,000), with 54.8% of those reporting an AIDS diagnosis. Of the people receiving services through SAAF last year, 97% are low income, with 53% living below the poverty level.

SAAF manages a diverse housing program supported through various HUD sources providing stable, well-maintained, affordable rental homes for people with HIV/AIDS who would otherwise be living in homeless or near-homeless conditions.

SAAF's permanent housing program includes 83 units owned and operated by SAAF at seven different locations in Tucson, subsidized through a combination of HUD PRAC 811, Continuum of Care/Supportive Housing Program, Continuum of Care/Shelter + Care, and HOPWA Competitive funds. SAAF also manages 78 tenant-based rental assistance units in partnership with the City of Tucson Section 8 Program, subsidized through Continuum of Care/Supportive Housing Program, Continuum of Care/Shelter + Care, and HOPWA Competitive and HOPWA Formula funds. Over 250 people benefit from the SAAF housing program at any given time.

SAAF also has collaborative partnerships with community behavioral and mental health agencies, which include 17 units of housing for SAAF clients. This past year, 11 clients of SAAF accessed homelessness prevention services through HPRP.

People living with HIV/AIDS are referred to the housing program through SAAF case management. SAAF maintains a waiting list and eligibility is determined through a fair and consistent application process. Prospective residents are placed on the list in chronological order based respectively on the date of their submission of completed application. The waiting list is reviewed weekly by SAAF housing and case management staff and all application documentation is maintained in a centralized database or housing log book as applicable.

The housing services provided by the HOPWA Formula contract are leveraged through funds from the Ryan White Program Part B, Pima County Outside Agency, private foundations, and corporate and donor fundraising. These support services include case management, dental services, medication assistance, complementary therapies, food

programs and material assistance. These services help increase housing stability, address barriers to care, improve access to care and promote housing readiness.

Program contacts are: J. Wendell Hicks, Executive Director and Beth Carey, Director of Care Services
375 S. Euclid Avenue, Tucson, AZ 85719.
Phone contact is (520) 628-7223 and fax is (520) 628-7222.
E-mail contact is whicks@saaf.org and bcarey@saaf.org.

b. Annual Performance under the Action Plan
Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

During the period of July 1, 2011 through June 30, 2012, the following services were provided to people living with HIV/AIDS through this contract.

Housing Subsidy Assistance
- 10 households benefited from tenant based, permanent supportive housing units (proposed number was 8)
- 26 households benefited from HOPWA emergency shelter assistance (Short-term Supportive Facility) prior to securing more permanent housing assistance
- 130 households received assistance with HOPWA Short-term Rent, Mortgage and Utility assistance in order to maintain housing stability (proposed number was 110 households)
- 138 households received permanent housing placement assistance in the form of rental deposits and application fees (proposed number was 115 households).

Case Management and Supportive Services
- 534 people living with HIV/AIDS received HOPWA-funded case management services and supportive services including transportation assistance, vital records to facilitate access to benefits, and limited substance abuse related services (proposed number was 425 people).

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Housing stability and Prevention of Homelessness
Tenant-based rental assistance
Ten (10) households received tenant-based rental assistance through this contract. **100% remained stably housed at contract year end.**

Short-term housing facilities
Twenty-six (26) households were assisted with emergency hotel vouchers (Short-term Supported Facility). **100% of those served moved into supportive housing programs.**

Short-term rent, mortgage and utility assistance
SAAF provided emergency short-term rent, mortgage, and utility assistance to 130 households. **59% of those receiving STRMU assistance are expected to maintain private housing without additional assistance or have moved into permanent supportive housing. An additional 38% of those receiving STRMU this year are expected to access limited STRMU assistance in the coming year.** 13% of those receiving short-term rent, mortgage and utility assistance have moved into permanent supportive housing including tenant-based units funded by HOPWA Competitive funds.
Of those receiving STRMU assistance during this current contract year, 37% also accessed STRMU assistance in the previous contract year. In the 2010-2011 contract year report, that percentage was 53%. We attribute the decrease to a greater number of

clients needing STRMU assistance who have never needed the service before, and more clients accessing permanent supportive housing with SAAF and other community programs after receiving STRMU assistance.

Compared to a year ago, there was a significant increase in clients' need for STRMU and permanent housing placement assistance. Due to high utilization of HOPWA funds for STRMU in the contract year, SAAF instituted additional limits regarding the frequency clients can access the service in a year so that funds would be available throughout the contract year.

While 28% of clients receiving STRMU assistance have income from employment, many clients have jobs that are subject to reduced work hours and layoffs or have medical concerns that prevent them from working full-time. SAAF and the City of Tucson Housing and Community Development Department are participating in a capacity building project through the HOPWA *Getting to Work* Initiative. While there aren't resources at this time to designate a staff position to these efforts, SAAF is incorporating vocationalization within the overall case management system – providing employment resources to case managers and to clients.

Permanent housing placement services
138 clients received assistance with permanent housing placement services including application fees and first month's rent and security deposits (not exceeding the equivalent of two months of rent costs) increasing housing stability.

Access to Care and Support
All clients receiving housing services through this contract also received comprehensive case management services. This includes an individual service plan with goals including maintaining stable housing and ensuring access to health care. Case managers work with clients to determine whether there is a need for more affordable housing or housing through a subsidized supportive housing program.

Clients have access to support services through SAAF, funded by HOPWA and non-HOPWA sources that provide the support necessary to access and maintain housing and medical care. These services include case management, transportation, peer counseling, medications assistance, food programs, substance abuse services, complementary therapies, and support groups.

Within the contract year, HOPWA-funded support services provided the following:
442 clients received transportation assistance
86 clients received substance abuse services in order to access and maintain housing
255 clients received case management and access to benefits and services

Of the 255 households served HOPWA with housing subsidy assistance and/or case management through this contract:

- **99% had a housing plan for maintaining or establishing stable on-going housing**
- **97% had contact with their case manager consistent with the client's service plan**
- **96% had regular contact with their primary health care provider**
- **98% accessed and maintained medical insurance/assistance**
- **80% successfully accessed or maintained qualifications for sources of income**

24% obtained or maintained employment income

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Both SAAF and the City of Tucson are members of the local Continuum of Care through the Tucson Pima Collaboration to End Homelessness. HOPWA-funded housing services are reported in the annual Continuum of Care application. SAAF and the City of Tucson also participate in the local HMIS and the HMIS Committee coordinated through the Tucson Pima Collaboration to End Homelessness.

Leveraged sources included in this report are funds from Ryan White Program Part B; local County sources (Pima County Outside Agency); SAAF community fundraising, and corporate and private foundations.

In 2009 the City of Tucson and Pima County each received funding awards to administer the Homelessness Prevention and Rapid Re-Housing Program (HPRP). They worked together to provide these new resources to people at risk of homelessness in Tucson and Pima County. SAAF and two other community-based organizations provided the HPRP services together with Pima County and the City of Tucson. Some HOPWA-eligible clients benefited from this additional housing resource. The City of Tucson and Pima County HPRP program ended in June 2012.
4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

The grantee and sponsors have utilized technical assistance resources including Homelessness Resource Exchange, training webinars and assistance from Building Changes, our HOPWA TA Provider.

In recognition of the need to build additional capacity to work with clients in accessing employment and training resources, SAAF and the City of Tucson Housing and Community Development Department are participating in a year-long capacity building and technical assistance project through the HOPWA *Getting to Work* Initiative.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

☐ HOPWA/HUD Regulations	☐ Planning	☐ Housing Availability	☐ Rent Determination and Fair Market Rents
☐ Discrimination/Confidentiality	☒ Multiple Diagnoses	☐ Eligibility	☐ Technical Assistance or Training
☒ Supportive Services	☐ Credit History	☐ Rental History	☐ Criminal Justice History
☐ Housing Affordability	☐ Geography/Rural Access	☒ Other, please explain further - Change in AHCCCS	

Of the people receiving services through SAAF last year, 96% are low income, with 53% living below the poverty level. We saw a 16% increase in new clients to SAAF in the past year. 60% of these new clients live at or before the federal poverty level and present with high-level of needs. Clients continue to live with limited income and resources, and need assistance with basic support services. For example, there was a 17% increase in the number of eligible clients accessing transportation assistance this past year.

Supportive services have been affected by reductions in government funding. Recognizing the need to build additional capacity to work with clients in accessing employment and training resources, SAAF applied to the Ryan White Program for additional case management funding due to an increase in the number of clients assessing services at SAAF and in order to build vocationalization responsibilities within a designated staff position. Due to receiving level funding for case management SAAF is now building vocationalization goals into the program without designated staff.

The State of Arizona's rules prohibiting new enrollments of adults without children in the Arizona Health Care Cost Containment System (AHCCCS), the State's Medicaid program, has been a challenge for clients who are coming out of incarcerated settings, for those who lose their insurance through unemployment, or for those who are new to Arizona.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The City of Tucson and the Southern Arizona AIDS Foundation (SAAF) affirms the strong evidence base reported by the National AIDS Housing Coalition for housing as a key component of HIV treatment, care and prevention. Participants in SAAF's housing programs demonstrate access to medical care and supportive services and improved adherence to medical care. There is a reciprocal role between housing and healthcare outcomes—stable housing helps eligible applicants fulfill resident eligibility for the AHCCCS, and improved health outcomes help residents meet their goals for maintaining stable housing.

The ability for low income people living with HIV/AIDS to access necessary supportive services in order to maintain stable housing and improve health outcomes has been curtailed due to reductions in public sources that provide the services. The Arizona Department of Economic Security eliminated or reduced a number of safety-net benefit programs, including General Assistance for people with disabilities. The Arizona Department of Health Services has eliminated all State funded mental health services and non-emergency medical transportation due to the severe budget deficit in the State of Arizona, and this year changed eligibility requirements for AHCCCS that prohibits new enrollments of adults without children in the home.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

N/A

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.
Note: *Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.*

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.
Note: *In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.*

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	175
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	55
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	65
• Assistance with rental costs	41
• Assistance with mortgage payments	6
• Assistance with utility costs.	18
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	55

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

x	**= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives**
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.
***Note**: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			☐Housing Subsidy Assistance ☐Other Support
Ryan White-Other	$ 193,163	Oral Health Care, Health Insurance Premium and Cost Sharing, Emergency Financial Medication Assistance, case management for HOPWA clients	☐Housing Subsidy Assistance ☒Other Support
Housing Choice Voucher Program			☐Housing Subsidy Assistance ☐Other Support
Low Income Housing Tax Credit			☐Housing Subsidy Assistance ☐Other Support
HOME			☐Housing Subsidy Assistance ☐Other Support
Shelter Plus Care	$ 3,539	Subsidies for clients receiving HOPWA assistance and then moving into other permanent housing.	☒Housing Subsidy Assistance ☐Other Support
Emergency Solutions Grant			☐Housing Subsidy Assistance ☐Other Support
Other Public: Pima County Outside Agency	$ 9,752	Food programs for HOPWA clients	☐Housing Subsidy Assistance ☒Other Support
Other Public: Arizona Health Care Cost Containment System (AHCCCS)	$ 925,200	Medical care and medications for HOPWA clients	☐Housing Subsidy Assistance ☒Other Support
Private Funding			
Grants	$ 11,453	Foundations fund food and complementary therapies for HOPWA clients.	☐Housing Subsidy Assistance ☒Other Support
In-kind Resources – Community Food Bank, Individual donations, Volunteers	$ 23,904	Food boxes, clothing and holiday gifts for HOPWA clients, direct service volunteer hours	☐Housing Subsidy Assistance ☒Other Support
Other Private: Individual donations	$ 1,743	Emergency assistance for HOPWA clients	☐Housing Subsidy Assistance ☒Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	$ 28,206	Indirect costs. SAAF has a 17% federally approved rate.	☐Housing Subsidy Assistance ☒Other Support
Resident Rent Payments by Client to Private Landlord	$ 21,459		
TOTAL (Sum of all Rows)	**$1,218,419**		

2. Program Income and Resident Rent Payments

N/A

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: *Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	**Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)**	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	**Total Program Income Expended (Sum of Rows 1 and 2)**	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: *The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	HOPWA Housing Subsidy Assistance	[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	8	10			$ 43,893	$ 42,937
2a.	**Permanent Housing Facilities:** Received Operating Subsidies/Leased units (Households Served)						
2b.	**Transitional/Short-term Facilities:** Received Operating Subsidies/Leased units (Households Served) (Households Served)	20	26			$ 2,500	$ 2,634
3a.	**Permanent Housing Facilities**: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	**Transitional/Short-term Facilities:** Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	110	130			$ 82,651	$ 91,795
5.	Permanent Housing Placement Services	115	138			$ 50,000	$ 50,721
6.	Adjustments for duplication (subtract)	53	62				
7.	**Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)**	200	242			$179,044	$188,087
	Housing Development (Construction and Stewardship of facility based housing)	[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	**Total Housing Developed (Sum of Rows 78 & 9)**	0	0			0	0
	Supportive Services	[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	425	534			$270,510	$250,487
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0			0	0
13.	**Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)**	425	534			$270,510	$250,487
	Housing Information Services	[1] Output Households				[2] Output: Funding	
14.	Housing Information Services						
15.	**Total Housing Information Services**	0	0			0	0
	Grant Administration and Other Activities	[1] Output Households				[2] Output: Funding	

16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					$ 15,741	$ 14,436
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					$ 28,536	$ 27,694
20.	**Total Grant Administration and Other Activities (Sum of Rows 16 – 19)**					$ 44,277	$ 42,130

	Total Expended					**[2] Outputs: HOPWA Funds Expended**	
						Budget	**Actual**
21.	**Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)**					$493,831	$480,704

Note: This year's budget amounts do not yet include delivery costs. HOPWA actual include delivery costs.

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: *Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.*

	Supportive Services	**[1] Output: Number of Households**	**[2] Output: Amount of HOPWA Funds Expended**
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services	86	$ 2,525
3.	Case management	255	$187,546
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation	442	$ 60,416
14.	Other Activity (if approved in grant agreement). **Specify**:		
15.	**Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)**	783	
16.	**Adjustment for Duplication (subtract)**	249	
17.	**TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)**	534	$250,487

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: *The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.*

Data Check: *The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.*

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	130	$91,795
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	12	$ 7,238
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	4	$ 4,124
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	76	$41,466
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	22	$19,657
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	16	$ 9,300
g.	Direct program delivery costs (e.g., program operations staff time)		$10,010

Note: Program delivery costs for STRMU are also leveraged through Ryan White Program.

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

***Data Check**: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].*
***Note**: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	10	8	1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing		*Temporarily Stable, with Reduced Risk of Homelessness*
			3 Private Housing	1	*Stable/Permanent Housing (PH)*
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		*Unstable Arrangements*
			8 Disconnected/Unknown		
			9 Death	1	*Life Event*
Permanent Supportive Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing		*Temporarily Stable, with Reduced Risk of Homelessness*
			3 Private Housing		*Stable/Permanent Housing (PH)*
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		*Unstable Arrangements*
			8 Disconnected/Unknown		
			9 Death		*Life Event*

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	26	0	1 Emergency Shelter/Streets		*Unstable Arrangements*
			2 Temporary Housing		*Temporarily Stable with Reduced Risk of Homelessness*
			3 Private Housing		*Stable/Permanent Housing (PH)*
			4 Other HOPWA	1	
			5 Other Subsidy	25	
			6 Institution		
			7 Jail/Prison		*Unstable Arrangements*
			8 Disconnected/unknown		

			9 Death		*Life Event*
B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].
In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.
Information in Column [3] provides a description of housing outcomes; therefore, data is not required.
At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: *The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.*
Data Check*: The sum of Column [2] should equal the number of households reported in Column [1].*

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
130	**Maintain Private Housing <u>without</u> subsidy** (*e.g. Assistance provided/completed and client is stable, not likely to seek additional support*)	60	*Stable/Permanent Housing (PH)*
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)		
	Other HOPWA Housing Subsidy Assistance	5	
	Other Housing Subsidy (PH)	12	
	Institution (*e.g. residential and long-term care*)		
	Likely that additional STRMU is needed to maintain current housing arrangements	50	*Temporarily Stable, with Reduced Risk of Homelessness*
	Transitional Facilities/Short-term (e.g. *temporary or transitional arrangement*)	1	
	Temporary/Non-Permanent Housing arrangement (*e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days*)		
	Emergency Shelter/street		*Unstable Arrangements*
	Jail/Prison		
	Disconnected	2	
	Death		*Life Event*
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			48
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			28

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: *These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

Total Number of Households	
1. **For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance:** Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	242
b. Case Management	255
c. Adjustment for duplication (subtraction)	242
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	255
2. **For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance:** Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: *For information on types and sources of income and medical insurance/assistance, refer to Charts below.*

Categories of Services Accessed	**[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:**	**[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:**	**Outcome Indicator**
1. Has a housing plan for maintaining or establishing stable on-going housing	252		*Support for Stable Housing*
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	248		*Access to Support*
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	245		*Access to Health Care*
4. Accessed and maintained medical insurance/assistance	249		*Access to Health Care*
5. Successfully accessed or maintained qualification for sources of income	204		*Sources of Income*

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

• MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name	• Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children's Health Insurance Program (SCHIP), or use local program name	• Ryan White-funded Medical or Dental Assistance

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

• Earned Income • Veteran's Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI)	• Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran's Disability Payment • Retirement Income from Social Security • Worker's Compensation	• General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.
Note: *This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*
Note: *Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	4	

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	**Stable Housing** (# of households remaining in program plus 3+4+5+6)	**Temporary Housing** (2)	**Unstable Arrangements** (1+7+8)	**Life Event** (9)
Tenant-Based Rental Assistance (TBRA)	9	0	0	1
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	26	0	0	0
Total Permanent HOPWA Housing Subsidy Assistance	35	0	0	0
Reduced Risk of Homelessness: Short-Term Assistance	**Stable/Permanent Housing**	**Temporarily Stable, with Reduced Risk of Homelessness**	**Unstable Arrangements**	**Life Events**
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	77	51	2	
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation
3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing
2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements
1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
7 = Jail /prison.
8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event
9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.
Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.
Note: *See definition of Stewardship Units.*

1. General information

HUD Grant Number(s) **N/A**	Operating Year for this report *From (mm/dd/yy) To (mm/dd/yy)* ☐ Final Yr ☐ Yr 1; ☐ Yr 2; ☐ Yr 3; ☐ Yr 4; ☐ Yr 5; ☐ Yr 6; ☐ Yr 7; ☐ Yr 8; ☐ Yr 9; ☐ Yr 10;
Grantee Name	Date Facility Began Operations *(mm/dd/yy)*

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	**Number of Stewardship Units Developed with HOPWA funds**	**Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year**
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	☐ *Yes, protect information; do not list* ☐ *Not confidential; information can be made available to the public*
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.	
Name & Title of Authorized Official of the organization that continues to operate the facility:	**Signature & Date (mm/dd/yy)**
Name & Title of Contact at Grantee Agency *(person who can answer questions about the report and program)*	**Contact Phone (with area code)**

End of PART 6

Part 7: Summary Overview of Grant Activities
A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: *Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	242

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

	Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	Continuing to receive HOPWA support from the prior operating year	91
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	7
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	4
4.	Transitional housing for homeless persons	5
5.	**Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)**	16
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	12
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	2
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	1
12.	Rented room, apartment, or house	80
13.	House you own	13
14.	Staying or living in someone else's (family and friends) room, apartment, or house	27
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don't Know or Refused	
18.	**TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)**	242

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	2

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: *See definition of HOPWA Eligible Individual*

Note: *See definition of Transgender.*

Note: *See definition of Beneficiaries.*

Data Check: *The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.*

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	242
2. Number of ALL other persons **diagnosed** as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	7
3. Number of ALL other persons **NOT diagnosed** as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	218
4. TOTAL number of ALL beneficiaries served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	467

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	16	4	1	0	21
3.	31 to 50 years	106	33	0	0	139
4.	51 years and Older	74	8	0	0	82
5.	**Subtotal (Sum of Rows 1-4)**	196	45	1	0	242
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	43	27	0	0	70
7.	18 to 30 years	19	26	0	0	45
8.	31 to 50 years	48	18	0	0	66
9.	51 years and Older	19	25	0	0	44
10.	**Subtotal (Sum of Rows 6-9)**	129	96	0	0	225
Total Beneficiaries (Chart a, Row 4)						
11.	**TOTAL (Sum of Rows 5 & 10)**	325	141	1	0	467

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	5	0	6	1
2.	Asian	2	0	1	0
3.	Black/African American	37	2	41	1
4.	Native Hawaiian/Other Pacific Islander	1	0	1	0
5.	White	196	69	176	82
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	0	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	1	0	0	0
11.	Column Totals (Sum of Rows 1-10)	242	71	225	84

Data Check: *Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.*

**Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check*: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).*

Note: *Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.*

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	168
2.	31-50% of area median income (very low)	51
3.	51-80% of area median income (low)	23
4.	**Total (Sum of Rows 1-3)**	242

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

Southern Arizona AIDS Foundation

2. Capital Development

N/A

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: *If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

Type of Development this operating year	HOPWA Funds Expended this operating year *(if applicable)*	Non-HOPWA funds Expended *(if applicable)*	Name of Facility:
☐ New construction	$	$	**Type of Facility [Check only one box.]** ☐ Permanent housing ☐ Short-term Shelter or Transitional housing ☐ Supportive services only facility
☐ Rehabilitation	$	$	
☐ Acquisition	$	$	
☐ Operating	$	$	

a.	Purchase/lease of property:	Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:	Date started: Date Completed:
c.	Operation dates:	Date residents began to occupy: ☐ Not yet occupied
d.	Date supportive services began:	Date started: ☐ Not yet providing services
e.	Number of units in the facility:	HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?	☐ Yes ☐ No *If yes, number of participants on the list at the end of operating year*
g.	What is the address of the facility (if different from business address)?	
h.	Is the address of the project site confidential?	☐ *Yes, protect information; do not publish list* ☐ *No, can be made available to the public*

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: *The number units may not equal the total number of households served.*

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

☐ Permanent Supportive Housing Facility/Units

☒ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units: Southern Arizona AIDS Foundation

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units						
d.	Other housing facility **Specify: Hotel/motel vouchers**	26					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) **Specify:** Hotel/Motel Vouchers	26	$2,634
e.	**Adjustment to eliminate duplication (subtract)**	0	
f.	**TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)**	26	$2,634

OTHER NARRATIVE

Include any CAPER information that was not covered by narratives in any other section.